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To: Cllr Aaron Shotton (Leader)

Councillors: Bernie Attridge, Chris Bithell, Derek Butler, Christine Jones, Billy Mullin, Ian Roberts and Carolyn Thomas

15 November 2017

Dear Councillor

You are invited to attend a meeting of the Cabinet which will be held at 9.30 am on Tuesday, 21st November, 2017 in the Clwyd Committee Room, County Hall, Mold CH7 6NA to consider the following items

A G E N D A

1 **APOLOGIES**

Purpose: To receive any apologies.

2 **DECLARATIONS OF INTEREST**

Purpose: To receive any Declarations and advise Members accordingly.

3 **MINUTES** (Pages 5 - 18)

Purpose: To confirm as a correct record the minutes of the last meeting.

TO CONSIDER THE FOLLOWING REPORTS

STRATEGIC REPORTS

4 **COUNCIL FUND BUDGET 2018/19 – STAGE 2** (verbal)

Verbal report of Chief Executive, Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

Purpose: To outline the process for developing and agreeing Stage 2 of the Council Fund Budget for 2018/19.

OPERATIONAL REPORTS

5 **COUNCIL PLAN 2017/18 – MID YEAR MONITORING** (Pages 19 - 112)

Report of Chief Executive - Cabinet Member for Corporate Management and Assets

Purpose: To agree the levels of progress in the achievement of activities, performance levels and current risk levels as identified in the Council Plan 2017/18.

6 **NORTH EAST WALES HOMES LIMITED** (Pages 113 - 116)

Report of Chief Officer (Governance) - Cabinet Member for Corporate Management and Assets

Purpose: To request approval regarding Flintshire County Council's member nomination(s) for the Board of Directors.

7 **WELFARE REFORM UPDATE – INCLUDING UNIVERSAL CREDIT** (Pages 117 - 206)

Report of Chief Officer (Community and Enterprise) - Cabinet Member for Corporate Management and Assets

Purpose: To provide an update on Welfare Reform including an update on Universal Credit.

8 **3 - 4 YEAR OLD FUNDED CHILD CARE OFFER** (Pages 207 - 220)

Report of Chief Officer (Education and Youth), Chief Officer (Social Services) - Cabinet Member for Education, Cabinet Member for Social Services

Purpose: For Cabinet to consider and approve the extension of the free childcare offer programme.

9 **REVENUE BUDGET MONITORING 2017/18 (MONTH 6)** (Pages 221 - 250)

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

Purpose: To provide the latest revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account (based on actual income and expenditure as at Month 6 projected forward to year end).

10 CAPITAL PROGRAMME 2017/18 (MONTH 6) (Pages 251 - 272)

Report of Chief Executive - Leader of the Council and Cabinet Member for Finance

Purpose: To provide the Month 6 (end of September) capital programme information for 2017/18.

11 COUNCIL TAX BASE FOR 2018-19 (Pages 273 - 280)

Report of Chief Officer (Community and Enterprise) - Cabinet Member for Corporate Management and Assets

Purpose: To approve the Council Tax Base for 2018-19.

12 EXERCISE OF DELEGATED POWERS (Pages 281 - 282)

Purpose: To provide details of actions taken under delegated powers.

FORWARD WORK PROGRAMME - COUNTY COUNCIL, CABINET, AUDIT AND OVERVIEW & SCRUTINY - FOR INFORMATION

LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985 - TO CONSIDER THE EXCLUSION OF THE PRESS AND PUBLIC

The following item is considered to be exempt by virtue of Paragraph(s) 14 of Part 4 of Schedule 12A of the Local Government Act 1972 (as amended).

The public interest in withholding the information outweighs the interest in disclosing the information until such time as the commercial arrangements have been finalised.

13 PROCUREMENT OF A NEW AGENCY CONTRACT (Pages 313 - 318)

Report of Chief Officer (Governance) - Cabinet Member for Corporate Management and Assets

Purpose: To approve the procurement of a new agency contract.

Yours sincerely

Robert Robins
Democratic Services Manager

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CABINET
24th OCTOBER 2017

Minutes of the meeting of the Cabinet of Flintshire County Council held in the Clwyd Committee Room, County Hall, Mold on Tuesday, 24th October 2017.

PRESENT: Councillor Aaron Shotton (Chair)
Councillors: Bernie Attridge, Chris Bithell, Derek Butler, Christine Jones, Billy Mullin, Ian Roberts and Carolyn Thomas.

IN ATTENDANCE:
Chief Executive, Chief Officer (Governance), Chief Officers (Organisational Change), Chief Officer (Social Services), Chief Officer (Streetscene and Transportation), Corporate Finance Manager, Corporate Business and Communications Executive Officer (minute number 65 and 66), Planning and Development Officer (minute number 68) and Team Leader – Democratic Services.

OTHER MEMBERS IN ATTENDANCE:
Councillor Patrick Heesom.

62. DECLARATIONS OF INTEREST

Councillors Bithell and Thomas declared personal interests in agenda item number 16 – Bailey Hill Heritage Lottery Fund Stage 2 Application.

63. MINUTES

The minutes of the meeting held on 26th September 2017 had been circulated with the agenda.

Councillor Thomas clarified that the on minute number 59, Consultation Outcomes to the Proposed Conditions of the Draft Dog Control Public Spaces Protection Order (PSPO), officers would work with communities who identified that marked sports pitches were the only space for dog walking to explore the provision of additional amenity space if that space was owned by the Council. Alternatively, it would be the responsibility of the Town or Community Council.

RESOLVED:

That the minutes be approved as a correct record.

64. WELSH LOCAL GOVERNMENT PROVISIONAL SETTLEMENT AND COUNCIL FUND REVENUE BUDGET 2018/19

The Corporate Finance Manager introduced the verbal item which outlined the Provisional Settlement that had been received on 10th October. He explained that the provisional Aggregate External Finance (AEF), which was made up of Revenue Support Grants (RSGs) and Non Domestic Rates (NDRs), for 2018/19 was £187.816m which when compared to the adjusted 2017/18 figure of £189.519 represented a decrease of 0.9% which equated to a reduction of £1.703m.

Transfers into the Settlement included the Single Environment Grant – Waste (£1.640m), Welsh Independent Living Grant (£1.586m), Social Care Workforce Grant (£0.827m), Looked after Children (£0.302m), and Carer's Respite Care Grant (£0.131m).

A new responsibility had been identified in the Settlement of £0.197m for homeless prevention which would need to be addressed in detail on the funding requirements for Flintshire. Taking that adjustment into account, the Settlement had a negative impact of £1.9m on the current Budget forecast for 2018/19.

He explained the Funding Floor of £1.772m that had been included to ensure that no authority had a reduction of greater than 1% compared to its 2017/18 allocation and top-up funding. Information in relation to specific grants would not be received before 24th October.

The Council's General Capital Funding had reduced from a 2017/18 total of £6.634m to £6.516m which was a net decrease of £0.118m.

The Chief Executive explained that there was a six week consultation period which ceased on 21st November. The Provisional Settlement would be discussed at a special County Council meeting on 14th November.

Stage 1 of the budget had been discussed at each of the Overview and Scrutiny Committees. Stage 2 would be reported to Corporate Resources Overview and Scrutiny Committee, Cabinet and County Council in December. Stage 3 of the budget would detail the national funding position and the risks.

Councillor Shotton commented on the seriousness or the situation and the impact of austerity on the services provided to the people of Flintshire. It was the Council's duty to provide for the needs of local people which should be made possible from the funding given to local authorities, by the UK Government, but public services continued to be attacked due to the ongoing cuts to budgets. He implored Cabinet Members to continue to lobby UK Government for a reversal of the current fiscal policy prior to the Chancellor's Statement on 22nd November. If there was any reversal in the cuts then lobbying would take place with Welsh Government (WG) for the protection of local services.

Councillor Attridge concurred and urged Members to lobby MP's and AM's, explaining which services could be affected.

The Chief Executive explained that the Public Engagement Events, 'Our Flintshire, Our Future 2017', started this week where the challenges being faced, along with how communities could get involved to help protect the services they valued most, would be discussed.

RESOLVED:

That the verbal report be received.

65. ANNUAL PERFORMANCE REPORT 2016/17

Councillor Mullin introduced the Annual Performance Report 2016/17 which reviewed progress against the Improvement Priorities as detailed in the Improvement Plan 2016/17.

The Chief Executive explained that the report must be published by 31st October each year and must be approved by County Council prior to publication. The assessment took into account consideration assessments of performance for each of the Improvement Priorities through:

- Progress against key actions and projects;
- Progress against identified risks and challenges;
- Performance indicator outturns (target and trend analysis); and
- Regulatory, audit and inspection activity.

The Corporate Business and Communications Executive Officer added that, despite the backdrop of austerity faced by the Council, Flintshire was ranked as the top authority in Wales to show improvement between 2015/16 and 2016/17.

Members thanked staff across the authority for work undertaken during the ongoing difficult periods, whilst being ranked as the top authority to show improvement which was a notable achievement.

RESOLVED:

That the 2016/17 Annual Performance Report be endorsed for publication.

66. FLINTSHIRE PUBLIC SERVICES BOARD REVIEW AND WELL-BEING PLAN DEVELOPMENT

The Chief Executive introduced the Flintshire Public Services Board (PSB) Review and Well-being Plan Development report which provided an overview of the work of the Flintshire PSB to date and the development of the Well-being Plan. The report also provided a brief overview of the strategic partnerships which reported into the PSB.

The high level aim of the PSB was to “protect, sustain and improve the economic, social, environmental and cultural well-being of Flintshire through collaborative working as a single public service”. A Well-being assessment for Flintshire had been produced and published in line with statutory requirements and presented a contemporary picture of life and well-being in Flintshire. Progress updates were outlined in the report.

The Flintshire PSB had selected and endorsed five themes which had a number of priorities:

1. Theme: Well-being and Independent Living;
2. Theme: Community Safety;
3. Theme: Resilient Communities;

4. Economy and Skills; and
5. Environment.

A 12 week consultation period commenced in October 2017 and would conclude at the end of January 2018. The public engagement events would form part of the statutory public consultation. The Plan would be submitted to Corporate Resources Overview and Scrutiny Committee seeking support and to Cabinet for endorsement of the structure and content. The Plan would also be presented to the other statutory bodies of the PSB for endorsement.

RESOLVED:

(a) That Members be assured on the level of progress the Flintshire Public Services Board (PSB) has made to date;

(b) That the PSB themes/priorities for the Well-being Plan be supported;

(c) That the level of progress of the various strategic partnerships be supported; and

(d) That the next steps outlined in the report and the timeframe around delivery be noted.

67. CORPORATE SAFEGUARDING POLICY

Councillor Jones introduced the Corporate Safeguarding Policy report which outlined the work being undertaken to ensure that the Council fulfilled its safeguarding responsibilities, identified areas for improvement and presented the Corporate Safeguarding Policy for approval prior to publication.

The Corporate Safeguarding Policy provided a framework to safeguard and protect children and adults, with the Policy describing roles and responsibilities expected of every employee and elected Members, volunteers and contractors. It included information on the types of abuse, recognising abuse and how to report any concerns.

The Chief Officer (Social Services) said the development of the Policy had been a positive process which had involved training being provided by AFTA Thought which was attended by approximately 300 employees. To support the implementation of the Policy a summary document and a set of Frequently Asked Questions would be published and made available to employees. Two e-learning programmes for safeguarding children and adults were also available. Presentations on safeguarding would be made to Overview and Scrutiny Committees in the coming months to raise the awareness of Members.

The implementation of the Policy would be measured through a number of performance indicators which were detailed in the report.

RESOLVED:

(a) That Cabinet be assured that work is being undertaken to improve corporate arrangements for safeguarding children and adults; and

(b) That the Corporate Safeguarding Policy be approved for publication and implementation.

68. STRATEGIC REVIEW OF THE CARE SECTOR

Councillor Jones introduced the Strategic Review of the Care Sector report. In order to support the local sector, Flintshire County Council had funded a 12 month post to look at the key factors that were influencing the fragility of the care sector in Flintshire.

A programme plan had been developed to address key priority areas of the work and the project would support the evidential case being made in Flintshire on the fragility of the sector, helping to address some of the pressing issues providers and commissioners were raising. The report would be shared with Welsh Government (WG) to support the case for more investment in the social care sector, would be published on the Council's website and shared with partners.

The report, which set out the need for increased funding, workforce improvements and recognition of the full needs of the sector, would inform the evidence base for national case-making.

The Chief Officer (Social Services) thanked Cabinet Members for supporting the piece of work on the development of a business case and the recommendations moving forward.

In response to a question from Councillor Attridge, the Chief Officer (Social Services) explained that all partners supported the work that had been undertaken and the responsibilities were joint between Betsi Cadwalader University Health Board and the Council.

The Chief Executive welcomed the report which was evidence based and forecasted future demand. He said it would help to support the next stage of lobbying to UK and Welsh Governments as it demonstrated the fragility of the care sector.

In response to a question from Councillor Bithell, the Planning and Development Officer explained that the report covered the potential implications on how Brexit could affect the care sector.

Councillor Shotton welcomed the report which evidenced what interventions were needed in the care sector and the importance of developing a workforce that could be retained. He said Flintshire County Council stood in defence of services such as care homes. The Chief Executive added that if the Council removed the provision of the three care homes in the County, the authority would still have a duty to provide places but there was no supply from external providers. The Chief Officer (Social

Services) said any alternatives to the Council's own care homes would be more expensive.

RESOLVED:

(a) That the report be received;

(b) That the report be published; and

(c) That the approach to Welsh Government (WG) be approved for them to respond to the needs of the care sector.

69. INCOME GENERATION POLICY

Councillor Mullin introduced the Income Generation Policy report which proposed a number of key objectives and principles to assist in the setting of appropriate and benchmarked fees and charges, together with the mechanism for regular reviews and monitoring.

The Chief Officer (Organisational Change) explained that there had been a longstanding requirement to develop a Policy which brought together all fees and charges in one place, as well as reviewing their methods of calculation and application. A number of key objectives and key principles were set out in the report for consideration.

It was suggested that monitoring fees and charges should be undertaken through the Council's Programme Boards. The adoption of the Policy would provide the strategic framework and consistency to address fees and charging issues within the Council. On adoption, fees and charges would be increased. There may be cases where, due to the significant gap between the charge and full cost recovery, there would need to be an incremental approach taken so that full cost recovery was achieved over a longer time period.

A recurring income target of £500,000 had been set but was not being achieved. The ability to achieve the target would remain the position if a more rigorous and challenging approach was not adopted.

RESOLVED:

That the Income Policy be noted and approved.

70. OUTCOME OF THE ACTIVE TRAVEL CONSULTATION PROCESS

Councillor Thomas introduced the Outcome of the Active Travel Consultation Process report which outlined progress following consideration of a report to Cabinet on 6th June on the delivery of duties under the Active Travel (Wales) Act 2013.

A number of informal engagement events had been held prior to the statutory consultation and as a result of the feedback, a number of amendments had been made to the draft Integrated Network Map (INM). Some requests for routes were rejected

and there had also been several amendments made to the Existing Route Map (ERM). The revised INM and the revised ERM would be submitted to Welsh Government (WG) for approval on 3rd November 2017.

The Chief Officer (Streetscene and Transportation) explained that the responses outlined in the appendix to the report were aspirational request and a number of the schemes would not be achievable.

RESOLVED:

That the content of the report and the submission to Welsh Government (WG) be approved.

71. ADOPTION OF ZONE 3 DEESIDE INDUSTRIAL PARK

Councillor Thomas introduced the Adoption of Zone 3 Deeside Industrial Park report.

She explained that the roads around Deeside Industrial Park (DIP) were un-adopted but over the past 6 months land issues had been resolved and the Council was in a position to adopt the roads and footways. On adoption, Welsh Government (WG) had committed to provide the Council with a commuted sum which was the equivalent to the cost of reconstructing some of the carriageways and footways in the area. The report recommended reallocating the funding in a more constructive manner in order to upgrade the footpaths to provide a dedicated cycle network around Zone 3 which was in line with the Council's aspiration to improve Active Travel Links throughout the DIP. The proposal was also aligned with the aspirations of the Deeside Plan and the wider vision for a North East Wales integrated transport "Metro" concept.

There were significant parking issues within Zone 3, which had created access problems and incidents of antisocial behaviour which were associated with the drivers of heavy goods vehicles who parked their vehicles on some of the roads overnight. The proposals in the report would help provide a solution to both of those issues.

Councillor Jones welcomed the report and the cycleway as there was currently a significant stretch of the cycle network that was missing through the Zone. She expressed concern on the overnight parking problems at Zone 3 which could only be resolved by the provision of a lorry park. Councillor Attridge concurred with the views on the overnight parking problems and said WG needed to understand that the problem would move elsewhere until a lorry park was provided.

RESOLVED:

(a) That Section 228 of the Highways Act be approved to be used as a mechanism to adopt the highways within Zone 3 of Deeside Industrial Park; and

(b) That the reallocation of the commuted sum funding which is being proposed by Welsh Government (WG) be approved to improve the footways to provide a fully integrated cycle network serving each business located within Zone 3.

72. COMMUNITY RESILIENCE AND COMMUNITY BENEFITS STRATEGY

Councillor Shotton introduced the Community Resilience and Community Benefits Strategy report which provided an overview of the work being done in the area, building on previous work to grow the social sector through social enterprise development including Community Asset Transfers (CATs) and Alternative Delivery Models (ADMs).

The report proposed the agreement of a Community Benefits Strategy which defined, for the first time, a set of community benefits that could be used in all types of procurement contracts but that could also be used to assess the level of community benefit an organisation was delivering.

The Chief Officer (Organisational Change) said 24 social enterprises / social organisations operating in Flintshire over the last year were the beneficiaries of Council support to help their development. That, along with the CAT programme, had resulted in a number of assets being transferred to social enterprises / community organisations. The results of that work, both developing organisations and enabling them to take on assets and services, resulted in a stronger social sector working at a community level. He took this opportunity to congratulate Café Isa who had won the Best Social Business Award at the Flintshire Business Week Ceremony the previous week.

He added that with an increase in the number and size of organisations in the social sector, this enabled future work to build on this stronger social sector base and for the sector to be recognised as valuable and business like. It also encouraged a raised awareness across the public, private and social sectors about the delivery of social objectives or community benefits.

RESOLVED:

That the Community Benefits Strategy be approved.

73. WELSH IN THE WORKPLACE POLICY

Councillor Mullin introduced the Welsh in the Workplace Policy report which contained the draft Policy for adoption prior to publication. An overview of progress on meeting the Welsh Language Standards was also provided.

The Chief Executive added that the Policy reinforced the Council's commitments to the Welsh language.

In response to a question from Councillor Bithell, the Chief Executive said he would make enquiries and provide details of any opportunities available for Members to learn Welsh. He would send any details to all Members of the Council.

RESOLVED:

(a) That Members be assured that work is being undertaken to comply with the Welsh Language Standards;

(b) That the Welsh in the Workplace Policy be adopted; and

(c) That the annual reports on work carried out to meet the Welsh Language Standards be received.

74. ARMED FORCES COVENANT ANNUAL REPORT

Councillor Mullin introduced the Armed Forces Covenant Annual Report. The Armed Forces Covenant was a promise from the nation that those who served or had served in the armed forces, and their families, were treated fairly.

The Council was committed to supporting the Armed Forces community by working with a range of partners who had signed the Covenant, including Flintshire Local Voluntary Council and the Royal British Legion. The North Wales Fire and Rescue Service would also be joining the Armed Forces Covenant.

RESOLVED:

(a) That the positive progress made in meeting the Armed Forces Covenant be endorsed and the commitments for further improvement be supported; and

(b) That the Armed Forces Annual Report be approved prior to approval by full Council and publication on the Council's website.

75. REVENUE BUDGET MONITORING 2017/18 (MONTH 5)

The Corporate Finance Manager introduced the Revenue Budget Monitoring 2017/18 (Month 5) report which provided the current revenue budget monitoring position for 2017/18 for the Council Fund and the Housing Revenue Account. The report presented the position, based on actual income and expenditure and projected how the budget would stand at the close of the financial year if all things remained equal.

The projected year end position, without mitigation to reduce cost pressures and improve the yield on efficiency planning, was:

Council Fund

- Net in year expenditure forecast to be £1.348m more than budget; and
- Projected contingency reserve balance as at 31st March 2018 of £3.734m.

Housing Revenue Account

- Net in year expenditure forecast to be £0.026m higher than budget; and
- Projected closing balance as at 31st March 2018 of £1.090m.

The report covered the latest in-year forecast by portfolio; tracking of in year risks and emerging issues; inflation; and reserves and balances.

The Chief Executive explained that taking into account the current projected overspend at Month 5, and previously agreed allocations, the balance on the Contingency Reserve was projected to be £3.734m. He reminded Members that reserves could only ever be used once.

There were no comments from the meeting of Corporate Resources Overview and Scrutiny Committee the previous week that needed to be raised at Cabinet.

RESOLVED:

(a) That the overall report and the projected Council Fund contingency sum as at 31st March 2018 be noted; and

(b) That the projected final level of balances on the Housing Revenue Account (HRA) be noted.

76. BAILEY HILL – HERITAGE LOTTERY FUND STAGE 2 APPLICATION

Councillor Roberts introduced the Bailey Hill – Heritage Lottery Fund Stage 2 Application report which details progress on the project and proposed the Council's position and contributions towards the project ahead of submission of the application.

Bailey Hill was a significant project to improve the heritage environment of the Motte and Bailey Caste which included large areas of tree removal, access improvements, a new play area and site-wide interpretation including a display area in the Custodians Lodge.

In response to a question from Councillor Attridge, the Chief Officer (Organisational Change) explained that the Council contribution towards the overall masterplan and capital costs had been previously identified as funding for the play area.

(Having earlier declared interests, Councillors Bithell and Thomas left the room during consideration of the item.)

RESOLVED:

(a) That the Council's position and contributions towards the project, as outlined in the report, be agreed; and

(b) That delegated authority be given for submission for the Stage 2 Heritage Lottery Application, in line with the position and contributions outlined (subject to minor changes) by the Chief Officer (Organisational Change) in consultation with the Cabinet Member for Education.

77. EXERCISE OF DELEGATED POWERS

An information item on the actions taken under delegated powers was submitted. The actions were as set out below:-

Streetscene and Transportation

- **Proposed Prohibition and Restriction of Waiting and Loading and Parking Places. Civil Enforcement and Consolidation Order. Amendment No. 4**
  To advise Members of the objections received following the advertisement of the proposed Prohibition and Restriction of Waiting and Loading and Parking Places Amendment No. 4 Order, which introduces No Waiting At Any Time, Limited Waiting and Limited Parking on Cymau Road and Wyndham Drive, Abermorddu.

Community and Enterprise (Revenues Service)

- **Business Rates – Application for Hardship Relief**
  Section 49 of the Local Government Finance Act 1988 gives the Council the discretion to reduce or remit the payment of business rates where it is satisfied that the ratepayer would sustain hardship if it did not do so and it is reasonable for it to do so having regard to the interest of its council tax payers.

  An application received from Halkyn Parish Hall Trust has been refused on the grounds that it is not deemed to be in the wider public interest to support the awarding of Hardship Rate Relief.

RESOLVED:

That the actions taken under delegated powers be noted.

LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985 – TO CONSIDER THE EXCLUSION OF THE PRESS AND PUBLIC

RESOLVED:

That the press and public be excluded for the remainder of the meeting for the following items by virtue of exempt information under paragraphs 14 and 15 of Part 4 of Schedule 12A of the Local Government Act 1972 (as amended).

78. COUNTY HALL CIVIC CAMPUS AND EWLOE OFFICE RE-LOCATION – OUTLINE PLAN

The Chief Executive presented the County Hall Civic Campus and Ewloe Office Re-location – Outline Plan report.

The Council had reduced the size of its office accommodation required at County Hall in Mold and now only occupied Phases 1 and 2. Unity House in Ewloe was vacant and negotiations to finalise the dilapidations claim had been concluded. In the short to medium term there was a viable option to consider moving a large number of office staff from County Hall to Unity House whilst at the same time considering the longer term strategy for a civic hub.

Initial planning work and an associated business case set out the short to medium term options stating that a relocation to Unity House could be achieved by

2018. The report provided further details on the costings and plans and proposed a phased approach to moving.

In response to a question from Councillors Thomas and Attridge, the Chief Executive explained that the report was considered to be confidential due to the nature of the commercial information it contained, particularly in relation to the estimated value of the land at County Hall. It was agreed that the non-confidential details of the report would be shared as soon as possible.

Following a discussion, the recommendations in the report were amended and agreed as outlined below.

RESOLVED:

(a) That a business case be approved for detailed consultation with Trade Unions and the workforce and for consideration in the 2018/19 budget; and

(b) That a masterplan be commissioned for the County Hall campus and tenders be invited for the demolition for Phases 3 and 4.

79. CONTRACT PROCUREMENT REPORT FOR CONNAH'S QUAY HIGH SCHOOL

Councillor Roberts introduced the Contract Procurement Report for Connah's Quay High School which sought approval to contract with Kier Construction for the Capital investment project at Connah's Quay High School.

RESOLVED:

That it be approved that the Council legally contract with Kier Construction to enable commencement for the construction of the capital improvement project at Connah's Quay High School.

80. DIGITAL PRINT SERVICE

Councillor Mullin introduced the Digital Print Service report which proposed a revised interim establishment structure.

RESOLVED:

(a) That the appointment of a pool of suppliers on a 2 year contract, that will ensure the Council obtains the most economically advantageous terms for its colour printing needs, be noted; and

(b) That in light of the reducing demand for print services, the digital print service be revised and the interim establishment structure appended to the report be approved.

81. MEMBERS OF THE PRESS AND PUBLIC IN ATTENDANCE

There were no members of the public and two members of the press in attendance.

(The meeting commenced at 9.30 a.m. and ended at 11.35 a.m.)

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Chair

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CABINET

Date of Meeting	Tuesday, 21st November 2017
Report Subject	Council Plan 2017/18 – Mid Year Monitoring
Cabinet Member	Cabinet Member for Corporate Management and Assets
Report Author	Chief Executive
Type of Report	Operational

EXECUTIVE SUMMARY

The Council Plan 2017/23 was adopted by the Council in September 2017. This report presents the monitoring of progress for the mid-year position of 2017/18.

Flintshire is a high performing Council as evidenced in previous Council (Improvement) Plan monitoring reports as well as in the Council's Annual Performance Reports. This first monitoring report for the 2017/18 Council Plan is a positive report, with 88% of activities being assessed as making good progress, and 67% likely to achieve the desired outcome. In addition, 67% of the performance indicators met or exceeded target. Risks are also being successfully managed with the majority being assessed as moderate (67%) or minor (8%).

This report is an exception based report and therefore detail focuses on the areas of under-performance.

RECOMMENDATIONS	
1	To agree the following: • The levels of progress and confidence in the achievement of high level activities which seek to deliver the impacts of the Council Plan • The performance against improvement plan performance indicators • The current risk levels for the risks identified in the Council Plan.
2	Cabinet Members be assured by plans and actions to manage the delivery of the 2017/18 Council Plan priority impacts.

REPORT DETAILS

1.00	COUNCIL PLAN MID YEAR MONITORING DETAILS
1.01	The Council Plan monitoring reports give an explanation of the progress being made toward the delivery of the impacts set out in the 2017/23 Council Plan. The narrative is supported by performance indicators and / or milestones which evidence achievement. In addition, there is an assessment of the strategic risks and the level to which they are being controlled.
1.02	Individual sub-priority reports (12 in total) have been brought together to provide a single report for Cabinet. Members will also receive respective reports when circulated with Overview and Scrutiny Committee agendas.
1.03	This is an exception based report and detail therefore focuses on the areas of under-performance.
1.04	**Monitoring our Activities** Each of the sub-priorities have high level activities which are monitored over time. 'Progress' monitors progress against scheduled activity and has been categorised as follows: - • RED: Limited Progress – delay in scheduled activity; not on track • AMBER: Satisfactory Progress – some delay in scheduled activity, but broadly on track • GREEN: Good Progress – activities completed on schedule, on track A RAG status is also given as an assessment of our level of confidence at this point in time in achieving the 'outcome(s)' for each sub-priority. Outcome has been categorised as: - • RED: Low – lower level of confidence in the achievement of the outcome(s) • AMBER: Medium – uncertain level of confidence in the achievement of the outcome(s) • GREEN: High – full confidence in the achievement of the outcome(s)
1.05	In summary our overall progress against the high level activities is: - ACTIVITES PROGRESS • We are making good (green) progress in 51 (88%). • We are making satisfactory (amber) progress in 7 (12%). ACTIVITIES OUTCOME • We have a high (green) level of confidence in the achievement of 39 (67%). • We have a medium (amber) level of confidence in the achievement of 19 (33%).

	• We have a low (red) level of confidence in the achievement of 0 (0%).
1.06	**Monitoring our Performance** Analysis of performance against the Improvement Plan performance indicators is undertaken using the RAG (Red, Amber Green) status. This is defined as follows: - • RED equates to a position of under-performance against target. • AMBER equates to a mid-position where improvement may have been made but performance has missed the target. • GREEN equates to a position of positive performance against target.
1.07	Analysis of current levels of performance shows the following: - • 47 (67%) had achieved a green RAG status • 18 (25%) had achieved an amber RAG status • 6 (8%) had achieved a red RAG status
1.08	The six performance indicators (PI) which showed a red RAG status for current performance are: - **PI: Average number of calendar days taken to deliver a DFG** The average number of calendar days taken to deliver a Disabled Facilities Grant (DFG) has been increased by a small number of DFGs for children completing in this quarter which have had very lengthy completion dates due to their complexity. **PI: Percentage of child protection referrals that result in 'no further action'** 55% of child protection referrals received by Children's First Contact currently have no action taken after screening. These referrals are now being considered for early support below the child protection threshold, through the newly implemented Early Help Hub. This should reduce the number of referrals with no action taken, and provide low level multi agency early intervention to more families who do not meet the threshold for statutory services. **Priority: Connected Council** **PI: Percentage of community benefit clauses in new procurement contracts under £1M** The Council's current Contract Procurement Regulations stipulates that the inclusion of Community Benefits is optional for contracts below £1m as referenced within the Welsh Procurement Policy statement. The Council has recently adopted a new Community Benefits Strategy which will require Community Benefits to be included when applicable for lower value contracts. The application of this policy will drive improvement of this measure in the future. **Priority: Serving Council**

	PI: Percentage of eligible employees receiving an annual appraisal The annual target for completion of appraisals is 100% of eligible employees. The provisional figure at the end of September 2017 was 72% however, the recorded completion rate on iTrent is provisional, and an annual report for Cabinet and CROSC is being prepared which will provide an update. Appraisals are scheduled to take place over the next few months and those recently completed will be reported later in the year. **PI: Percentage of employees completing stress related programmes** The take-up from employees is lower than expected however, we will be working towards targeted marketing to increase participation. **PI: The percentage of goods, services and works procured through purchasing arrangements established by the National Procurement Service (NPS)** Not all of framework agreements of the National Procurement Service (NPS) are considered to be delivering value for money however, of the 51 frameworks currently in existence the Council is using 10 which are considered to be value for money and not deemed detrimental to local suppliers.
1.09	**Monitoring our Risks** Analysis of the current risk levels for the strategic risks identified in the Council Plan is as follows: - • 1 (2%) is insignificant (green) • 4 (8%) are minor (yellow) • 32 (67%) are moderate (amber) • 11 (23%) are major (red) • 0 (0%) are severe (black)
1.10	The 11 major (red) risks are: - **Priority: Supportive Council** **Risk: Availability of sufficient funding to resource key priorities.** The adaptations budget is monitored monthly to ensure there is sufficient availability for funding key priorities. In addition to this a Community and Enterprise Efficiency and Resilience Statement has been prepared which sets the resilience levels for the current year in terms of service scale and quality, capability and service sustainability which includes the impact in the event of funding being withdrawn. Demand for adaptations is exceeding the capital budget available in 2017/18. **Risk: Debt levels will rise if tenants are unable to afford to pay their rent or council tax.** We are currently working together to identify early intervention tools for those tenants that fall into arrears with their rent. A model based on the Early Help

	Hub within Children's Services is being explored and resources committed to ensure that homelessness is prevented and rent collection is maximised. **Risk: Demand outstrips supply for residential and nursing home care bed availability.** A report has been prepared around the potential expansion of the care sector, and presented to Programme Board. Recommendations have been approved to explore further the extension of Marleyfield (32 beds for intermediate care and discharge to assess). This expansion will also help to support the medium term development of the nursing sector. A Strategic Opportunity Review has been completed, with a report being presented to Cabinet in October. A lobbying letter to Welsh Government has been drafted subject to cabinet approval, highlighting the risks and areas for concern. There are several active workstreams, including the development of resources to support the sector, such as a provider portal. **Risk: Annual allocation of Integrated Care Funding (ICF) - Short term funding may undermine medium term service delivery.** We have reached agreement in principal for ICF capital funding to be allocated for the expansion over the next 3 years (£415K per year). However, we are still awaiting confirmation that the full funding will continue. The Minister has announced a further review of ICF; this risk remains Red. **Risk: Knowledge and awareness of safeguarding not sufficiently developed in all portfolios.** The Corporate Safeguarding Policy was approved by Cabinet in October 2017 and will be promoted widely to the workforce to ensure everyone understands their responsibilities. The first Corporate Safeguarding newsletter has been published, this will help raise knowledge and awareness of safeguarding. **Risk: Failure to implement safeguarding training may impact on cases not being recognised at an early stage.** Social Services Workforce Development Team are updating and reviewing the Safeguarding e-learning modules which will be re-launched to the workforce. Safeguarding will be included in induction ensuring all new employees have a basic understanding. **Priority: Learning Council** **Risk: Sustainability of funding streams.** The sustainability of grant funding remains a major risk. The Council has recently received, without prior intelligence from Welsh Government (WG), notification of an 11% cut to the Education Improvement Grant, resulting in a real term reduction of approximately quarter of a million pounds. This grant funds a range of posts within schools e.g. Foundation Phase Support Staff and central service delivery within the portfolio. There is very limited guidance available at the current time from WG to be able to strategically plan for the implementation of this cut. Additionally, other grants e.g. Small and Rural

	School grant which was made available this year do not appear on the grant schedule for 2018/19. The School Uniform Grant for year 7 pupils entitled to Free School Meals has also been withdrawn without prior notification, leaving a potential cost pressure for the Council or schools to absorb. **Risk: Numbers of school places not matching the changing demographics.** Reducing unfilled school places via school organisation change is an ongoing process. School change projects can take between three and five years from inception to delivery before reductions of unfilled places can be realised. This continues to be an ongoing process linked to the school modernisation programme. To supplement this the Council will continue to work closely with schools to consider innovative ways for reduction in capacity on a school by school basis (i.e. alternative use of school facilities by other groups) with the objective of meeting national targets of circa 10% unfilled places in all school sectors. **Risk: Limited funding to address the backlog of known repair and maintenance works in Education & Youth assets.** Continuation of the School Modernisation programme is one of the strategic options available to address the repair and maintenance backlog. The programme continuation will also i) Support a reduction of unfilled places ii) Provide a more efficient school estate and concentrate resources on teaching by removal of unwanted fixed costs in infrastructure and leadership iii) Ensure that the condition and suitability of the school estate is improved. Additionally, future capital business cases will be submitted through the Council process to supplement the 21st Century Schools investment programme. **Priority: Green Council** **Risk: Funding will not be secured for priority flood alleviation schemes.** Grant availability continues to be monitored. A five year programme of capital works and flood alleviation schemes has been developed based on transparent criteria in line with Welsh Government guidance to feed into the national pipeline programme. These projects have been assessed on affordability and ability to maximise capital funding from internal and external sources. The required skill sets to implement effective and innovative flood risk management continue to be developed within the team. **Priority: Serving Council** **Risk: The scale of the financial challenge** The Provisional settlement was received on 10th October 2017. The impact of this for Flintshire is a decrease in funding of 0.9%, equivalent to £1.073m. This reduction has increased to £1.9m due to the impact of a new responsibility for Homelessness Prevention. The Provisional Settlement is subject to a consultation period with the final settlement due December 2017. The Council's staged budget approach for 2018/19 was approved by County council In November.

2.00	RESOURCE IMPLICATIONS
2.01	There are no specific resource implications for this report.

3.00	CONSULTATIONS REQUIRED / CARRIED OUT
3.01	The Council Plan Priorities are monitored by the appropriate Overview and Scrutiny Committees according to the priority area of interest.
3.02	Chief Officers have contributed towards reporting of relevant information.

4.00	RISK MANAGEMENT
4.01	Progress against the risks identified in the Council Plan is included in the report at Appendix 1. Summary information for the risks assessed as major (red) is covered in paragraphs 1.09 and 1.10 above.

5.00	APPENDICES
5.01	**Appendix 1:** Council Plan 2017/18 – Mid-Year Progress Report

6.00	SECTION 100D OF THE LOCAL GOVERNMENT ACT 1972 List of Accessible Background Documents
6.01	**Council Plan 2017/18:** http://www.flintshire.gov.uk/en/Resident/Council-and-Democracy/Improvement-Plan.aspx

7.00	GLOSSARY OF TERMS
7.01	**Council Plan:** the document which sets out the annual priorities of the Council. It is a requirement of the Local Government (Wales) Measure 2009 to set Improvement Objectives and publish a Council Plan. **Risks:** These are assessed using the improved approach to risk management endorsed by Audit Committee in June 2015. The new approach, includes the use of a new and more sophisticated risk assessment matrix which provides greater opportunities to show changes over time.

Risk Likelihood and Impact Matrix

Impact Severity							
	Catastrophic	Y	A	R	R	B	B
	Critical	Y	A	A	R	R	R
	Marginal	G	Y	A	A	A	R
	Negligible	G	G	Y	Y	A	A
		Unlikely (5%)	Very Low (15%)	Low (30%)	Significant (50%)	Very High (65%)	Extremely High (80%)
		Likelihood & Percentage of risk happening					

The new approach to risk assessment was created in response to recommendations in the Corporate Assessment report from the Wales Audit Office and Internal Audit.

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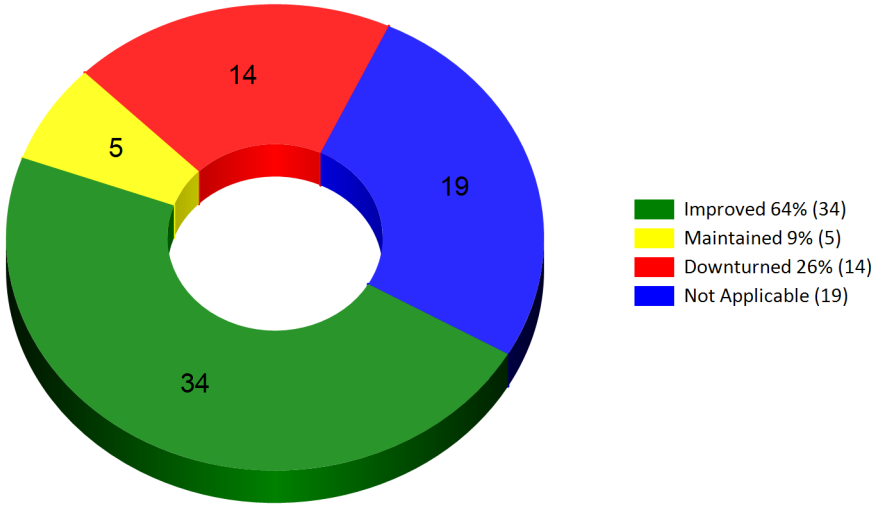
Council Plan 2017/18 Mid-Year Performance Progress Report

Flintshire County Council

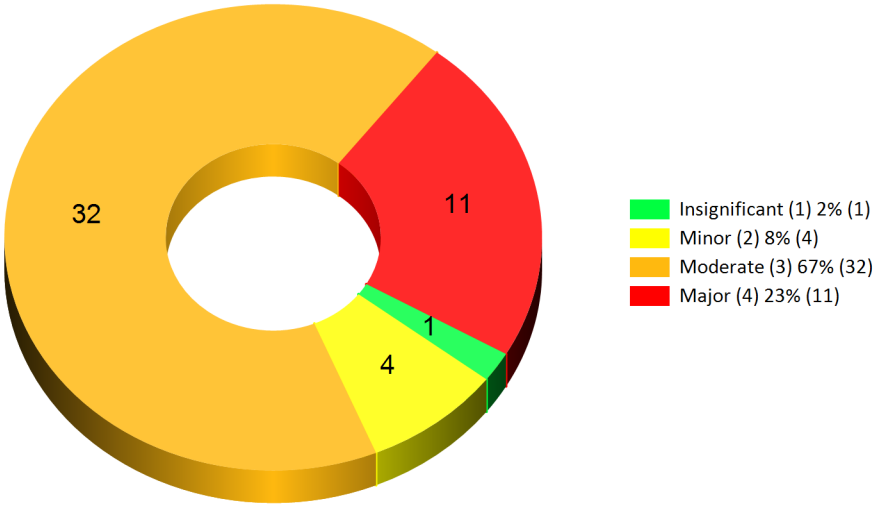
CYNGOR
Sir y Fflint
Flintshire
COUNTY COUNCIL

Print Date: 02-Nov-2017

KPI Trend Status



Current Risk Status



1 Supportive Council

Actions

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.1.1.1 Provide new social and affordable homes	Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager	In Progress	01-Apr-2017	31-Mar-2018	30.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
The SHARP is due to deliver 126 social and affordable units this year of which 29 have been delivered to date. During the next half of the year we expect to complete the construction of 97 units.

Last Updated: 09-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.1.1.2 Welsh Housing Quality Standard (WHQS) investment plan targets achieved	Sean O'Donnell - Contract Surveyor	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
All WHQS Contracts have progressed into Year 3 (2017-18) of the 6 year Capital Programme with a smooth transition into their new Capital Districts (Areas of work). Year 3 of the Capital Programme will be the last year where the majority of Internal Works are completed. For the past 3 years the team have procured, organised and delivered the installation of over 3,000 kitchens and 4,000 bathrooms with only the Acceptable Fails remaining e.g. tenant refusal, no access. The Capital Works Team have allowed a 10% Acceptable Fail allowance into its delivery programme and budgets based upon previous data. This has been agreed with Welsh Government. Over the remaining years of the delivery programme, these Acceptable Fails will be completed either when the property becomes Void or a tenant is able to have the works completed. The Capital Programme has now increased its Envelope & Environmental workstreams which will replace the Internal workstream. These new workstreams will have an increased impact on the communities as they begin to transform the aesthetics & environment by regenerating & revitalising the roads, complexes and estates which form part of the works. The Capital Works Programme will be completed in Year 6 (2020-2021)

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.1.1.3 Develop solutions to the increasing frequency of unauthorized gypsy and traveller encampments	Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager	In Progress	12-Jul-2017	31-Mar-2018	30.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
We are currently in the early stages of developing a strategy to determine a long term solution for a Gypsy and Traveler transit site. A report outlining the strategy will be presented to Cabinet. By the end of the year we aim to have identified a suitable site and submit a funding bid to Welsh Government.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.1.2.1 Improve standards within the private rented sector	Lynne Fensome - Support Manager Environment	In Progress	01-Apr-2017	31-Mar-2018	25.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The approach of the team is threefold; firstly encouraging a pro-active registration with Rent Smart Wales and giving appropriate advice as necessary. This informal approach is preferred to the enforcement route and has been successful. If Landlords are reluctant or failing to meet the required standards and are failing to co-operate with the service then an enforcement stance will be taken. Secondly, the team investigate all reported cases of unsuitable living conditions and have taken remediation action where required. Thirdly, where enforcement action has been taken, this has been followed up to secure compliance but where persistent non-compliance exists legal action has followed.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.1.2.2 Deliver the Council's housing growth needs	Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Intelligence generated through analysis of the Single Access Route To Housing (SARTH) Register ensures that the delivery of affordable housing in Flintshire is demand led and meets the affordable housing need. The Strategic Housing and Regeneration Programme (SHARP), North East Wales (NEW) Homes Ltd, Registered Social Landlord (RSL) developments and

planning requirements for the inclusion of affordable housing within private market led schemes contribute to meeting the shortfall of affordable housing.

Last Updated: 23-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.1.2.3 Meeting the housing needs of vulnerable groups	Suzanne Mazzone - Supporting People Manager	In Progress	01-Apr-2017	31-Mar-2018	25.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
The Holywell Extra Care facility providing 55 units is to be submitted to the Planning Committee in November 2017. The proposed start date is March 2018 and to be complete by December 2019.

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.2.1.1 Support Flintshire residents to better manage their financial commitments	Jen Griffiths - Benefits Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Work is on-going to identify all services who provide this support with a view to aligning this wherever possible. Current work is focussed on personal budgeting support which is provided in connection with claims for Universal Credit and support and advice provided to assist tenants to manage their finances in order to maintain their commitments to rent and council tax.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.2.1.2 Managing local impact of the full service under Universal Credit (UC) roll out	Jen Griffiths - Benefits Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
We have delivered a number of training and awareness sessions both within the Council and to a range of key stakeholders including; Flintshire Connects, elected members, Registered Social Landlords, private landlords, library employees, housing employees, Flying Start, Social Services, human resources and voluntary agencies. Impacts are monitored and we are continuing to develop a record of issues and problems associated with Universal Credit which are far ranging both in terms of scale and impact. Work is ongoing via the Operational

Board and Tackling Poverty Partnership.

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.2.1.3 Develop and deliver programmes that improve employability and help people to gain employment.	Niall Waller - Enterprise and Regeneration Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The Communities First programme has been refocussed for 2017/2018 and all activities contribute towards improving employability. These include; the provision of intensive work-focussed training and work placements, support for people starting their own business, provision of tailored support to people from deprived neighbourhoods to take advantage of large company recruitment campaigns, and jobs clubs and jobs fairs. In addition the Council runs the LIFT programme to support long-term unemployed people from workless households and the Communities 4 Work programme which provides intensive mentoring to those furthest from the labour market.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.2.1.4 Develop and deliver programmes to improve domestic energy efficiency to reduce Co2 emissions and fuel poverty	Leanna Jones - Home Energy Conservation Officer	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Gas infill projects in Penyffordd and Wepre Court are nearing completion. A pilot project with heat pumps, Photo Voltaic (PV)/battery storage is moving into a monitoring phase to demonstrate benefits to tenants. A successful bid for Warm Homes funding for properties without central heating will enable us to do more to help vulnerable and fuel poor residents without central heating. The Council achieved success in national Energy Efficiency and Healthy Homes Awards with 3rd Place in Local Authority of the Year for our collective work on energy efficiency and fuel poverty. Forward plans working alongside Capital Works team are now being agreed.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.2.1.5 Develop a strategy to address food poverty	Jen Griffiths - Benefits Manager	In Progress	01-Apr-2017	31-Mar-2018	20.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The food poverty strategy is currently being drafted and has significant links to community resilience work, the Community Benefits Strategy, and aligns closely with the Betsi Cadwaladr University Health Board (BCUHB) draft strategy.

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.2.1.6 Assist residents of Flintshire to access affordable credit	Jen Griffiths - Benefits Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Through the work of the Welfare Reform Response team significant progress has been made to re-engage with both credit unions in Flintshire. We are actively promoting the products and services that are on offer. In addition, the credit unions have agreed to be part of our Tackling Poverty Partnership group from the Autumn

Last Updated: 13-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.3.1.1 Ensure Care Home Provision within Flintshire enables people to live well and have a good quality of life.	Jane M Davies - Senior Manager, Safeguarding & Commissioning	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
A report has been prepared around the potential expansion of the care sector and presented to the Programme Board. Recommendations have been approved to explore further the extension of Marleyfield (32 beds for intermediate care and 'discharge to assess'). This expansion will also help to support the medium term development of the nursing sector. We continue to collect intelligence around providers exiting the care sector and regional capacity. Meetings with Betsi Cadwaladr University Health Board (BCUHB) East Division have been held to discuss pooled budgets for the expansion. We have reached agreement in principal for Integrated Care Fund (ICF) capital funding to be allocated for the expansion over the next 3 years (£415K per year). This year's allocation will be used to commission a feasibilty study for the expansion. A Strategic Opportunity Review has been completed with a report being presented to Cabinet in October. A lobbying letter to Welsh Government (WG) has been drafted, subject to cabinet approval, highlighting the risks and areas for concern. There are several active workstreams, including the development of resources to support the sector such as a provider portal. The Regional Domicilliary Framework is now live with new contracts commencing 1 April 2018. The intention is to increase the number of providers in order to help sustain the market. The roll out of "Progress for Providers" continues; care homes are in the process of assessing themselves against the new Flintshire standards. One home has already achieved the bronze standard.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.3.1.2 Support greater independence for individuals with a frailty and/or disability, including those at risk of isolation.	Susie Lunt - Senior Manager, Integrated Services	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
This year our Ageing Well Plan is focused on the development of age friendly and dementia friendly communities with the aim of creating a better understand of dementia. The Plan also seeks to support those in the community who feel lonely and isolated by identifying opportunities to tell people about activities as well as how they can receive information and advice to help reduce the risks of loneliness. An Implementation Plan for the staged replacement of double staffed packages of care has been drafted and work is beginning through a targeted approach with care providers. In parallel with this the Council are investing in new single handling equipment which is less intrusive in the home. Working with Welsh Government and the Social Services Improvement Agency we are moving into Phase 2 of the Collaborative Communication Skills Programme, through which we will ensure that practitioners are equipped with the necessary skills to support people to achieve their personal outcomes, as set out in the Social Services and Wellbeing Act (Wales). Alongside this we are developing our local recording systems to support the measurement of personal outcomes.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.3.1.3 Improve outcomes for looked after children	Craig Macleod - Senior Manager, Children's Services & Workforce	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
Work is underway to develop a Corporate Parenting Strategy which will set out our commitments to Looked After Children. Health and Social Services Scrutiny Committee have agreed that consultation on the Strategy will centre on the themes of Home, Education and Learning, Health and Well-being, and Leisure and Employment Opportunities. Finding suitable placements for Looked After Children can be a real challenge, particularly for teenagers who have complex needs. There is a national shortage of foster care and residential provision and work has commenced on a regional footprint to look at potential medium term solutions. This work complements more local work to develop our strategic approach to securing permanent, stable homes for Looked After Children. A Senior Manager from BCUHB's Child and Adolescent Mental Health Service (CAMHS) attended the Children's Services Forum in June 2017. The Manager provided an overview of the work that is taking place to ensure timely access to CAMHS health assessments for Looked After Children. Positively it was reported that assessment for Looked After Children are being initiated within 28 days of referral. Ensuring that 'looked after' health assessments are carried out in a timely manner is challenging with performance targets missed. This matter has been escalated to BCUHB.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.4.1.1 Ensure that effective services to support carers are in place as part of collaborative social and health services	Susie Lunt - Senior Manager, Integrated Services	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Our carer's services are working well based on performance and carer feedback. We are now looking at a commissioning exercise following a full review of all services to address any duplication or gaps in provision. We have a planned programme for utilising the Carer's Respite Grant award, which includes creative respite through direct payments and piloting a school holiday camp in the two specialist primary schools for disabled children. We are contributing to the regional strategic review of carer's services across North Wales which includes exploring opportunities for collaboration, sharing good practice and ensuring equitable services across the region. The final report is due to be presented to Regional Partnership Board in December 2017. The existing Carer's Strategy action plan is being updated and recent consultations with carers will refresh their needs and demand. The other workstreams are progressing such as a review of processes, carers need assessments, and monitoring and information. These all continue to ensure carer's services in Flintshire are effective, responsive to need, and are outcome focused. Young Carers' services in Flintshire are provided by Barnardo's. The service aims to improve confidence and emotional resilience whilst also providing a secure environment for peer support. Young carers can access community groups to ensure resilience is sustainable long term. Carers are able to be re-referred into the service if circumstances become difficult or the individual needs more intensive support. The service receives a high number of referrals from statutory services and the third sector and education, and is exploring potential fundraising avenues in order to continue to meet the needs of Young Carers in Flintshire.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.4.1.2 Further develop the use of Integrated Care Fund (ICF) to support effective discharge from hospital and ensure a smoother transition between Health and Social Care Services.	Susie Lunt - Senior Manager, Integrated Services	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
During the first half of 2017/18 the ICF has been used to continue to fund placements for 'Step Up / Step Down' beds, with over 80 admissions during that time period. In addition, the Community Resource Team of multi-disciplinary professionals is rolling out and is increasing its time of operation in Flintshire. The team provides home-based support through clinical and generic Health and Support workers to support discharge and avoid hospital admission.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG

1.4.1.3 Establish an Early Help Hub, involving all statutory partners and the third sector.	Craig Macleod - Senior Manager, Children's Services & Workforce	In Progress	01-Apr-2017	31-Mar-2018	75.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
An Early Help Hub has been established with a 'soft launch' in July 2017. The Hub is made up a staff from across statutory partners including Social Services, North Wales Police, Youth Justice, housing, education. health and early years/Flying Start. The third sector is strongly represented through a third sector co-ordinator from Flintshire Local Voluntary Council (FLVC) and through services provided by the third sector via the Families First programme.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.4.1.4 Further develop dementia awareness across the county.	Susie Lunt - Senior Manager, Integrated Services	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Flintshire has ten Dementia Cafes and three accredited Dementia Friendly Communities, with a further six working towards accreditation, making Flintshire the lead county with Dementia Friendly Communities in North Wales. We have 46 accredited Dementia Friendly Businesses, the highest in North Wales. We have Launched an Early Onset Peer Support Service (Friendly Faces) lead by people living with Dementia and supported by the Council, Betsi Cadwaldr University Health Board, Bangor University and the Dementia Engagement and Empowerment Project (DEEP), the first of its kind in Wales. The Intergeneration Project with learners and people living with dementia has been completed in 5 schools. The Creative Conversation research study has improved skills in 18 Care Homes in creatively communicating with people living with dementia using the arts. Dementia Friendly film screenings are taking place in Mold and Flint on a regular basis. The Walks in Flint will have dementia friendly street signage, the first in North Wales, to ensure people living with dementia can navigate the change in environment. Llys Raddington Extra Care has consulted with people living with dementia on the interior design of the building to ensure it is dementia friendly. The Health Centre in Flint has a working group to ensure the centre is dementia friendly and is working with Flint dementia friendly community and people living with dementia.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.5.1.1 Strengthen the arrangements within all council portfolios to have clear responsibilities to address safeguarding.	Fiona Mocko - Policy Advisor (Equalities and Cohesion)	In Progress	01-Apr-2017	31-Mar-2018	40.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
There are delegated leads for safeguarding in all Portfolios . A Corporate Safeguarding policy was presented and adopted by Cabinet in October 2017, and will be rolled out across the

Council. A review of Disclosure and Barring Service checks has taken place to ensure Services follow safe recruitment practices. Internal Audit has undertaken a review of Corporate Safeguarding. Their final report will inform the future work programme of the Corporate Safeguarding Panel.

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.5.1.2 Ensure that our response rates to referrals remain within statutory targets	Jane M Davies - Senior Manager, Safeguarding & Commissioning	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Performance for timeliness of initial child protection conferences carried out within timescales has improved this year to 94.8%. The timeliness of child protection reviews has also improved, with 99.2% of review conferences being held within timescales. One review was delayed because the family had a court date pending.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.5.1.3 Develop a preventative approach towards Child Sexual Exploitation (CSE)	Jane M Davies - Senior Manager, Safeguarding & Commissioning	Completed	01-Apr-2017	16-Oct-2017	100.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
North Wales Police Child Sexual Exploitation (CSE) videos have been shared Senior Management Team meetings across the Authority and at the Corporate Safeguarding Panel. CSE awareness is also on the agenda for general safeguarding training for members of all Scrutiny Committees.

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.5.1.4 Identify and address the signs and symptoms of domestic abuse and sexual violence	Sian Jones - Public Protection Manager	In Progress	01-Apr-2017	31-Mar-2018	25.00%	AMBER	AMBER

ACTION PROGRESS COMMENTS:
Welsh Government require all Flintshire County Council staff to complete the Violence Against Women and Domestic Abuse and Sexual Violence, level 1, e-learning module. Significant technical issues has meant that all local authorities in Wales have encountered difficulties accessing the system. These technical issues have now been resolved. The Council is now using a different IT platform. The e-learning module file has now been uploaded on to Learning Pool Flintshire Academi, our own internal platform so we can report on a daily basis. In

terms of future considerations, 60% of FCC employees do not have access to a computer. Face to face sessions are planned for January 2018, and will be delivered in partnership with Wrexham County Council. Sessions will last 2.5 to 3 hrs. We are currently exploring the possibility of face to face sessions with a theatre style company. A presentation on the training requirements will be provided to Change Exchange members in December 2017.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.5.1.5 Strengthen regional community safety through collaboration and partnership arrangements	Sian Jones - Public Protection Manager	Completed	01-Apr-2017	31-Mar-2018	100.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The North Wales Safer Communities' Board Plan has now been approved. This document sets out the priorities for the statutory partners for the next three year period. A work programme is included. Flintshire continues to occupy an active role in this forum, and on a local level has adopted the regional priorities through the work of the Flintshire Public Service Board.

Last Updated: 24-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
1.5.1.6 Ensure we meet the requirements of the North Wales Contest Board	Sian Jones - Public Protection Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The self assessment, which measures the Council's progress against the 'Prevent' duties, has been undertaken. Progress is reviewed on a regular basis at the Corporate Safeguarding Panel. The Panel continues to work on the areas of weakness highlighted in the self-assessment, and respond to any requests from the North Wales Contest Board, as and when required.

Last Updated: 31-Oct-2017

Performance Indicators

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG

IP1.1.1.1M01 The numbers of new Council homes delivered through the SHARP programme	6	11	11	GREEN	↑	11	11	GREEN

Lead Officer: Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager
Reporting Officer: Denise Naylor - Housing Programmes Support Manager
Aspirational Target:
Progress Comment: The first phase of Social units have been completed and transferred to the Council at the Walks, Flint.

Last Updated: 09-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.1.1.2M02 The numbers of new affordable homes delivered through the SHARP programme	0	18	18	GREEN	↑	18	18	GREEN

Lead Officer: Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager
Reporting Officer: Denise Naylor - Housing Programmes Support Manager
Aspirational Target:
Progress Comment: 18 affordable units have delivered and transferred across to NEW Homes. A further 44 are expected to be completed by the end of the year.

Last Updated: 09-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.1.1.3M03 The number of properties managed by NEW Homes	30.5	23	27	AMBER	↓	23	27	AMBER

Lead Officer: Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager
Reporting Officer: Denise Naylor - Housing Programmes Support Manager
Aspirational Target:
Progress Comment: NEW Homes have taken on the management of 18 units on the Walks, Flint. It has also received 3 section 106 properties at Northop Hall, and 2 private sector landlord properties. A delayed handover of 106 sections accounts for 4 units.

Last Updated: 09-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.2.1.1M01 The percentage of landlords and letting agents compliant with the Rent Smart Code of Practice	No Data	63.62	65	AMBER	N/A	63.62	65	GREEN

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Lynne Fensome - Support Manager Environment
Aspirational Target:
Progress Comment: We are pro-actively encouraging landlords to register. Where there is deliberate non-compliance we are taking appropriate enforcement action either through direct action or referral to Rent Smart Wales

Last Updated: 23-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.2.1.2M02 The percentage of landlords that have complied with improvement notices	No Data	73	80	AMBER	N/A	73	80	GREEN

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Jenny Prendergast - Team Manager - Health & Safety Enforcement
Aspirational Target:
Progress Comment: 11 improvement notices had completion dates for 1st April to 30th Sept 2017. 8 have been completed and there are 3 outstanding for non-compliance. 1 is progressing to prosecution and 2 are under consideration for legal proceedings

Last Updated: 23-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.2.1.3M03 The percentage of tenants protected from unsuitable living conditions	No Data	100	100	GREEN	N/A	100	100	GREEN

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Jenny Prendergast - Team Manager - Health & Safety Enforcement
Aspirational Target:
Progress Comment:

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.2.2.1M01 The number of new affordable homes provided through the planning system	10.5	13	12.5	GREEN	↑	16	25	AMBER

Lead Officer: Andrew Farrow - Chief Officer - Planning and Environment
Reporting Officer: Lynne Fensome - Support Manager Environment
Aspirational Target:
Progress Comment: Only one application was decided during the period that required consideration as affordable housing, Allied Bakeries Ltd, Chester Road, Saltney where 13 affordable houses are to be provided in a development of 70 dwellings. Four 2 bed dwellings were gifted to North East Wales Homes and four 2 bedroom and four 3 bedroom houses were offered for sale at a discount market rate.

Last Updated: 02-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.2.2.3M03 (PAM/014) The number of new homes created as a result of bringing empty properties back into use	19	212	200	GREEN	↑	212	200	GREEN

Lead Officer: Niall Waller - Enterprise and Regeneration Manager
Reporting Officer: Joseph Muxlow - Regeneration Programme Lead
Aspirational Target:
Progress Comment: The Council agreed in April 2017 to apply a Council Tax reduction of 50% to encourage owners of empty homes to bring them back into use. This policy has had a positive effect during the first 6 months of the year.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.2.3.1M01 (PAM/015) Average number of calendar days taken to deliver a DFG	No Data	383	240	RED	N/A	383	240	RED

Lead Officer: Niall Waller - Enterprise and Regeneration Manager
Reporting Officer: Joseph Muxlow - Regeneration Programme Lead
Aspirational Target:
Progress Comment: The average number of calendar days taken to deliver a Disabled Facilities Grant (DFG) has been increased by a small number of DFGs for children completing in this quarter which have had very lengthy completion dates due to their complexity.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.1.1M01 Number of days to process new housing benefit claims	20	15.28	20	GREEN	↑	15.28	20	GREEN

Lead Officer: Jen Griffiths - Benefits Manager
Reporting Officer: Suzanne Jones - Team Manager - Benefits and Council Tax Reduction Assessment
Aspirational Target:
Progress Comment: Target for processing new claims has been met. During this period we had one vacancy and two employees absent due to long term sickness.

Last Updated: 16-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.1.2M02 Number of days to process change of circumstances for housing benefit	8	7.37	8	GREEN	↑	7.37	8	GREEN

Lead Officer: Jen Griffiths - Benefits Manager
Reporting Officer: Suzanne Jones - Team Manager - Benefits and Council Tax Reduction Assessment
Aspirational Target:
Progress Comment: Target for processing change of circumstances has been met. During this period we had one vacancy and two employees absent due to long term sickness. The reduction in employees required priorities to be assessed and resources were allocated to new claims as they are a higher priority.

Last Updated: 16-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.1.3M03 The amount of additional income paid to Flintshire residents as a result of the work undertaken by the Council (£)	375000	395440	375000	GREEN	↑	395440	375000	GREEN

Lead Officer: Suzanne Mazzone - Supporting People Manager
Reporting Officer: Jen Griffiths - Benefits Manager
Aspirational Target:
Progress Comment: £379,385 of additional Social Security benefits and Tax Credits has been paid to Flintshire residents. £16,054 of one-off payments have also been made to cover claims for backdating, and grants etc.

£90,753 value of gains recorded by the Supporting People team.

Last Updated: 02-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.2.1M01 (PAM/012) Percentage of households successfully prevented from becoming homeless	89.58	69.46	89	AMBER	↓	71.51	89	AMBER

Lead Officer: Suzanne Mazzone - Supporting People Manager
Reporting Officer: Suzanne Mazzone - Supporting People Manager
Aspirational Target:
Progress Comment: Performance is below target, but within the variance set. Outcomes are reported based on each stage of the duty and therefore, people can still proceed to have a positive outcome at the final duty stage. The team continues to work proactively with customers. Difficulties with the introduction of Universal Credit and welfare reforms have made it more challenging to complete successful prevention work for those people within the private rented sector. In-year funding has been allocated to look at a scheme of incentives for private landlords and it is hoped that this will assist to improve performance in Q3 and Q4.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.2.2M02 The number of people on UC that have received personal budgeting support	0	120	149.25	AMBER	↑	206	298.5	AMBER

Lead Officer: Jen Griffiths - Benefits Manager
Reporting Officer: Dawn Barnes - Training Officer
Aspirational Target:
Progress Comment: Personal Budgeting Support (PBS) increased due to promotion to support providers and creation of a direct email link for enquiries. We also provide the service within the job centres to ensure access for customers is as easy as possible.
Discretionary Housing Payment processes were changed to incorporate early discussion around financial capability which helps identify potential Universal Credit customers in need of PBS.
Performance is below target however, work is continuing to promote the service and we are seeking new ways to engage with customers to provide the support needed.

Last Updated: 16-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.2.3M03 The number of people on UC that have received digital support	0	547	182	GREEN	↑	934	375	GREEN

Lead Officer: Jen Griffiths - Benefits Manager
Reporting Officer: Dawn Barnes - Training Officer
Aspirational Target:
Progress Comment: Expansion of Universal Credit (UC) Full Service has increased volume of people requiring digital support. The demand for this service is above the volumes predicted by the Department for Work and Pensions (DWP) and analysis shows that customers are requiring support on multiple occasions to make and manage their UC claim online. Increase in support provided is reported to the DWP as progress against grant funding.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.3.1M01 Number of people completing programmes commissioned by the Council which deliver job and training outcomes	80.75	116	100	GREEN	↑	219	200	GREEN

Lead Officer: Niall Waller - Enterprise and Regeneration Manager
Reporting Officer: Sharon Jones - Communities First Cluster Delivery Manager East
Aspirational Target:
Progress Comment: Three programmes are included within this out-turn figure - Communities First, Communities 4 Work and LIFT, all funded by Welsh Government. The programmes provide a mixture of: one to one mentoring, employer engagement, work-focussed training, confidence building and encouragement for enterprise.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.4.2M02 The number of residents supported to lower their energy tariff	0	30	37.5	AMBER	↑	30	75	AMBER

Lead Officer: Niall Waller - Enterprise and Regeneration Manager
Reporting Officer: Leanna Jones - Home Energy Conservation Officer
Aspirational Target:
Progress Comment: Average estimated annual household savings from switching was £209.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.4.3M03 The number of people receiving the warm home discount	0	0	12.5	RED	↔	0	25	AMBER

Lead Officer: Niall Waller - Enterprise and Regeneration Manager
Reporting Officer: Leanna Jones - Home Energy Conservation Officer
Aspirational Target:
Progress Comment: This is a new indicator A full report will be given with the Q3 reporting session.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.4.4M04 The number of private sector homes receiving efficiency measures	56.75	34	35	AMBER	↓	102	70	GREEN

Lead Officer: Niall Waller - Enterprise and Regeneration Manager
Reporting Officer: Leanna Jones - Home Energy Conservation Officer
Aspirational Target:
Progress Comment: Majority of these installs were boilers, full heating systems, and insulation measures through the Affordable Warmth Crisis Fund, with match funding for additional systems brought in through ECO and Nest.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.4.5M05 The number of people who receive a full healthy homes healthy people / affordable warmth / HHSRS home visit and tailored service	No Data	85	125	RED	N/A	85	250	AMBER

Lead Officer: Niall Waller - Enterprise and Regeneration Manager
Reporting Officer: Leanna Jones - Home Energy Conservation Officer
Aspirational Target:
Progress Comment: In quarter 3 the number will rise as we will have the opportunity to include other data from Healthy Homes Healthy People and area-based project advice visits. We are therefore still confident we will achieve the target.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.3.4.6M06 The number of Council homes receiving efficiency measures	162.5	35	75	RED	↓	35	150	AMBER

Lead Officer: Niall Waller - Enterprise and Regeneration Manager
Reporting Officer: Leanna Jones - Home Energy Conservation Officer
Aspirational Target:
Progress Comment: Some heating installations which have not yet been invoiced have not been able to be included and will be counted in Quarter 3. Additionally, forward planning alongside capital works is now in final stages of signoff, so there will be an increase in activity in Quarter 3 and Quarter 4.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.4.1.1M01 The number of care homes who have implemented the new Progress for Providers Programme	No Data	16	5	GREEN	N/A	16	5	GREEN

Lead Officer: Nicki Kenealy - Contracts Team Manager
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: The programme has been implemented in 14 residential and 2 nursing homes.

Last Updated: 11-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.4.1.4M04 Sustaining existing care homes within Flintshire	No Data	26	26	GREEN	N/A	26	26	GREEN

Lead Officer: Dawn Holt - Commissioning Manager
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: 26 care homes for adults (includes residential, EMI and nursing). We are sustaining the number of care homes in Flintshire despite the pressures in the market, by concentrated input. Two homes are in escalating concerns. This action links to the red risk around demand for bed availability (ST163).

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.4.1.5M05 The percentage occupancy within Flintshire care homes	No Data	96.7	95	GREEN	N/A	96.7	95	GREEN

Lead Officer: Dawn Holt - Commissioning Manager
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: This is based on vacancy rate in the last week of the quarter.

Last Updated: 26-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.4.2.3M03 The percentage of employees trained in Person Centred Care in line with the Social Services and Well-being act (Wales) 2014	20	100	25	GREEN	↑	100	50	GREEN

Lead Officer: Jane M Davies - Senior Manager, Safeguarding & Commissioning
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: The first phase of training on person centred practice in line with the Act has been rolled out across the workforce. The Draft Strategic Workforce Development Plan sets out what needs to be done to ensure that this is incorporated in to the induction for new staff and that existing staff are supported to keep their skills up to date. In November we will begin phase 2 of the programme for person centred practice / personal outcomes, as it is rolled out across Wales over the next 6 months.

Last Updated: 20-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.4.3.2M02 (PAM/029) Percentage of children in care who had to move 2 or more times	8.74	5.26	10	GREEN	↑	5.26	10	AMBER

Lead Officer: Craig Macleod - Senior Manager, Children's Services & Workforce
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: 12 children have moved more than twice since April of this year. For 6 of these children, moves were planned and in accordance with the child's plan. It is a priority to place children in stable placements wherever possible. This is a cumulative indicator and we will not see the full impact until the end of the year; however, we anticipate that there will be a challenge in meeting the target at year end. This is reflected by the Amber progress RAG.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG

IP1.4.3.3M03 Percentage of children assessed by CAMHS within 28 days by BCUHB	No Data	100	95	GREEN	N/A	100	95	GREEN

Lead Officer: Craig Macleod - Senior Manager, Children's Services & Workforce
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: BCUHB are reporting that they are now meeting their target of 28 days for CAMHS assessments.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.5.1.1M01 Number of adult carers identified.	216.75	310	225	GREEN	↑	310	450	GREEN

Lead Officer: Dawn Holt - Commissioning Manager
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: Target met. We continue to work with our commissioned services to improve the capture of carers data.

Last Updated: 26-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.5.2.1M01 (PAM/025) Number of people kept in hospital while waiting for social care per 1,000 population aged 75+	0.32	0.08	1.78	GREEN	↑	0.68	1.78	GREEN

Lead Officer: Janet Bellis - Localities Manager
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target: 1.78
Progress Comment: The Council and Betsi Cadwaladr University Health Board (BCUHB) work together on a case by case basis to ensure prompt discharge. The target rate is equivalent to 23 delays in the year. There have been 9 delays so far this year, the longest wait being 27 days, and the shortest wait being 1 day. Awaiting data for September from Welsh Government.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.5.3.1M01 Percentage of child protection referrals that result in “no further action”.	37.6	55	35	RED	↓	55	35	RED

Lead Officer: Craig Macleod - Senior Manager, Children's Services & Workforce
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target: 30.00
Progress Comment: 55% of child protection referrals received by Children's First Contact currently have no action taken after screening. These referrals are now being considered for early support below the child protection threshold, through the newly implemented Early Help Hub. This should reduce the number of referrals with no action taken, and provide low level multi agency early intervention to more families who do not meet the threshold for statutory services.

Last Updated: 20-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.5.4.1M01 The number of dementia cafes in Flintshire	3	8	6	GREEN	↑	8	6	GREEN

Lead Officer: Dawn Holt - Commissioning Manager
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target: 6.00
Progress Comment: Flintshire has 8 dementia cafes (Mold, Buckley, Connahs Quay, Sealand and Queensferry, Saltney, Holywell, Mostyn, Flint) and there is one Alzheimer's Society lead one in Broughton. Leeswood has also started a Memory Café but no links to the others currently in Flintshire.

Last Updated: 11-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.5.4.2M02 The number of dementia friendly communities in Flintshire	No Data	3	3	GREEN	**N/A**	3	3	GREEN

Lead Officer: Dawn Holt - Commissioning Manager
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target: 6.00
Progress Comment: There are 3 accredited Dementia Friendly Communities in Fliintshire (Mold, Flint, Buckley) and 6 more are working towards accreditation (Alyn Villages, Holywell, Saltney, Connahs Quay, Sealand and Ysciefiog). Next to be accredited will be Saltney and Alyn Villages. Flintshire is the lead county with Dementia Friendly Communities in North Wales.

Last Updated: 11-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.1.1M01 Increased referral rates from services other than Social Services	No Data	5	7.5	RED	**N/A**	7	15	AMBER

Lead Officer: Jane M Davies - Senior Manager, Safeguarding & Commissioning
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target: 30.00
Progress Comment: 7 referrals have been received from other portfolio areas this year. As the action to increase safeguarding awareness is rolled out across the Authority we should see a rise in the number of referrals received from areas outside of Social Services.

Last Updated: 02-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.1.2M02 The number of officers who have completed the specialist 'AFTA Thought' safeguarding awareness training.	No Data	288	175	GREEN	N/A	288	175	GREEN

Lead Officer: Neil Ayling - Chief Officer - Social Services
Reporting Officer: Fiona Mocko - Policy Advisor (Equalities and Cohesion)
Aspirational Target: 700.00
Progress Comment: AFTA Thought training was provided in May and June 2017. Further courses are being planned for this financial year.

Last Updated: 19-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.2.1M01 Percentage of adult protection enquiries completed within 7 days	No Data	71.59	78	AMBER	N/A	82.14	78	GREEN

Lead Officer: Jayne Belton - Team Manager - Safegaurding
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: We are seeing an increase in Adult Protection enquiries this year because of the impact of the Act. Enquiries completed outside the 7 days are those that are not straightforward and are waiting for additional information. New, tighter processes are in place so non-complex enquires are being dealt with within the timescale.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.2.2M02 Percentage of initial child protection conferences due in the year and held within timescales	99.53	98.15	95	GREEN	↓	94.81	95	GREEN

Lead Officer: Jayne Belton - Team Manager - Safegaurding
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target:
Progress Comment: The high numbers of children on the Child Protection Register and need to complete ongoing reviews has impacted on capacity in the Safeguarding Unit; however, timescales have improved since last quarter.

Last Updated: 26-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.2.3M03 Percentage of reviews of children on the child protection register due in the year and held within timescales	99.17	99.2	98	GREEN	↑	99	98	GREEN

Lead Officer: Jane M Davies - Senior Manager, Safeguarding & Commissioning
Reporting Officer: Jacque Slee - Performance Lead – Social Services
Aspirational Target: 98.00
Progress Comment: Target met; all reviews were completed on time.

Last Updated: 26-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.3.1M01 The percentage of portfolio senior management teams that have viewed the North Wales Police CSE information videos	0	12.5	25	RED	↑	12.5	25	AMBER

Lead Officer: Fiona Mocko - Policy Advisor (Equalities and Cohesion)
Reporting Officer: Fiona Mocko - Policy Advisor (Equalities and Cohesion)
Aspirational Target: 100.00
Progress Comment: The videos have been made available and the password circulated to every Portfolio lead of the Corporate Safeguarding Panel.

Last Updated: 16-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.4.1M01 Percentage of employees who have completed the level 1 e-learning training package to meet the requirements of the Domestic Abuse and and Sexual Violence National Training Framework	No Data	19.2	25	AMBER	N/A	19.2	25	AMBER

Lead Officer: Sian Jones - Public Protection Manager
Reporting Officer: Heather Johnson - Learning and Development Adviser
Aspirational Target: 50.00
Progress Comment: 72 officers have completed the pilot for the e-learning course. A number of technical issues were identified as a result of the pilot however, with the transfer to Learning Pool it is anticipated that the course will be easier to access. A timetable for the rollout has been formulated for quarter 3 and 4.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.4.2M02 The number of reported incidents of Domestic Abuse and Sexual Violence	375	778	0	GREEN	↑	1505	0	GREEN

Lead Officer: Sian Jones - Public Protection Manager
Reporting Officer: Sian Jones - Public Protection Manager
Aspirational Target:
Progress Comment: Quarter 2 continues to show an increase in reported incidents with a significant increase on Quarter 1 in the number of recorded crimes with 88 more being reported

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.4.3M03 The number of domestic abuse incidents reported to North Wales Police	275	678	0	GREEN	↑	1294	0	GREEN

Lead Officer: Sian Jones - Public Protection Manager
Reporting Officer: Sian Jones - Public Protection Manager
Aspirational Target:
Progress Comment: This period shows a reduction in the number of reported incidents compared with Quarter 1 with an increase in the number of recorded crimes. 412 crimes were recorded compared to 293 in Quarter 2 of 2016. Violence without injury and criminal damage accounted for the majority of offences. It is positive that offenders are being charged in increasing numbers for these crimes, which have a devastating impact on their victims.

Last Updated: 31-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP1.6.4.4M04 The number of incidents of sexual assaults reported to North Wales Police	100	100	0	GREEN	↔	211	0	GREEN

Lead Officer: Sian Jones - Public Protection Manager
Reporting Officer: Sian Jones - Public Protection Manager
Aspirational Target:
Progress Comment: Recorded incidents remain similar to the last quarter although showing an increase compared to the same period the previous year. The majority of incidents were recorded as taking place in a dwelling with the average age of suspects and victims being between 14 and 17 years of age, highlighting the importance of preventative work around this issue to children and young people.

Last Updated: 24-Oct-2017

RISKS

Strategic Risk

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Homelessness will remain a growing area of demand due to the current economic climate	Suzanne Mazzone - Supporting People Manager		Amber	Amber	⬌	Open

Potential Effect: .
Management Controls: The Council has been awarded in year funding to assist with additional measures to develop Landlord incentives within the private rented sector. We have also been awarded funding to develop a night shelter during the winter months.
Progress Comment: Homelessness remains a risk as a result of a number of factors. The introduction of welfare reforms and Universal Credit has created additional barriers to being able to successfully discharge duties to customers. The number of people presenting to the authority for help has increased during each quarter. Staffing levels are now correct within the team and new staff have been fully trained within the role. This should see more outcomes achieved. Additional funding has been granted to develop Landlord incentives within the private rented sector and also to look at a housing first pilot. These projects will be running during Quarters 3 & 4.

Last Updated: 31-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS

The supply of affordable housing will continue to be insufficient to meet community needs	Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager	Denise Naylor - Housing Programmes Support Manager	Red	Amber	↓	Open

Potential Effect: i) Increase in homelessness
ii) Increased pressure on the Housing Options Team
iii) Increase in people sleeping rough
Management Controls: Affordable Housing Officer in post to monitor Section 106 and Social Housing Grant. Robust programme management arrangements for Strategic Housing and Regeneration Programme (SHARP)
Progress Comment: A programme of development through the SHARP has secured an additional 126 units to be delivered this year to ensure increased availability of affordable and social housing. Additional social housing grant has been secured from Welsh Government to deliver social housing through housing associations.

Last Updated: 09-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Department for Works and Pension's Welfare Reform Programme, including Universal Credit full service implementation which would place increasing demand on the Council for affordable and social housing	Jenni Griffith - Flintshire Connects Manager	Denise Naylor - Housing Programmes Support Manager, Suzanne Mazzone - Supporting People Manager	Amber	Amber	↔	Open

Potential Effect: .
Management Controls: Development of a pilot shared housing property
Developing innovative housing schemes that will aim to provide housing at a cost that would meet the LHA rates
Common Housing Register that gives recognises affordability as a housing need and priority given to those who are suffering financial hardship in terms of housing costs due to impacts of welfare reforms
Progress Comment: A property has been identified for the shared housing pilot to be managed by Wales and West and planning consent is being progressed.
A bid for funding the Innovative Housing Options has been developed.
SARTH policy review has been completed and there are no changes to recognised housing needs following welfare reform changes demonstrating a commitment from all partners to continue to invest and work to meet the housing requirements for those most vulnerable and affected by welfare reforms.

Last Updated: 25-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Reduction of land supply for council housing construction	Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager	Denise Naylor - Housing Programmes Support Manager	Amber	Amber	↔	Open

Potential Effect: i) Reduction in number of units delivered
Management Controls: i) On going communication with valuation and estates
ii) Ongoing consultation with Planning to ensure sites are manageable
Progress Comment: The risk currently remains the same while future phases are explored and developed.

Last Updated: 09-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Capital borrowing limits for council housing	Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager	Denise Naylor - Housing Programmes Support Manager	Amber	Amber	↔	Open

Potential Effect: i) reduction in construction and delivery of Council houses
Management Controls: i) Negotiation of funding with WG
ii) Negotiations through HRA business plan
Progress Comment: Discussions are in progress between the Council and Welsh Government to secure additional funding as part of the Housing Revenue Account (HRA) business plan

Last Updated: 09-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS

Customer expectations for the timeliness of adaptations undertaken through disabled facilities grants may not be met due to competing demands on resources	Niall Waller - Enterprise and Regeneration Manager		Amber	Amber	↔	Open

Potential Effect:
Management Controls: i) Monitoring and management of adaptation cases.
ii) Ongoing process review.
Progress Comment: The performance on DFG timescales has improved in the last year. There are further improvements underway to sustain this improvement including rolling out use of the new adaptations procurement framework and further process improvements.

Last Updated: 08-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Availability of sufficient funding to resource key priorities	Niall Waller - Enterprise and Regeneration Manager	Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager	Amber	Red	↑	Open

Potential Effect: .
Management Controls: Monthly monitoring of adaptations budgets
Progress Comment: The adaptations budget is monitored monthly to ensure there is sufficient availability for funding key priorities. In addition to this a Community and Enterprise Efficiency and Resilience Statement has been prepared which sets the resilience levels for the current year in terms of service scale and quality, capability and service sustainability which includes the impact in the event of funding being withdrawn. Demand for adaptations is exceeding the capital budget available in 2017/18.

Last Updated: 31-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Universal Credit Full Service roll out - negative impact upon FCC services	Jen Griffiths - Benefits Manager	Dawn Barnes - Training Officer	Amber	Amber	↔	Open

Potential Effect: Potential increased in rent arrears and decrease of Council Tax collection.
Potential increased risk of homelessness and need for accommodation.
Increased demand in existing support services
Management Controls: Universal Credit Operational Board established to bring together all FCC support services that may be impacted to co-ordinate a response to maximise support by reducing duplication.
Progress Comment: The impact of Welfare Reform on Flintshire households increasing the demand for advice and support to levels beyond what resource can handle in a timely manner.
Potential increased in rent arrears and decrease of Council Tax collection.
Potential increased risk of homelessness and need for accommodation.
Increased demand in existing support services

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Demand for advice and support services will not be met	Jen Griffiths - Benefits Manager	Suzanne Mazzone - Supporting People Manager	Amber	Amber	↔	Open

Potential Effect: .
Management Controls: The Flintshire Advice and Support Gateways are ensuring residents in need of help are referred to an appropriate service provider and maximising effective use of resources as much as possible.
Progress Comment: Demand continues to increase for advice and support services within the county. The development of the Welfare Response Team has assisted with the implementation of UC across the county, but referral numbers continue to rise. Referrals to wider support services are increasing, with a particular emphasis on those residents experiencing debt issue. Managers across Customer Services, Neighbourhood Housing and Revenues and Benefits are continuing to work together to develop early intervention strategies.

Last Updated: 26-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Debt levels will rise if tenants are unable to afford to pay their rent or council tax	Jen Griffiths - Benefits Manager	Sheila Martin - Income Team Leader	Amber	Red	↑	Open

Potential Effect: .
Management Controls: Reviews of procedures are being carried out to try and mitigate the impact however a true estimate of impact cannot yet be confirmed at this point.
Progress Comment: Colleagues from Housing Benefit, Income Team, Neighbourhood Housing and Housing Solutions are currently working together to identify early intervention tools for those tenants that fall into arrears with their rent. A model based on the Early Help Hub within Children's Services is being explored and resources committed to ensure that homelessness is prevented and rent collection is maximised.

Last Updated: 31-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
The local economy will suffer if residents have less income to spend	Jen Griffiths - Benefits Manager	Suzanne Mazzone - Supporting People Manager	Amber	Amber	↔	Open

Potential Effect: Local economy will suffer as people can only just afford to spend on essential items
Management Controls: We are continuing to support residents to access the correct amount of advice and support to enable them to better manage their financial situation.
Progress Comment: We are continuing to support residents to access the correct amount of advice and support to enable them to better manage their financial situation. The new Welfare Reform Response Team is working alongside colleagues in Housing and Job Centre Plus to alleviate financial pressures caused as a result of the introduction of Universal Credit. Welfare Rights and Supporting People teams continue to explore areas of income maximisation for residents of the county.

Last Updated: 31-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Delivery of social care is insufficient to meet increasing demand	Jane M Davies - Senior Manager, Safeguarding & Commissioning	Jacque Slee - Performance Lead – Social Services	Amber	Yellow	↓	Open

Potential Effect:
Management Controls: Developing the market for residential and nursing care
Extending the opening hours for single point of access
Implemententing Community Resouce Team
Developing community resilience
Implementing an Early Help Hub for children and families
Progress Comment: Recommendations have been approved to explore further the extension of Marleyfield (32 beds for intermediate care and discharge to assess). This expansion will also help to support the medium term development of the nursing sector.
The Single Point of Access will operate under extended opening hours to increase the opportunity for contact by the public.
The multi agency Early Help Hub for children and families is in operation, and a formal launch is planned for November.
The level of risk is reducing.

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Demand outstrips supply for residential and nursing home care bed availability	Jane M Davies - Senior Manager, Safeguarding & Commissioning	Jacque Slee - Performance Lead – Social Services	Red	Red	↔	Open

Potential Effect:
Management Controls: Working with Corporate colleagues to use capital investment to support the development of our in-house provision.
Outcomes from the 'Invest to Save' Project Manager made available together with a short, medium and long term plan to support the care sector.
Quick wins from the 'Invest to Save' Project Manager to be implemented.
Increase bed and extra care capacity for dementia/ learning disabilities.
Develop specialist respite for Early Onset Dementia.
Identify and create market change and dynamics, generate more competition, new providers for all ages including children and LD.
Assist with local housing (subsidised?) for specified employees in social care i.e. direct care staff.
Joint marketing and recruitment campaign, including portals, sharing of candidates, shared approach.
Progress Comment: A report has been prepared around the potential expansion of the care sector, and presented to Programme Board. Recommendations have been approved to explore further the extension of Marleyfield (32 beds for intermediate care and discharge to assess). This expansion will also help to support the medium term development of the nursing sector.
A Strategic Opportunity Review has been completed, with a report being presented to Cabinet in October. A lobbying letter to WG has been drafted subject to cabinet approval, highlighting the risks and areas for concern. There are several active workstreams, including the development of resources to support the sector, such as a provider portal.

Last Updated: 16-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Annual allocation of ICF - Short term funding may undermine medium term service delivery	Susie Lunt - Senior Manager, Integrated Services	Jacque Slee - Performance Lead – Social Services	Red	Red	↔	Open

Potential Effect:
Management Controls: Seeking agreement from partners on allocation of funds to deliver medium term services
Progress Comment: We have reached agreement in principal for ICF capital funding to be allocated for the expansion over the next 3 years (£415K per year). However, we are still awaiting confirmation that the full funding will continue. The Minister has announced a further review of ICF; this risk remains Red.

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Early Help Hub cannot deliver effective outcomes	Craig Macleod - Senior Manager, Children's Services & Workforce	Jacque Slee - Performance Lead – Social Services	Green	Green	↔	Open

Potential Effect:
Management Controls:
Progress Comment: Partners have identified staffing for the Early Help Hub. The soft launch has provided an opportunity to test and refine processes to secure effective service delivery and outcomes. Partner commitment for the long term financial sustainability for the Early Help Hub has been identified as an area for conclusion.

Last Updated: 19-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Rate of increase of adult safeguarding referrals will outstrip current resources	Jane M Davies - Senior Manager, Safeguarding & Commissioning	Jacque Slee - Performance Lead – Social Services	Red	Amber	↓	Open

Potential Effect:
Management Controls: Realign response to front door referrals by utilising resources within First Contact and Intake, in order to free up time to allow the Safeguarding Managers to effectively delegate tasks.
Progress Comment: Ongoing realignment of responsibilities within Adult Safeguarding and First Contact and Intake means that the level of risk of not meeting statutory timescales is decreasing, and those enquiries that do not meet timescales are of a lower priority.

Last Updated: 20-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Deprivation of Liberty Safeguarding (DoLS) assessment waiting list increases	Jane M Davies - Senior Manager, Safeguarding & Commissioning	Jacque Slee - Performance Lead – Social Services	Amber	Amber	↔	Open

Potential Effect:
Management Controls: Realignment of responsibilities in the teams to meet increasing demand.
Progress Comment: Actions taken to realign the responsibilities of the teams to meet the demands of the increase in adult safeguarding enquiries may have the unwanted effect of increasing the waiting list for DoLS assessments. The waiting list continues to be actively managed, with urgent and review authorisations being prioritised, and therefore the level of risk remains the same.

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Knowledge and awareness of safeguarding not sufficiently developed in all portfolios	Fiona Mocko - Policy Advisor (Equalities and Cohesion)	Jane M Davies - Senior Manager, Safeguarding & Commissioning	Red	Red	↔	Open

Potential Effect:
Management Controls: Training programme is available and will be promoted during Safeguarding Week November 2017 . E-learning programme being updated ready to be launched November 2017
Progress Comment: The Corporate Safeguarding Policy was approved by Cabinet in October 2017 and will be promoted widely to the workforce to ensure everyone understands their responsibilities. The first Corporate Safeguarding newsletter has been published, this will help raise knowledge and awareness of safeguarding.

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Failure to implement safeguarding training may impact on cases not being recognised at an early stage.	Fiona Mocko - Policy Advisor (Equalities and Cohesion)		Red	Red	↔	Open

Potential Effect:
Management Controls: Safeguarding training will be included in induction programme ensuring all new employees receive training.
A range of safeguarding training for the workforce is being developed and numbers attending will be reported to the Corporate Safeguarding Panel..
Progress Comment: Social Services Workforce Development Team are updating and reviewing the Safeguarding e-learning modules which will be re-launched to the workforce. Safeguarding will be included in induction ensuring all new employees have a basic understanding of safeguarding.

Last Updated: 12-Oct-2017

2 Ambitious Council

Actions

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
2.1.1.1 The Regional Economic Growth Deal will be submitted to UK and Welsh Governments this year and will set out the main priorities for economic development across North Wales	Niall Waller - Enterprise and Regeneration Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
The Council is playing a major role in the development of the Growth Deal for North Wales. The Economic Ambition Board has established working groups to develop each element of the bid including; skills and employment, infrastructure and housing, business growth and transport. The outline bid will be submitted during 2017/18.

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
2.1.1.2 Guide the development of the Deeside Enterprise Zone (DEZ) and Northern Gateway mixed use development site, ensuring developments maximise economic and social value for the County and that they deliver the commitments made in the Regional Economic Growth Deal.	Niall Waller - Enterprise and Regeneration Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The Council is represented on the Deeside Enterprise Zone Board and provides a supporting function to the Board as required and to businesses in the Enterprise Zone. The Council provides a responsive support service to potential investors both in the Enterprise Zone and outside. The Council is actively working with the two landowners for the Northern Gateway site to encourage development to come forward and to steer development towards those investments which offer the greatest value to the economy of Flintshire.

Last Updated: 01-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG

2.1.1.3 Develop a long term strategic approach to Council's economic estate/land	Neal Cockerton - Chief Officer - Organisational Change 2	In Progress	01-Apr-2017	31-Mar-2018	15.00%	AMBER	AMBER

ACTION PROGRESS COMMENTS:
The workstream relates to the need to undertake a strategic review of our industrial and commercial estate. We need to ensure it is fit for purpose, provides key economic drivers, supports the aspirations of the council, supports local business, and is something that the Council still wishes to become involved in i.e. is it core business Currently work is in progress to commission a piece of specialist advisory services work to undertake this review .

Last Updated: 01-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
2.1.1.4 Expand the scale and quality of apprenticeships both regionally and locally.	Melville Evans - Strategic Housing and Regeneration Programme (SHARP) Programme Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The Housing Programmes Team and Business Development Team have worked together to create a film which encourages people to consider an apprenticeship in a STEM (Science, Technology, Engineering, Maths) field. This will be distributed widely in the county to reach as many people as possible. The Housing Programmes Team is exploring ways to reach local businesses and encourage the recruitment of apprentices across the region.

Last Updated: 24-Oct-2017

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ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
2.1.1.5 Develop a new approach to supporting town centre vitality and regeneration that maximises their role as shop windows for the County.	Niall Waller - Enterprise and Regeneration Manager	In Progress	01-Apr-2017	31-Mar-2018	20.00%	AMBER	AMBER

ACTION PROGRESS COMMENTS:
Initial scoping work is underway to look at options for the town centres in Flintshire including learning from other areas.

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
2.1.1.6 Ensure that the development of regional and local transport strategy initiatives maximises the potential for economic benefits and improve access to employment and tourism destinations.	Niall Waller - Enterprise and Regeneration Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The Council developed the Deeside Plan earlier in 2017 which sets out ambitions for a transport infrastructure that will maximise the economic value of Deeside and its potential for economic growth. The Council, in partnership with WG, is assessing the viability of different options to improve the infrastructure for cars, rail passengers and cyclists. WG have recently announced investment in the transport infrastructure in Deeside to improve public transport infrastructure and to develop a new strategic route to link the A494 to the A55.

Last Updated: 08-Oct-2017

Performance Indicators

No KPIs available

RISKS

Strategic Risk

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Infrastructure investment does not keep pace with needs and business is lost to the economy	Clare Budden - Chief Officer - Community and Enterprise	Niall Waller - Enterprise and Regeneration Manager	Amber	Amber	↔	Open

Potential Effect:
Management Controls: i) The Council will play a leading role in regional structures promoting economic growth.
ii) The Council will set out a clear plan for local infrastructure to meet regional and local needs.
Progress Comment: As highlighted in the action and tasks section of the report, the North Wales Growth Deal will include a package of strategic infrastructure investment projects. At the local level the Deeside Plan sets out a strategy for transport investment to maximise the benefit of economic growth. Welsh Government has already announced major investment in strategic road infrastructure and in public transport to help deliver this strategy.

Last Updated: 08-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Support for businesses in Flintshire doesn't meet their needs and fails to encourage investment	Clare Budden - Chief Officer - Community and Enterprise	Niall Waller - Enterprise and Regeneration Manager	Amber	Amber	↔	Open

Potential Effect:
Management Controls: i) The Council will continue to engage businesses and help them to access support.
ii) The Council will provide opportunities for businesses to network and support one another.
Progress Comment: The business development service in Flintshire remains responsive to business needs and is well regarded by them. The Council works closely alongside Welsh Government and other agencies to provide a co-ordinated service.

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
The stability of local and sub-regional economies	Clare Budden - Chief Officer - Community and Enterprise	Niall Waller - Enterprise and Regeneration Manager	Amber	Amber	↔	Open

Potential Effect:
Management Controls: Maintain an intelligence base on potential risks and mitigation measures.
Progress Comment: The Council continues to monitor changes and trends in the UK and regional economies that may have an impact on Flintshire's economy. The main area of uncertainty, Brexit, remains difficult to predict and quantify whilst the negotiated settlement with the European Union remains unknown.

Last Updated: 08-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
The region having a sufficient voice at Welsh Government and UK Government levels to protect its interests	Clare Budden - Chief Officer - Community and Enterprise	Niall Waller - Enterprise and Regeneration Manager	Amber	Amber	↔	Open

Potential Effect:
Management Controls: Play a major role in the North Wales Economic Ambition Board, Mersey Dee Alliance and the Rail Task Force.
Progress Comment: The Council has a lead role in developing the role and functions of the North Wales Economic Ambition Board and is closely involved in the work of the Mersey Dee Alliance. The Council also represents the region on the Rail Task Force and supports the All Party Parliamentary Group on transport.

Last Updated: 18-Oct-2017

3 Learning Council

Actions

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
3.1.1.1 Develop Education and Integrated Youth Services	Vicky Barlow - Interim Senior Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The Service is continuing to work in partnership with the Regional School Improvement Service (GwE) to provide support and challenge to all schools to achieve the best possible educational outcomes for all learners. The Interim Senior Manager for School Improvement (seconded from GwE) has worked closely with the Flintshire Core Leads for Primary, Special and Secondary schools to identify and target support for those schools most in need. Level 2 Business Plans for 2017 -2018 for both primary and secondary school phases are in place. Key priorities are focused around Standards, Curriculum and Assessment, Leadership, Wellbeing and Teaching. Each primary school currently categorised as Amber for support has an appropriate support plan in place and all secondary schools have a support plan in place in line with the revised regional strategy for supporting secondary schools. The Interim Senior Manager for School Improvement has met on a fortnightly basis with the GwE Core Leads for Primary and Secondary in Flintshire to review support for schools and progress against the Level 2 Business Plans. These feed into the Regional Quality Board (RQB) the first meeting has taken place in October as scheduled. Verified outcomes for Flintshire learners at Foundation Phase, Key Stage (KS)2 and KS3 and provisional outcomes at KS4 and KS5 were presented to the Education and Youth OSC on October 5th. Schools across the Local Authority have access this year to an increased professional development offer through the Regional School Improvement Service, including for leadership development, preparation for the digital competency framework and for developing literacy and numeracy. The Welsh Education Strategic Plan Forum has met to review the WESP in light of feedback from WG. The Forum has agreed to establish sub groups to progress key actions and these are currently being put in place. An initial meeting has taken place with the Regional Lead for Developing the Digital Competency Framework in order to audit schools' readiness and capacity to meet the requirements.

Last Updated: 01-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
3.1.1.2 Enhance skills to improve employment opportunities	Jeanette Rock - Principal Education Officer Inclusion	In Progress	01-Apr-2017	31-Mar-2018	30.00%	AMBER	GREEN

ACTION PROGRESS COMMENTS:
A Skills Summit was hosted by the North Wales Economic Ambitions Board (NWEAB) in September 2017. Work had been undertaken on a regional basis to identify the areas of need and the perceived gaps in employability skills such as digital competency and communication skills. The information from the Summit has been shared with all Flintshire secondary Headteachers to ensure shared awareness of the areas of need. The Welsh Government has developed a Digital Competency Framework (DCF) to support a national improvement in this area. This defines the standards that pupils are expected to meet through their education. Flintshire officers have developed a local response to the DCF and are working in collaboration with GwE, the regional school improvement service to ensure that an appropriate range of training and support is offered to school-based staff to facilitate delivery of the

Framework requirements. A secondary phase working group has been established to support improved outcomes for more able and talented pupils. The group has focused on skill development and offered a number of sessions to support the improvement and development of areas such as communication skills. A suite of vocational options has been offered to KS4 pupils across Flintshire schools. These are delivered through Coleg Cambria and other local work-based providers and offer accredited courses in areas such as Construction, Hair and Beauty, Animal Care, Motor Vehicle Maintenance along with qualifications in Teamwork and Personal Development in the Community and Employability Skills.

Last Updated: 24-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
3.1.1.3 Implementing the Welsh Government pilot of the 30 hour childcare offer.	Gail Bennett - Early Intervention Services Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The Childcare offer is on track. Weekly and monthly monitoring reports are sent to Welsh Government. There are three grants; Administration, Childcare Settings, and Special Educational Needs. The application process is electronic and can only be accessed if the child is living at an address in an eligible area. The first month's (September 2017) payments were processed successfully to settings.

Last Updated: 01-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
3.1.1.4 Families First Collaborative Programme	Ann Roberts - Families First Lead / Youth Services Manager	In Progress	01-Apr-2017	31-Mar-2018	75.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
For 2016/17 we set out to achieve Welsh Government (WG) Funding to enable the Council to re-commission a full Families First Programme. The funding (approx £1.6 million) is now achieved. The Council have implemented the new guidance proposals to de-commission elements of the programme which are no longer viable which is now completed. We are now developing a competitive dialogue process. The third sector has been key to delivery and is engaged in all development and all provision. Flintshire County Council procurement service are also supporting the full re-commissioning process. Following the WG agreed transition time, the full programme is now on track for being commissioned for delivery with effect from April 2018. This is on track to be delivered fully and within the financial envelope. The programme has ensured that the new provision is a resource for the Early Help Hub, which is an innovative multi-agency approach to deliver on the Well-being Act. The Families First programme also feeds in to the Well-being Act requirements. The commissioning progress is on track as firm guidance from WG has now been received and the Council are complying with the guidance to move towards delivery phase in April 2018.

Last Updated: 01-Nov-2017

Performance Indicators

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP3.1.1.12 M12 Percentage reduction in first time entrance to the youth justice system	3	41	3.75	GREEN	↑	61	7.5	GREEN

Lead Officer: James Warr - Youth Justice Manager
Reporting Officer: Louisa Greenly - Performance Management & Information Officer
Aspirational Target: 20.00
Progress Comment: There were 17 first Time Entrants in the period Jul-Sep 2016, compared with 10 in Jul-Sep 2017. This contributes to a steady reduction over time, and is due in part to the increased focus on prevention and the Bureau, which aims to divert young people away from crime and into more meaningful activities. The use of out of court community resolutions are also actively sought in order to improve outcomes for young people and the community.

Last Updated: 23-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP3.1.1.13 M13 The percentage of young people under 16 years old in the youth justice system offered education, training or employment.	71	80	80	GREEN	↑	80	80	GREEN

Lead Officer: James Warr - Youth Justice Manager
Reporting Officer: Louisa Greenly - Performance Management & Information Officer
Aspirational Target:
Progress Comment: This measure monitors those young people who had open programmes with the Youth Justice Service (prevention and statutory) who are of statutory school age and who are offered 25 hours or more Education, Training or Employment. This measure forms part of the Youth Justice Board (YJB) Welsh Key Performance Indicators, however the YJB do not require data from young people completing prevention interventions.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG

IP3.1.1.14 M14 The percentage of young people aged 16 – 18 in the youth justice system offered education, training or employment	14.5	38	15	GREEN	↑	118	30	GREEN

Lead Officer: James Warr - Youth Justice Manager
Reporting Officer: Louisa Greenly - Performance Management & Information Officer
Aspirational Target: 80.00
Progress Comment: As well as the facilitation of access into further or vocational courses, the Youth Justice Service aims to ensure that any community service project undertaken by young people has an educational element. Therefore young people are learning skills such as joinery, decorating, gardening, basic construction which will increase their future employability and deter them from future offending. The Junior Attendance Centre also assists with CV writing and enables young people to gain Agored qualifications in basic first aid and health and safety. This measure forms part of the Youth Justice Board (YJB) Welsh Key Performance Indicators, however the YJB do not require data from young people completing prevention interventions.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP3.1.3.1M01 The number of registered settings to deliver the Childcare offer	No Data	125	30.5	GREEN	N/A	125	61	GREEN

Lead Officer: Gail Bennett - Early Intervention Services Manager
Reporting Officer: Gail Bennett - Early Intervention Services Manager
Aspirational Target:
Progress Comment: Two provider workshops have been held. In May 2017 the aim was to explain the Offer and secure registration from as many settings across Flintshire, not only the areas within the pilot. This was positive and has increased numbers. It is beneficial to parents who want to access the Offer outside of the area they live and puts Flintshire in a good position for further expansion. The second workshop was held in September 2017 to provide an update and explain the payment process, resulting in further settings registering, including 4 from Cheshire. We continue to monitor availability of places and impacts on other programmes including Flying Start and Early Entitlement.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP3.1.3.2M02 The number of children accessing the Childcare offer	0	195	187	GREEN	↑	195	374	GREEN

Lead Officer: Gail Bennett - Early Intervention Services Manager
Reporting Officer: Gail Bennett - Early Intervention Services Manager
Aspirational Target:
Progress Comment: Registration is online and has been communicated through the Family Information Service, communities, businesses, and social media. Flintshire has 100% eligibility of applications due to the upfront explanations on eligibility and post code area check. Application acceptance is constrained by the eligibility checking due to the manual process and requirements for proof of eligibility; we are monitoring timescales and capacity. Figures are submitted to Welsh Government weekly.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP3.1.4.1M01 The number of people accessing the Families First programme	No Data	3834	3100	GREEN	N/A	3834	3100	GREEN

Lead Officer: Ann Roberts - Families First Lead / Youth Services Manager
Reporting Officer: Ann Roberts - Families First Lead / Youth Services Manager
Aspirational Target:
Progress Comment: The Families First programme is provided to individuals is via a complex provision of multi agency services. However, the cumulative figure takes account of this and is evidenced by monitoring reporting.

Last Updated: 01-Nov-2017

RISKS

Strategic Risk

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Those schools who do not recognise their need for improvement and external support	Vicky Barlow - Interim Senior Manager	Jeanette Rock - Principal Education Officer Inclusion	Amber	Amber	↔	Open

Potential Effect: Downturn in school performance and under achievement . Increase in the number of schools in Estyn category of concern/need of significant improvement
Management Controls: The Council will work with schools through the Schools Standards Monitoring Group. Challenge and support will be provided through the regional school improvement partner GwE
Progress Comment: Service Level Agreement in place with the regional school improvement service. Fortnightly Quality Board meetings with GwE Core Leads for primary and secondary. LA attendance at regional Quality Board for standards and GwE Management Board. Annual review of categorisation process for all schools.

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Leadership capacity does not match school needs	Vicky Barlow - Interim Senior Manager	Jeanette Rock - Principal Education Officer Inclusion	Amber	Amber	↔	Open

Potential Effect: Reduced stakeholder confidence in Education services.
Downturn in school performance and under achievement .
Increase in the number of schools in Estyn category of concern/need of significant improvement
Management Controls: The Council will work with schools through the Schools Standards Monitoring Group.
Leadership development will be provided through the regional school improvement partner GwE for leadership across the system at all levels.
School Governor development programme. Schools collaborate and federate
Progress Comment: GwE support role developed for each school through the Supporting Improvement Advisers. GwE leadership development programme further developed for 2017/18 across the region and with bespoke programme developing for Flintshire schools.
Support provided to Governing Bodies through Local Authority / GwE partnership protocol for recruitment to senior leadership posts.

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Impact of Additional Learning Needs reforms	Jeanette Rock - Principal Education Officer Inclusion	Vicky Barlow - Interim Senior Manager	Red	Amber	↓	Open

Potential Effect: Schools and the Local Authority are unable to meet the requirements placed on them by the forthcoming Additional Learning Needs and Education Tribunal (Wales) Bill resulting in the need for additional resource in terms of specialist services and provision.
Management Controls: Portfolio Strategy 2016/17 to map out progress of the legislative reforms and facilitate a timely response.
Use of Welsh Government Innovation Project funding to develop awareness and skills of key school-based staff and Local Authority Officers ahead of the implementation of the Bill.
Progress Comment: Following a halt in the progress of the Additional Learning Needs (ALN) and Education Tribunal (Wales) Bill before the Summer break, this is now progressing and Stage 2 of the legislative process has been completed. The Bill will now move into Stage 3 for detailed consideration by all Assembly Members. Work continues on the Draft Code of Practice alongside the progression of the Bill and four ALN Transformation Leads have recently been appointed to support Local Authorities, schools and other agencies to be ready for the reforms. The anticipated implementation date is 2019 and as a result the potential financial risk associated with the reforms is low for 2017/18 but careful monitoring needs to continue given the possible future implications.

Last Updated: 23-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Local employers and learning providers do not work closely enough to identify and meet the skills based needs of the future	Vicky Barlow - Interim Senior Manager	Niall Waller - Enterprise and Regeneration Manager	Amber	Amber	↔	Open

Potential Effect:
Management Controls: Encourage links between schools and local companies. Develop initiatives both locally and regionally to reduce the gap between young peoples' aspirations and the labour market, especially in Science, Technology, Engineering & Maths (STEM) topics and enterprise.
Progress Comment: In addition to current work to link schools, learners and employers there are a number of proposals for further work being developed as part of the North Wales Growth Deal.

Last Updated: 25-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Sustainability of funding streams	Claire Homard - Senior Manager - School Improvement	Vicky Barlow - Interim Senior Manager	Red	Red	↔	Open

Potential Effect: Reduced capacity to deliver targeted support to schools.
Management Controls: Intelligence on grant regime
Progress Comment: The sustainability of grant funding remains a major and live risk. The Council has received within the last week, without prior intelligence from Welsh Government, notification of an 11% cut to the Education Improvement Grant , resulting in a real term reduction of approximately quarter of a million pounds. This grant funds a range of posts within schools e.g. Foundation Phase Support Staff and central service delivery within the portfolio. There is very limited guidance available at the current time from WG to be able to strategically plan for the implementation of this cut. Additionally, other grants e.g. Small and Rural School grant which was made available this year do not appear on the grant schedule for 18-19. The School Uniform Grant for yr 7 pupils entitled to Free School Meals has also been withdrawn without prior notification, leaving a potential cost pressure for the Council or schools to absorb.

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Numbers of school places not matching the changing demographics	Damian Hughes - Senior Manager, School Planning & Provision	Vicky Barlow - Interim Senior Manager	Red	Red	↔	Open

Potential Effect:
Management Controls: Continuation of school modernisation programme, will reduce unfilled places, reduce backlog maintenance, remove unwanted fixed costs and infrastructure
Progress Comment: Reducing unfilled school places via school organisation change is an ongoing process. School change projects can take between three and five years from inception to delivery before reductions of unfilled places can be realised. This continues to be an ongoing process linked to the school modernisation programme. To supplement this the Council will continue to work closely with schools to consider innovative ways for reduction in capacity on a school by school basis (i.e. alternative use of school facilities by other groups) with the objective of meeting national targets of circa 10% unfilled places in all school sectors.

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Limited funding to address the backlog of known repair and maintenance works in Education & Youth assets	Damian Hughes - Senior Manager, School Planning & Provision	Vicky Barlow - Interim Senior Manager	Red	Red	↔	Open

Potential Effect:
Management Controls: Continuation of School Modernisation Programme, Continuation of Repairs & Maintenance planned maintenance programme, Capital Business Cases for School improvement, implementation of Band A and Band B 21st Century Schools programmes
Progress Comment: Continuation of the School Modernisation programme is one of the strategic options available to address the repair and maintenance backlog. The programme continuation will also i) Support a reduction of unfilled places ii) Provide a more efficient school estate and concentrate resources on teaching by removal of unwanted fixed costs in infrastructure and leadership iii) Ensure that the condition and suitability of the school estate is improved. Additionally, in future years capital business cases will be submitted through the Council process to supplement the 21st Century Schools investment programme.

Last Updated: 01-Nov-2017

4 Green Council

Actions

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.1.1.1 Improve, protect and enhance the built environment	Lynne Fensome - Support Manager Environment	In Progress	01-Apr-2017	31-Mar-2018	25.00%	AMBER	AMBER

ACTION PROGRESS COMMENTS:
Between April and June initial scoping of the Local Heritage Plan strategy content was completed by the Built Conservation Team however, further progress was delayed while waiting the outcome of Redesign Work. Initial scoping of the strategy was challenged by Cabinet Members as not incorporating aspects such as tourism and countryside heritage or key heritage sites and projects such as Bailey Hill and Flint Castle. This was not the intended purpose behind the proposal to develop this strategy from within the Planning and Environment portfolio. The intention was to deal specifically with matters relating to the built Conservation function within P&E, relating to listed buildings, buildings at risk, listed building enforcement, development within conservation areas, and to serve as a promotional and bidding tool for capital funding which the Built Conservation Team has not had for a number of years. It also aligns with the Heritage Act and the publication by Welsh Government in May 2017 of Technical Advice Note 24 The Historic Environment, as well as work streams now emerging from the North Wales Built Heritage Service Redesign work. The scope of this strategy will be revisited to narrow it to the issues outlined above, and rename as the Flintshire Built Conservation Strategy. The strategy will be publicised to indicate how it can influence development of a wider corporate Flintshire Heritage Strategy in the future.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.1.1.2 Manage our natural environment and accessible green-space networks to deliver health, well-being and resilience goals.	Lynne Fensome - Support Manager Environment	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
We are delivering projects set out within the ESD grant application, including flood defence, biodiversity duty and green-space enhancement-Flood Defence The allocation of this part of the single revenue grant is primarily used to support employee costs in delivering the duties placed on the Council as a Lead Local Flood Authority (LLFA) Key milestones include : Implementation and continued development of Asset Management Expert (AMX) as the LLFA Flood Risk Asset Register issuing of consents under S23 of the Land Drainage Act Investigation of 6 flood events Fulfilled role as a statutory consultee to the Local Planning Authority in relation to the review of proposed surface Water management schemes on new development to promote compliance with national standards Development of a 5 year programme of capital works and flood alleviation schemes Prepared a draft flood risk management plan Enhancing sense of place in Flintshire's greenspaces The allocation of this part of the grant is being used to deliver Flinthsire's Greenspace Strategy, improve greenspace facilities to encourage access, enjoyment and well-being and to facilitate engagement through arts in the community. Key Milestones include : Pen y Maes wood – 200 meters widened and surfaced Preshaven – 150 m board walk renewed Point of Ayr 50m new path to bird hide Installation of two three valley access barriers at Llwyni to reduce anti -

social behaviour 300m of new step free boardwalk, replacing steps and handrail, installation of interpretation panels Wepre Park Surfacing and improvements of footpath near Ewloe castle (10m), creating a sitting area and step refurbishment to golf course Installing four benches and a view box in the Old Hall garden. Arts in the Park. Snake sculpture created to utilise dam excavation arising's. Interactive sculpture for children and community in dell. 2 days creating animated film through engagement with visitors. Two films and artwork created which will come back for display in the visitor centre after showing at Theatre Clwyd. Garden sculpture day event. Supported Friends of Wepre to run an art session and photo and poetry event Cherry tree planting with community Buckley Higher common Greenfield Dock – seeding up of the west sides bed Community Greenspace Involvement The allocation of this part of the grant is being used to encourage and enable community volunteering int eh management of their local greenspace. Key milestones include : Coastal volunteer team - 3000 hours 1000 hours in group time in support to the coastal ranger 'Guardians of the Castle' and 'Arch' over the last six months have volunteered 500 hours at Wepre Park, with a further 2000+ hours from individual volunteer support for the Wepre Ranger Halkyn Mountain, Llwyni and Talacre consultative groups have been facilitated plus support for Friends of Bagillt and Wepre Positive environmental action in local greenspaces Grant allocated to supporting Mold Spring Clean, the Big Dee Day and Greenfield Valley Day. Key milestones include : Big Dee Day – 6 counties involved. Over 250 people and around 300 bags rubbish collected plus a few tonnes of flytip in Flintshire alone. 412 gorse plants, a meadow raked and loads of scrub cleared. 20m of path laid, 6m of boardwalk built, trees removed from Moel Famau, footpaths repairs on Snowdon, scrub cleared and benches painted in Cheshire and Shropshire scrub cleared for skylarks 70 students from Coleg Cambria Greenfield Valley day – Tesco's support for clean-up with 65 bags of rubbish cleared. Local schools involved in bulb planting car parks. Biodiversity Duty Delivery Plan and the Biodiversity and ecosystems resilience duty Working for long term benefits for natural resources and nature conservation in an integrated approach with communities across Flintshire. Key milestones include : Internal communication strategy to improve understanding of the Biodiversity and Sustainability requirements of new legislation Work towards accreditation under the Bee Friendly Wales scheme Strategic invasive non-natives species control Species/habitat specific action including relevant surveys (Great Crested Newt, Dormouse,Sand lizard, natterjack toad, bat, badger and ancient woodland. Ongoing management of County Hall meadow Education and Awareness programme Draft Tree, woodland and planting strategy produced

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.1.1.3 Maximising the potential of Council assets for energy efficiency: Control/reduction of Council energy consumption and thereby cost.	Sadie Smith - Energy Conservation Engineer	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:

Energy efficiency projects completed or in progress to reduce carbon emissions and energy use include: -Upgrading of external lighting at Broughton CP School to LED lights. -Upgrading of heating controls at Aston Flying Start to improve control of the heating and hot water. -Upgrading of heating controls at Ysgol Bryn Garth. -Installation of a battery storage system at Ysgol Abermorddu to store excess solar generation. -Completion of lighting upgrade (LED and T5) at Hawarden Village School -Completion of a 10kWp solar Photovoltaic (PV) installation at Broughton CP School -Surveying of sites for lighting upgrades is in progress with projects to be completed by 31st March 2018 Delivery of Phase 2 of the renewable energy action plan is in progress following a workshop with Officers in Valuation and Estates, and Planning, 6 potential brownfield sites were identified as possible locations for renewable energy generation. Initial feasibility assessments have been completed for these sites. 3 sites have been prioritised and detailed feasibility studies completed. The next steps will be to assess the final business case once a formal grid connection offer has been received. A high level review of the Council's agricultural estate has been completed assessing energy generation potential, acreage available and possible planning/legal constraints. Further feasibility will be completed. Potential sites for hydro power have been assessed with Wepre Brook prioritised. A detailed design has been completed and planning permission applied for. Assessment has been completed by Coed Cymru/Forestry Officer which indicated potential for biomass crops on the agricultural estate in Sealand as well utilising waste wood and timber from public and private woodlands.

Last Updated: 31-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.1.1.4 Maximise the recovery and recycling of waste with a view to reducing the reliance on landfill.	Harvey Mitchell - Waste and Ancillary Services Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Ongoing recycling awareness campaigns and an interim residual waste treatment contract have ensured that Council remains committed to maximising recovery opportunities and diversion from landfill where possible.

Last Updated: 30-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.1.1.5 Strengthen regional air quality collaboration to help promote better health and well-being outcomes	Sian Jones - Public Protection Manager	Completed	01-Apr-2017	31-Mar-2018	100.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
A regional air quality assessment on behalf of the North Wales local authorities has been undertaken which meets our statutory requirements by submitting the report to Welsh Government by 30th September. The need to develop a local strategy has been highlighted by the Public Service Board, and work is underway to identify how Flintshire can further improve air quality.

Last Updated: 01-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.1.1.6 Identification of the Local Development Plan preferred strategy	Lynne Fensome - Support Manager Environment	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The Draft Local Development Plan preferred strategy has been presented to and endorsed by Planning Strategy Group. Prior notification events were held with members, and with Town and Community Councils during July to raise awareness of the Preferred Strategy ahead of being reported to Cabinet. A further technical report was presented to and endorsed by The Planning Strategy Group on 27th July outlining i) an assessment of candidate sites in terms of their broad compliance with the Preferred Strategy and ii) the requirement to issue invitation for alternative sites as part of the Preferred Strategy consultation. A progress update report on the Preferred Strategy was presented to and endorsed by Planning Strategy

Group in September outlining reasons for the delay in starting the consultation process. The Preferred Strategy was presented to and approved by Cabinet on 26th September. Consultation on the Preferred Strategy will commence on 9th November 2017.

Last Updated: 01-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.2.1.1 Access and use available grant funding to support Council priorities for accessing employment, health and leisure and education.	Katie Wilby - Transportation and Logistics Manager	In Progress	01-Apr-2017	31-Mar-2018	25.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
We have successfully bid for 2017/18 Local Transport Funding (LTF), and a grant has been awarded to improve bus services and encourage walking and cycling in Deeside. Part of this money will be spent on developing new bus interchanges and bus priority measures on the B5129 Shotton Corridor and bus infrastructure on Deeside Industrial Park, while the remainder will support the introduction of active travel routes within the Deeside Business Park. £600,000 Access to Employment £325,000 Developments for Passenger Growth (Deeside Corridor) £10,000 Active Travel – integrated network mapping £100,000 B5129 Bus Corridor and Quality Partnership Scheme £289,500 community transport hubs

Last Updated: 01-Nov-2017

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ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.2.1.2 Prioritise the Council's road infrastructure for repairs and maintenance and implement programmes of work within available funding in order to improve the resilience, efficiency and reliability of the transport network.	Barry Wilkinson - Highways Networks Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The highway network has been reviewed and assessed for investment need and repairs. The capital programme for preventative maintenance has been developed, tendered and implemented across the network.

Last Updated: 30-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG

4.2.1.3 Work closely with the communities to develop innovative and sustainable community based transport schemes.	Katie Wilby - Transportation and Logistics Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
We are continuing to work actively with communities to support and develop alternative transport options. 3 out of the 6 proposed pilot transport schemes are now up and running in: i) Higher Kinnerton-Broughton) ii) Penyffordd-Buckley iii) Northop Hall-Connah's Quay

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
4.2.1.4 Deliver a compliant, safe and integrated transport service	Katie Wilby - Transportation and Logistics Manager	In Progress	01-Apr-2017	31-Mar-2018	80.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The Integrated Transport Unit (ITU) has administered a transformational review of the Council's passenger transport services over the last 18 months to ensure that all routes are compliant. The service has moved to a new method of procurement known as a Dynamic Purchasing System (DPS), allowing new suppliers to apply to join at any point during its lifetime. The new arrangements are aimed at reducing the workload both within the ITU and local supply chain. The new contracts will operate for a period of 4 years or for the length of the pupils or students education at a particular school or college or until the need for a specific transport provision ceases.

Last Updated: 01-Nov-2017

Performance Indicators

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP4.1.3.1M01 Percentage of environmentally efficient front line operational vehicles to Euro 6 standard.	No Data	33.77	20	GREEN	N/A	33.77	20	GREEN

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Ian Bushell - Technical and Performance Manager
Aspirational Target:
Progress Comment: We are currently running 34% of the fleet to Euro 6 standard. A schedule is in place to re-new the remaining vehicles to Euro 6 Standard

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP4.1.3.2M02 Number of street furniture and street light units replaced with LED lighting.	No Data	1520	1500	GREEN	N/A	4337	3000	GREEN

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Darell Jones - Operations Manager (North and Streetlighting)
Aspirational Target: 6000.00
Progress Comment: The number of lanterns changed has exceeded target and will allow the project to be delivered within the projected timeframe. Energy and CO2 savings are now being realised as reported by our energy supplier with savings in real terms being made. However a 16% increase in base energy costs has been placed upon the service by the energy supplier.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP4.1.3.3M03 Reduce our carbon footprint across our Council buildings (non housing)	1.85	15.31	2	GREEN	↑	15.31	2	GREEN

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Sadie Smith - Energy Conservation Engineer
Aspirational Target:
Progress Comment: figures are not weather corrected at this point in the year. The percentage reduction can be attributed to:
i) The transfer of leisure centres and libraries to Aura who were historically high consumers of energy.
ii) The transfer of heating fuel at Ysgol Terrig and Ysgol Parc y Llan from LPG to natural gas has contributed to the reduction as LPG has a higher carbon emission factor than gas.
iii) The general reduction in the number of assets has had a positive effect, for example, the closure of 2 schools, community asset transfers.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP4.1.4.1M01 (PAM/030) Percentage of waste reused, recycled or composted	No Data	70.3	68	GREEN	N/A	70.53	68	GREEN

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Danielle Richards - Area Recycling Officer
Aspirational Target:
Progress Comment: There has been an increase in the tonnage of dry recycling collected via kerbside recycling schemes. However, there has also been a decrease in the overall tonnage of Soil and Rubble arising from highways and area construction works. This has resulted in a slight downturn on the quarterly performance achieved in Q2 2016/17

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP4.1.4.2M02 Average recycling rate across all HRC sites	No Data	78.39	80	AMBER	N/A	78.34	80	AMBER

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Danielle Richards - Area Recycling Officer
Aspirational Target:
Progress Comment: Household Waste (HWRC) Recycling Centre performance has increase by 6.5% compared with the same period last year (71.48%). Improvement works carried out at both Buckley & Mold HWRC sites and an emphasis on residual waste bag splitting to increase material diversion across the sites have made a positive contribution to the overall recycling performance.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP4.2.2.4M04 Percentage of inspections undertaken to ensure reinstatements meet the required standards	0	15.01	14	GREEN	↑	14.97	14	GREEN

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Sam Tulley - Road Space Manager
Aspirational Target:
Progress Comment: Flintshire County Council inspect a percentage of works undertaken by Utility companies working on the highway network. The purpose of these inspections are to ensure streets are reconstructed to the required standards, reducing the need for future remedial works which causes unnecessary congestion and safeguarding the highway asset. By undertaking the inspections and working with the Utilities and their contractors, Flintshire are able to promote good working practices.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP4.2.4.1M01 Percentage of contracts awarded that are financially compliant	0	85.44	100	AMBER	↑	85.44	100	AMBER

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Ceri Hansom - Integrated Transport Unit Manager
Aspirational Target:
Progress Comment: 264 routes (school, adult social care, local bus routes) have been through a compliant tendering exercise. Following additional or change in demand for travel from September 2017, a small number of routes will need to be procured within the next 3-4 months (approx. 25 routes) and a further procurement exercise will be required for college transport services (approx. 35 routes).

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP4.2.4.2M02 The percentage of safety compliant checks delivered	No Data	25	25	GREEN	N/A	25	25	

Lead Officer: Lynne Fensome - Support Manager Environment
Reporting Officer: Ceri Hansom - Integrated Transport Unit Manager
Aspirational Target:
Progress Comment: 25% have been achieved since start of September 2017. Daily monitoring and compliance checks taking place on site at schools and day care centres. The aim is to achieve 100% by Jan 2018

Last Updated: 01-Nov-2017

RISKS

Strategic Risk

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Reduction of the Single Environment Grant	Tom Woodall - Access and Natural Environment Manager	Lynne Fensome - Support Manager Environment	Amber	Amber	↔	Open

Potential Effect: Income targets not met
Potential reduction could impact staffing resource to maintain service delivery
Management Controls: Raised as a pressure for 2017/18.
Progress Comment: Welsh Government have reduced the Environmental and Sustainable Development grant by £110k for 2017/18. This was better than the forecasted expectation therefore the allocations across the two portfolios have been maintained and projects continue to be delivered. However this remains a risk in that the quality of the bid submissions need to be maintained to ensure full draw down of the grant. Further reductions for 2018/19 again will lead to a potential reduction in services that can be delivered

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Limitations on suitable Council sites with sufficient area for larger scale renewables schemes and suitable connections to the electric grid	Sadie Smith - Energy Conservation Engineer	Lynne Fensome - Support Manager Environment	Amber	Amber	↔	Open

Potential Effect: Failure to meet Carbon Reduction target
Management Controls: Continue to review the availability of sites
Progress Comment: We are continuing with an ongoing review of the available sites, particularly in terms of the agricultural estate and the viability of these sites. We have developed a good working relationship with Scottish Power Energy networks which has allowed for informal discussions to take place ahead of formal plans being submitted. This will help in workload capacity of the team and in prioritising the most suitable sites. Alternatives to grid connections are also considered as part of the process to provide more innovative solutions such as selling to a large user which may be a more financially viable option given the costs of connecting to the grid and ultimately delivers both greater financial savings and greater income opportunities

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Funding will not be secured for priority flood alleviation schemes	Ruairi Barry - Senior Engineer	Lynne Fensome - Support Manager Environment	Red	Red	↔	Open

Potential Effect: Flooding of homes and businesses across the county
Potential homelessness
Management Controls: Review our approach to funding capital projects
Progress Comment: Grant availability continues to be monitored. A five year programme of capital works and flood alleviation schemes has been developed based on transparent criteria in line with Welsh Government guidance to feed into the national pipeline programme. These projects have been assessed on affordability and ability to maximise capital funding from internal and external sources. The required skill sets to implement effective and innovative flood risk management continue to be developed within the team.

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Customer expectations around the delivery of flood alleviation schemes are not effectively managed	Ruairi Barry - Senior Engineer	Lynne Fensome - Support Manager Environment	Yellow	Yellow	↔	Open

Potential Effect: Reduced public confidence to effectively manage flood risk
Management Controls: Review our approach to funding capital projects
Progress Comment: A five year programme of capital works and flood alleviation schemes has been developed based on transparent criteria in line with Welsh Government guidance to feed into the national pipeline programme.

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Lack of holistic air quality data across the region leading to on cost for the Council to manage its own review	Dave L Jones - Pollution Control Officer	Lynne Fensome - Support Manager Environment	Yellow	Yellow	↔	Closed

Potential Effect: Knock on effect for capacity within the team to manage own review
Management Controls: Full engagement with the regional project
Progress Comment: The pollution control team has fully engaged with the regional project, and consultants appointed to produce the regional report. All data has been supplied and verified for inclusion in the report, and submitted to Welsh Government within the required timeframe

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Insufficient funding to ensure our highways infrastructure remains safe and capable of supporting economic growth	Barry Wilkinson - Highways Networks Manager	Lynne Fensome - Support Manager Environment	Amber	Amber	↔	Open

Potential Effect: Deteriation of the condition of highways in Flintshire
Management Controls: Focussed investment through the funding of schemes that maintain or reduce the pace of deterioration of the condition of the main highway infrastructure.
Road Safety Scheme identification for improvement to routes through available funding.
Maximize funding received through the quality of the bid submission by aligning submissions to follow successful bid model techniques.
Progress Comment: Preventative and corrective work will be completed across a number of improvement and maintenance schemes of the highest ranked sites within the network as planned, in accordance with available funding.

Last Updated: 27-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Sufficient funding will not be found to continue to provide subsidised bus services.	Ceri Hansom - Integrated Transport Unit Manager	Lynne Fensome - Support Manager Environment	Amber	Amber	↔	Open

Potential Effect: Decrease in bus services to residents, particularly in rural areas
Management Controls: Develop services so that they become more commercially viable
Progress Comment: Withdrawal of subsidies could affect the viability of some commercial bus services which may impact on people with no alternative choice of travel particularly rural communities.

Last Updated: 01-Nov-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Supply chain resilience	Katie Wilby - Transportation and Logistics Manager	Lynne Fensome - Support Manager Environment	Amber	Amber	↔	Open

Potential Effect: Transport services cannot be provided
Management Controls: i) Management of safety compliance checks.
ii) Management of financially compliant contracts
Progress Comment: The control measures have been put in place to mitigate against another major transport services provider going into administration or not able to meet the required operating standards. New processes have been established and officers are carrying out both safety compliance checks and also finance compliance checks on contractors

Last Updated: 26-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Adverse weather conditions on the highway network	Barry Wilkinson - Highways Networks Manager	Lynne Fensome - Support Manager Environment	Amber	Amber	↔	Open

Potential Effect: Increase in cost to future planned repairs as network deteriorates beyond that can be rectified by planned maintenance
Increase in insurance claims
Management Controls: Targeting funding on those schemes that maintain or reduce the pace of deterioration of the condition of the main highway infrastructure.
Progress Comment: Operational Managers review weather forecasts and confirm appropriate actions, which may result in the allocation of direct labour resource to avoid impact on highway network users.

Last Updated: 27-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Lack of community support for transport options	Ceri Hansom - Integrated Transport Unit Manager	Lynne Fensome - Support Manager Environment	Yellow	Yellow	↔	Open

Potential Effect: i) Planned programme of community transport hubs not delivered. iii) Decreased passenger numbers on bus services.
iii) Increase in individual car usage
Management Controls: Realistic deliverable programme for 2017/18 of 4 Community Transport Hubs that have been supported by the local communities and Town and Community Councils
Progress Comment: We are continuing to work with local communities and Town and Community Councils on delivering the 4 hubs that were developed as projects in 2016/17, and use and customer satisfaction of the Higher Kinnerton hub.

Last Updated: 01-Nov-2017

5 Connected Council

Actions

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
5.1.1.1 Build stronger social enterprises with the sector itself leading development of the sector	Ian Bancroft - Chief Officer - Organisational Change 1	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
To help grow the sector and sustain itself specific contracts and community benefits work is being targeted at the sector. The development of a range of tools such as community shares is underway that enable existing social enterprises to grow and develop. Projects that are applicable for community shares have been identified and a business case format for these has been developed. Social enterprises attended the Flintshire Business awards and for the first time won a significant award. Wider plan for developing tools for the social enterprise sector shared with the public service board and is forming part of their Community Resilience Action Plan

Last Updated: 25-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
5.1.1.2 Grow the capacity of the social enterprise sector and Alternative delivery Models (ADM's) to become more self-sustaining.	Ian Bancroft - Chief Officer - Organisational Change 1	In Progress	01-Apr-2017	31-Mar-2018	66.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
Work has taken place with key social enterprises to strengths their business plans. This includes establishing two new social enterprises of a significant scale operating in Flintshire through the ADM and Community Asset Transfer Programme. Aura Leisure and Libraries and Holywelll Leisure Centre were established and have been operating from the 1st September and 1st April respectively. Reporting of community benefits - Meetings held with organisations who had had asset transfers in 2015 to 2017 and first year reports provided on community benefits, these will be reported to Scrutiny whey community asset transfers are next reported

Last Updated: 25-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
5.1.1.3 Implement the Digital Strategy and resources to meet future customer needs	Richard Ashley - IT Business Relationship Manager	In Progress	01-Apr-2017	31-Mar-2018	25.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
Progress is steady across all 6 work streams to identify those projects that can and should be progressed as a matter of priority, and a number have already identified their priorities for inclusion the action plan. The Digital Customer work stream has already analysed the services which receive the most public contact and is prioritising a list of those services that should be digitised first. The action plan will be ready for approval in December. For this first year of the 5 year strategy there are currently 22 tasks identified and of those, 5 are marked as complete.

Last Updated: 02-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
5.1.1.4 Ensuring and delivering community benefits	Ian Bancroft - Chief Officer - Organisational Change 1	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
Draft community benefits strategy in place and ready to take to cabinet in October. Session planned with procurement team and economic development team to enable them to take strategy forward.

Last Updated: 23-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
5.1.1.5 Enabling the third sector to maximise their contribution.	Ian Bancroft - Chief Officer - Organisational Change 1	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
Community Benefits Strategy developed with specific social objectives that enable the social sector to show their unique delivery and value against. FLVC and the communities first social enterprise officer are delivering specific support to the sector including supporting the establishment of community asset transfers.

Last Updated: 23-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
5.1.1.6 Ensure our Armed Forces Community and their families are not disadvantaged when accessing Council Services	Karen Armstrong - Corporate Business and Communications Executive Officer	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The partnership group driving the work forward of Flintshire's Armed Forces Covenant has made good progress during the last 6 months. Developments and achievements within the Council include: - new policy for Reservists in the Council to be supported with an additional 2 weeks annual leave to attend services-related training - Council HR recruitment policy revised to include guaranteed interview to all veterans meeting the essential criteria - agreement to capture data from schools about pupils from serving or veteran families to i) understand the scale of support needed and ii) plan support including funding - co-ordination and support of Covenant funding applications within local communities - North Wales Fire and Rescue Services signed up to Flintshire's Covenant - first Annual report endorsed by full County Council - 2 Armed Forces liaison officers appointed for regional co-ordination of the Covenants. Good support provided since appointments.

Last Updated: 27-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
5.1.1.7 Getting Flintshire active through partnership objectives via the Public Services Board	Karen Armstrong - Corporate Business and Communications Executive Officer	In Progress	01-Apr-2017	31-Mar-2018	25.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
The Public Services Board has drafted a Well-being Plan which has 5 priority areas of work including: Community Resilience, Well-being and Independent Living, Community Safety, Economy and Skills and Environment. The Community Resilience priority has a number of work-streams, one of which is 'Getting Flintshire moving'. This priority area is led by Public Health Wales with 2 specific activities around reducing sedentary behaviour; one of which is focused on the scale of impact that could be made across the public sector as a major employer and within specific community areas (as pilots). This is a longer term project which may not show immediate impact in-year, hence the amber rating for outcome.

Last Updated: 27-Oct-2017

Performance Indicators

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP5.1.2.4M04 The number of leisure centres and libraries sustained through the community benefits society	11	11	11	GREEN	↔	11	11	GREEN

Lead Officer: Ian Bancroft - Chief Officer - Organisational Change 1
Reporting Officer: Paul Jones - Leisure Manager Business Improvement
Aspirational Target:
Progress Comment: From 1 September 2017, Aura Leisure & Libraries Limited is responsible for the direct management of the following leisure centres and libraries: Buckley Leisure Centre, Deeside Leisure Centre, Jade Jones Pavilion Flint, Mold Leisure Centre, Broughton Library (service only, not the building), Buckley Library, Connah's Quay Library, Flint Library, Holywell Library (service only within a shared building), Deeside Library (based within Deeside Leisure Centre) and Mold Library. Aura Leisure and Libraries Limited is registered under the Cooperative and Community Benefit Societies Act 2014 (Registration No. 7610).

Additional Information:
From 1 April 2017, Holywell Leisure Centre/Canolfan Hamdden Treffynnon (Registered Charity: 1170729) is responsible for the direct management of Holywell Leisure Centre.

From 30 May 2016, Cambrian Aquatic Sports Centre (Company No: 09913641) is responsible for the direct management of Connah's Quay Swimming Pool. Cambrian ASC is a private company limited by guarantee without share capital.

Last Updated: 09-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP5.1.4.1M01 Percentage of community benefit clauses in new procurement contracts above £1M	No Data	100	100	GREEN	N/A	100	100	GREEN

Lead Officer: Gareth Owens - Chief Officer - Governance
Reporting Officer: Arwel Staples - Strategic Procurement Manager
Aspirational Target:
Progress Comment: 7 projects to date above £1m have been tendered and include community benefits.

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP5.1.4.2M02 Percentage of community benefit clauses in new procurement contracts under £1M	No Data	0	100	RED	N/A	0	100	RED

Lead Officer: Gareth Owens - Chief Officer - Governance
Reporting Officer: Arwel Staples - Strategic Procurement Manager
Aspirational Target:
Progress Comment: The Council's current Contract Procurement Regulations stipulates that the inclusion of Community Benefits is optional for contracts below £1m as referenced within the Welsh Procurement Policy statement. The Council has currently drafted a new Community Benefits Strategy which will require Community Benefits to be included when applicable for lower value contracts.

Until the new Community Benefits Strategy has been approved and adopted by the Council, then the percentage target of contracts below £1m with Community Benefits included will be missed.

Last Updated: 01-Nov-2017

RISKS

Strategic Risk

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
The capacity and appetite of the community and social sectors	Ian Bancroft - Chief Officer - Organisational Change 1	Ian Bancroft - Chief Officer - Organisational Change 1	Amber	Amber	↔	Open

Potential Effect: Lack of capacity to and desire of the sector resulting in unsustainable community and social sector projects such as Community Asset Transfers and Alternative Delivery Models
Management Controls: Work with Flintshire CVS, Co-operative Wales, and local community groups and social enterprises to develop skills.
Progress Comment: Sustained progress on growth of the social sector with development of new Community Asset Transfers and Alternativedelivery Models, now the emphasis will be on sustaining this delivery and maximising its impact

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
The willingness of the workforce and Trade Unions to embrace change	Ian Bancroft - Chief Officer - Organisational Change 1	Ian Bancroft - Chief Officer - Organisational Change 1	Amber	Amber	↔	Open

Potential Effect: Lack of capacity of staff to work with and enable social sector organisations to grow and develop
Management Controls: Early engagement and co-design in change projects with employees and trade unions
Progress Comment: This is a key priority of the Community Resilience priority of the Public Services Board working with all public service staff to support growth of the social sector.

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Market conditions which the new alternative delivery models face	Ian Bancroft - Chief Officer - Organisational Change 1	Ian Bancroft - Chief Officer - Organisational Change 1	Amber	Amber	↔	Open

Potential Effect: More competition from other agencies or decreasing use of the services means they are in the future unsustainable
Management Controls: Continue to work with the ADM's to grow their entrepreneurial skills and meet with them annually at least to review progress
Progress Comment: Now established review are planned with each of the ADMs.

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Limitations on public funding to subsidise alternative models	Ian Bancroft - Chief Officer - Organisational Change 1	Ian Bancroft - Chief Officer - Organisational Change 1	Amber	Amber	↔	Open

Potential Effect: Reductions in funding to these models by the public sector resulting in the new to stop or close services and facilities
Management Controls: Support to ADM's to ensure their financial plans are resilient if public funding decreases
Progress Comment: Review meetings are providing an update on the future financial context so organisations can plan for potential reductions when appropriate.

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS

Procurement regulations stifling our ability to develop local community and third sector markets	Ian Bancroft - Chief Officer - Organisational Change 1	Ian Bancroft - Chief Officer - Organisational Change 1	Amber	Amber	↔	Open

Potential Effect: Social and third sector organisation not able to grow through the winning of new contracts
Management Controls: Work with procurement and commissioning teams to identify the most effective way of working with the community and third sectors.
Progress Comment: Draft Community Benefits Strategy agreed by Cabinet and workshop held with the procurement team to start implementation of this strategy.

Last Updated: 24-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
Newly established Social Enterprises and Community Asset Transfers failing in their early stages of development.	Ian Bancroft - Chief Officer - Organisational Change 1	Ian Bancroft - Chief Officer - Organisational Change 1	Amber	Amber	↔	Open

Potential Effect:
Management Controls: Open book accounting by key social enterprises with the council and where issues identified cooperative work to resolve these.
Progress Comment: Review meetings held with all CATs that transferred 2015-17.

Last Updated: 24-Oct-2017

6 Serving Council

Actions

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
6.1.1.1 Develop and implement a renewed five year financial plan that reflects anticipated funding, costs and efficiencies to support strategic decision making over the life of the new Council.	Sara Dulson - Finance Manager	In Progress	01-Apr-2017	31-Mar-2018	25.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
Work has commenced with the initial priority being focussed on the 2018/19 financial forecast reported to Cabinet in July. Part one of solutions including stage 1 efficiencies have been considered by Scrutiny Committees during October. Development of a 5 year plan will commence on completion of the 2018/19 budget.

Last Updated: 17-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
6.1.1.2 Through the People Strategy we aim to operate effectively as a smaller organisation.	Sharon Carney - Lead Business Partner	In Progress	01-Apr-2017	31-Mar-2018	25.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
People strategy is progressing well. i) Workforce and succession planning has been completed by all portfolios. ii) The Management development programme is under review; working towards coaching and mentoring for managers at ILM level 7 iii) Consideration of implementing personal evaluation software to aid succession planning under the invest to save programme. Support is ongoing for three main workstreams: - Managing performance (which could be further aided by the personal evaluation software) - To maintain the integrity and transparency of the single status agreement - To aid the transitions of alternative delivery models

Last Updated: 01-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG

6.1.1.3 Maximise benefits from spending power through optimising purchasing efficiencies by exploiting technology and making efficient use of local, regional and national procurement arrangements.	Arwel Staples - Strategic Procurement Manager	In Progress	01-Apr-2017	31-Mar-2018	50.00%	GREEN	GREEN

ACTION PROGRESS COMMENTS:
The ongoing joint procurement service between Flintshire and Denbighshire continues to identify opportunities for collaborative working to maximise economies of scale, for example a joint framework agreement for printing and graphic design services has been tendered. The outcome will be reported in quarter 4. Regionally we are currently in the process of tendering for a £250m domiciliary care contract which will be accessible by the six local authorities across North Wales, and Betsi Cadwaladr University Health Board (BCUHB). Purchasing process efficiencies are expected from the continued rollout of the PROACTIS electronic tendering system.

Last Updated: 01-Nov-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
6.1.1.4 Develop and deliver a programme of activity to support local businesses, increasing their capacity and competency to respond to Council contracts	Arwel Staples - Strategic Procurement Manager	In Progress	01-Apr-2017	31-Mar-2018	35.00%	GREEN	AMBER

ACTION PROGRESS COMMENTS:
35% of the best practice action plan has been delivered to date. A Joint Workshop between Procurement and Economic Development Teams across both Denbighshire and Flintshire Councils was held on the 17th October. Actions from the workshop will enable further progress during quarters 3 and 4 . The joint workshop provided an opportunity to promote closer working collaboration. Meetings have also been with Flintshire Voluntary Services Council to discuss how the local Third Sector can be supported to compete for Council and public sector contracts. The Procurement Team continues to have regular dialogue / meetings with Business Wales in order provide tendering support on individual tender projects.

Last Updated: 24-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
6.1.1.5 Delivery of key annualised objectives from the Digital Strategy and Customer Strategies	Rebecca Jones - Customer Services Team Leader	In Progress	01-Apr-2017	31-Mar-2018	20.00%	AMBER	AMBER

ACTION PROGRESS COMMENTS:
The Customer Service Strategy was approved by Cabinet in March 2017. A methodical approach has been taken to evaluate current customer access across the organisation which has identified a high volume of telephone contact and a strong link to the Digital Flintshire Strategy. The priority is to develop digital services to enable customers to do more for themselves which in turn will reduce telephone contact leading to a better customer experience and efficiencies. The intention is for Organisational Change to provide programme management support to ensure both strategies deliver at the required pace of change to realise efficiencies and service improvements.

Last Updated: 24-Oct-2017

ACTION	LEAD OFFICER	STATUS	START DATE	END DATE	COMPLETE %	PROGRESS RAG	OUTCOME RAG
6.1.1.6 Delivery of key annual objectives from the Capital and Asset Management Strategy	Neal Cockerton - Chief Officer - Organisational Change 2	In Progress	01-Apr-2017	31-Mar-2018	25.00%	AMBER	AMBER

ACTION PROGRESS COMMENTS:
Work is underway to undertake a review and refresh of the Capital and Asset Strategy. Work in progress with Portfolios around the development of a longer term Capital Plan to enable strategic planning and understanding of the Councils capital programme. Review of previous funding allocations in progress to ensure these allocations are being allocated at the appropriate level capital resources permitting.

Last Updated: 01-Nov-2017

Performance Indicators

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.1.1M01 The amount of efficiency targets achieved (£M)	2389250	7779000	8433000	AMBER	↑	7779000	8433000	AMBER

Lead Officer: Gary Ferguson - Corporate Finance Manager
Reporting Officer: Sara Dulson - Finance Manager
Aspirational Target:
Progress Comment: Revenue budget monitoring at month 5 reports efficiencies of £7,779,000. This is the latest position for the year to date.

Last Updated: 16-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.1.2M02 The percentage of planned efficiencies achieved	91	92	95	AMBER	↑	92	95	AMBER

Lead Officer: Gary Ferguson - Corporate Finance Manager
Reporting Officer: Sara Dulson - Finance Manager
Aspirational Target:
Progress Comment: Revenue budget monitoring at month 5 is reporting 92% of efficiencies achieved to date. Further updates are provided as part of the budget monitoring reports.

Last Updated: 16-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.1.3M03 The percentage variance between the revenue budget out-turn and the budget set	No Data	0.5	0.5	GREEN	N/A	0.5	0.5	GREEN

Lead Officer: Gary Ferguson - Corporate Finance Manager
Reporting Officer: Sara Dulson - Finance Manager
Aspirational Target:
Progress Comment: Revenue budget monitoring report at month 5 shows the variance between outturn and budget set at 0.5% (£1.348m)

Last Updated: 16-Oct-2017

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KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.2.1M01 (PAM/001) The number of working days per full time equivalent (FTE) local authority employees lost due to sickness absence	No Data	1.81	2.25	GREEN	N/A	1.81	2.25	AMBER

Lead Officer: Sharon Carney - Lead Business Partner
Reporting Officer: Andrew Adams - Business Information and Compliance Adviser
Aspirational Target:
Progress Comment: The FTE days lost for the Council during quarter two is 1.81. This is improvement when compared to the same period in 2016/17 which recorded 2.03 FTE days lost. This is the third consecutive quarter we have seen an improvement in attendance,

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.2.2M02 Percentage of eligible employees receiving an annual appraisal	No Data	72.19	100	RED	N/A	72.19	100	RED

Lead Officer: Sharon Carney - Lead Business Partner
Reporting Officer: Andrew Adams - Business Information and Compliance Adviser
Aspirational Target:
Progress Comment: The annual target for completion of appraisals is 100% of eligible employees. The provisional figure at the end of September 2017 was 72% however, the recorded completion rate on iTrent is provisional, and an annual report for Cabinet and CROSC is being prepared which will provide an update. Appraisals are scheduled to take place over the next few months and those recently completed will be reported later in the year.

Last Updated: 02-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.2.3M03 Percentage of Managers completing stress related programmes	No Data	31.85	100	RED	N/A	31.85	100	AMBER

Lead Officer: Sharon Carney - Lead Business Partner
Reporting Officer: Andrew Adams - Business Information and Compliance Adviser
Aspirational Target:
Progress Comment: Continued increase of attendance from managers is positive because the programme is designed to help identify signs of stress in themselves and their teams and to deal with it at the earliest opportunity, with help and support from Human Resources (including Occupational Health) when required. This is a new initiative with expectation of further increase in attendance throughout the remainder of the year.

Last Updated: 30-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.2.4M04 Percentage of employees completing stress related programmes	No Data	0.51	25	RED	N/A	0.51	25	RED

Lead Officer: Sharon Carney - Lead Business Partner
Reporting Officer: Andrew Adams - Business Information and Compliance Adviser
Aspirational Target:
Progress Comment: The take-up from employees is lower than expected however, we will be working towards targeted marketing to increase participation.

Last Updated: 30-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.3.1M01 The percentage of goods, services and works procured through purchasing arrangements established by the National Procurement Service (NPS)	0	1.3	2	RED	↑	2.2	4	RED

Lead Officer: Gareth Owens - Chief Officer - Governance
Reporting Officer: Arwel Staples - Strategic Procurement Manager
Aspirational Target:
Progress Comment: Not all of framework agreements of the National Procurement Service (NPS) are considered to be delivering value for money however, of the 51 frameworks currently in existence the Council is using 10 which are considered to be value for money and not deemed detrimental to local suppliers .

Last Updated: 24-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.3.2M02 The percentage of Council spend with Welsh businesses	12	52	12.5	GREEN	↑	101	25	GREEN

Lead Officer: Gareth Owens - Chief Officer - Governance
Reporting Officer: Arwel Staples - Strategic Procurement Manager
Aspirational Target:
Progress Comment: All Wales spend was with 1202 different suppliers registered with a Postcode within Wales. The high percentage recorded is due to an increase in Council spend during quarter 2.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.3.3M03 The percentage of Council spend with Flintshire businesses	6.5	32	7	GREEN	↑	61	14	GREEN

Lead Officer: Gareth Owens - Chief Officer - Governance
Reporting Officer: Arwel Staples - Strategic Procurement Manager
Aspirational Target:
Progress Comment: Council spend was with 979 different suppliers registered with a Postcode within Flintshire. Half of the spend (£5.2M) was on Social Care & Community Projects going to local suppliers due to the nature of the services required.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.3.4M04 The percentage of Council spend with businesses within the MDA	8	41	8	GREEN	↑	76	16	GREEN

Lead Officer: Gareth Owens - Chief Officer - Governance
Reporting Officer: Arwel Staples - Strategic Procurement Manager
Aspirational Target:
Progress Comment: MDA Spend was with 1763 different suppliers registered with a Postcode within Flintshire, Wrexham, Chester, Cheshire West and the Wirral. Half of the spend (£7.3m) was on social care and Community Projects which due to the nature of the services provided was undertaken by suppliers within the region.

Last Updated: 01-Nov-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.5.1M01 The number of services available online and via the Flintshire App	31	137	37.25	GREEN	↑	137	74.5	GREEN

Lead Officer: Rebecca Jones - Customer Services Team Leader
Reporting Officer: Rebecca Jones - Customer Services Team Leader
Aspirational Target:
Progress Comment: There are 109 services online via the Council's website and an additional 28 services a customer can report via the Flintshire App.

Last Updated: 23-Oct-2017

KPI Title	Pre. Year Period Actual	Period Actual	Period Target	Perf. RAG	Perf. Indicator Trend	YTD Actual	YTD Target	Outcome RAG
IP6.1.5.2M02 The number of completed transactions using online services	6587.5	19866	7905	GREEN	↑	19866	15810	GREEN

Lead Officer: Rebecca Jones - Customer Services Team Leader
Reporting Officer: Rebecca Jones - Customer Services Team Leader
Aspirational Target:
Progress Comment: Customers can transact with the Council via its website and mobile App. Q1 the Council received 8,621 enquiries via its website with an increase to 11,245 enquiries received in Q2. There were 671 enquiries received via the mobile App up to the end of Q2.

Last Updated: 23-Oct-2017

RISKS

Strategic Risk

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
The scale of the financial challenge	Gary Ferguson - Corporate Finance Manager	Sara Dulson - Finance Manager	Red	Red	↔	Open

Potential Effect: Reduction in funding of Revenue Support Grant leading to challenging financial position for the Council in its ability to set a balanced budget.
Management Controls: Production of a revised Medium Term Financial Strategy (MTFS) to be pubished Autumn 2017 which will be updated on an ongoing basis alongside the 2018/19 budget and beyond. The strategy to be reviewed to forecast the financial resources to be available to the Council during the period based on the best available intelligence and identification of solutions available.
Progress Comment: The Provisional settlement was received on 10th October 2017. The impact of this for Flintshire is a decrease in funding of 0.9%. This is subject to a consultation period with the final settlement due December 2017.

Last Updated: 16-Oct-2017

RISK TITLE	LEAD OFFICER	SUPPORTING OFFICERS	INITIAL RISK RATING	CURRENT RISK RATING	TREND ARROW	RISK STATUS
The capacity and capability of the organisation to implement necessary changes from the Digital Strategy.	Gareth Owens - Chief Officer - Governance	Richard Ashley - IT Business Relationship Manager	Amber	Amber	↔	Open

Potential Effect: That projects agreed as part of the DS will be hindered or delayed thereby delaying the potential benefits to residents or causing cost to be incurred in correcting mistakes
Management Controls: Capacity will be taken into account when selecting projects for inclusion in the action plan and will be timed to fit with the needs of the service and availability of employees. Each separate project will also undergo a risk assessment to establish the capacity and capability necessary to take it forward and any gaps that might need to be addressed
Progress Comment: There is no overall change to this risk at this time. Capacity will be taken into account when selecting projects for inclusion in the action plan and will be timed to fit with the needs of the service and availability of employees. Each separate project will also undergo a risk assessment to establish the capacity and capability necessary to take it forward and any gaps that might need to be addressed.

Last Updated: 30-Oct-2017

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CABINET

Date of Meeting	Tuesday, 21st November 2017
Report Subject	North East Wales Homes Limited
Cabinet Member	Cabinet Member for Corporate Management and Assets
Report Author	Chief Officer (Governance)
Type of Report	Operational

EXECUTIVE SUMMARY

Councillor Attridge had to step down as Chair and board member of North East Wales Homes Limited (NEW Homes) due to his appointment as Cabinet Member for Housing. There was also an outstanding councillor vacancy for the board of New Homes, due to a previous member retiring at the recent elections. Nominations have been received from councillors to fill both vacancies.

Cabinet approval is therefore sought for the nominated councillors to be on the board of NEW Homes.

Cabinet approval is also sought to permit an amendment to NEW Homes Articles of Association (the internal rules of the company) allowing the company to appoint a vice chair to chair board meetings thus providing more flexibility in the event the nominated chair is not present at such meetings.

RECOMMENDATIONS	
1	To approve the appointment of Councillor Janet Axworthy and Councillor Sean Bibby as directors of NEW Homes subject to NEW Homes board approval.
2	To approve an amendment to the NEW Homes Articles of Association to permit the appointment of a vice chair of NEW Homes.

REPORT DETAILS

1.00	NEW HOMES BOARD OF DIRECTOR APPOINTMENTS AND VICE CHAIR
1.01	The Council has a governance requirement that the Cabinet for Member for Housing should not be a board member of NEW Homes. Following Councillor Attridge's appointment Cabinet Member for Housing he submitted his resignation from the NEW Homes board. Councillor Nigel Steele Mortimer resigned from the board when he retired as a councillor at the last elections. There are currently 7 board members including 3 councillors, namely Councillor Banks, Councillor Sharps and Councillor McGuill.
1.02	The Articles of Association of the company (Article 22.1.1) provides that the NEW Homes board shall consist of up to 9 directors made up of up to 5 councillors, up to 1 council officer and up to 3 independent directors. The company can only appoint directors with the prior consent of the Council.
1.03	There are therefore currently two board councillor member vacancies available. Councillor nominations have been received to fill these board vacancies with both the Conservative and Labour nominating Councillor Axworthy and Councillor Bibby respectively.
1.04	It is a requirement of the company for a director of the board to chair board meetings. There is currently no provision for a vice chair of the board and at the last board meeting on the 25th September 2017, the board discussed and approved in principle having one. The board consensus was that it would provide better flexibility having a vice chair in circumstances where the chair was not present in meetings without the need to appoint a chair for a specific board meeting.
1.05	In order to appoint a vice chair however NEW Homes would have to amend the Articles of Association to permit such a role. Amendments to the company's rules also require council approval.

2.00	RESOURCE IMPLICATIONS
2.01	There are no resource implications arising from this report.

3.00	CONSULTATIONS REQUIRED / CARRIED OUT
3.01	Not applicable.

4.00	RISK MANAGEMENT
4.01	There are no specific risks arising out of the proposed appointments to the board of NEW Homes.
4.02	Appointing a vice chair helps to mitigate small risk of NEW Homes not having a director to chair board meetings.

5.00	APPENDICES
5.01	None.

6.00	LIST OF ACCESSIBLE BACKGROUND DOCUMENTS
6.01	None. **Contact Officer:** Tim Dillon **Telephone:** 01352 702354 **E-mail:** tim.dillon@flintshire.gov.uk

7.00	GLOSSARY OF TERMS
7.01	**NEW Homes**: North East Wales Homes Limited **Board Members**: Directors of New Homes **Articles of Association**: The internal rules governing NEW Homes

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CABINET

Date of Meeting	Tuesday, 21st November 2017
Report Subject	Welfare Reform Update – Including Universal Credit
Cabinet Member	Cabinet Member for Corporate Management and Assets
Report Author	Chief Officer (Community & Enterprise)
Type of Report	Operational

EXECUTIVE SUMMARY

The welfare reforms will, by 2020, have reduced expenditure on social security benefits available to low income working-age households by around £31[1] billion per annum.

This report provides an update on the impacts Universal Credit 'Full Service' and other welfare reforms are having on Flintshire residents and the work that is ongoing to mitigate and support these households.

RECOMMENDATIONS	
1	To note the report and continue to support the ongoing work to manage the impacts that Welfare Reforms has and will have upon Flintshire's most vulnerable households.

REPORT DETAILS

[1] The Welfare Reform Act 2012 introduced reforms that reduced expenditure on social security benefits by £19 billion pa and the Welfare Reform and Work Act 2016 is introducing reforms which will reduce expenditure by a further £12 billion pa.

1.00	**EXISITING WELFARE REFORMS**
1.01	The welfare reform response team has been in place for the last two years and combines the administration of discretionary housing payment with personal budgeting support. A report has been commissioned which has provided an up to date Welfare Reform impact assessment analysis for Flintshire County Council. This detailed analysis has modelled impacts for today and as it will be in 2020, both under the current benefit system and Universal Credit. The analysis has identified a number of household that continue to be highly impacted by welfare reform, and has evaluated individual circumstances using two measures of living standards; relative poverty and financial resilience. This data and information is being used to form a proactive action plan for the welfare reform response team to target support to these households in order to help to alleviate the impacts and also help households to prepare now for future changes. The updated analysis report is attached at appendix 1
	The Benefit Cap
1.02	From the autumn of 2016, the benefit cap ceiling has been significantly lowered. The total amount of annual 'out of work' benefit income to which a 'working-age' household can receive is set at (figures for households outside of greater London): ▪ £20,000[2] for couples and lone parents (£383.56pw) ▪ £13,400 for single claimants (£256.99pw) As at September 2017 there are 111 households that are impacted by the Benefit Cap. This equates to a collective weekly loss of income to Flintshire households of £12,300 – annually this is £639,619.24.
1.03	In advance of the reduced benefit cap ceiling being introduced, the Council offered face-to-face meetings with the Flintshire households who were impacted by this change. At these meetings discussions took place regarding the measures which can be put in place to ensure that the household can manage their reduced income and sustain their accommodation, so that the impact could be mitigated as far as practically possible. Assistance is provided to customers around referrals to fuel and utilities

[2] For information - in Greater London area the benefit cap is set at £23,000 for couples/lone parents and £15,410 for single claimants.

	companies to access social tariff's and support services; proactively promote Discretionary Housing Payments; and assistance to deal with non-priority debts. Discretionary Housing Payments of around £60,000 has been awarded to 58 households to help to manage the reduction in their household income due to the Benefit Cap.
	The Spare Room Subsidy (commonly referred to as the Bedroom Tax)
1.04	There are currently 249 Registered Social Landlord tenants impacted by the Spare Room Subsidy. 37 households are under occupying by two or more bedrooms and 212 by one bedroom. The total reduction in Housing Benefit (HB) payments is £3647.39 per week - £189,664.28 per year
1.05	There are 794 Flintshire County Council tenants impacted by the spare room subsidy. 153 households are under occupying by two or more bedrooms and 641 by one bedroom. The total reduction in Housing Benefit (HB) payments is £12,221.49 per week - £634,997.48 per year.
1.06	From the date the Spare Room Subsidy was introduced the Council has supported its tenants affected by the reduction in their HB award and, attempted to mitigate the full impact of the reduction in Central Government HB payments from falling upon the Council's Housing Revenue Account. For example, during this year, Discretionary Housing Payments totalling £29,864 have been awarded to tenants of registered social landlords (which include Flintshire County Council tenants) impacted. Recent analysis confirms the reasons for an ongoing award of Discretionary Housing Payment includes ; long term illness where there is a need for an extra room for medical equipment such as Dialysis machine, customers with severe anxiety who are on low income and customers who are willing to downsize but there are limited smaller properties available.
	Universal Credit – Update
1.07	Universal Credit (UC) is the Government's 'flagship' welfare reform. It is being introduced to address a number of problems inherent within the current social security system, which result in many workless households developing a culture of benefit dependency. UC 'Full Service' was implemented in Flintshire Job Centres in April 2017. UC Full Service replaces 6 legacy benefits for working-age claimants:

	• Housing Benefit, • Income Support, • Job Seekers Allowance, • Employment Support, • Child Tax Credit • Working Tax Credit As at the end of September 2017 the caseload of UC claims is 2356.
1.08	UC full service is a fully digital service and there is a 42 day (six week) waiting period from the date of the claim to the first payment. There have been many calls and challenges to the Government to pause the rollout whilst some of the issues are addressed and to stop further hardship to the people who claim it. The Government have, so far, refused to agree to a pause in the rollout, however, they have announced recently that the call costs will be removed as phone numbers to the service centre are changed to a "Freephone" facility. In Flintshire we are experiencing, first hand, a significant number of challenges and issues with the implementation of UC Full Service. Partly because of the new system and claiming culture, and partly because the UC processes are still in development as part of the UK Governments "test and learn" approach to the roll out. Flintshire's response to the implementation of UC has been seen as a model of good practice by other Welsh Local Authorities and the Welsh Government and the Benefit Department have been providing support to other welsh local authorities ahead of the roll out in their areas Connects officers have provided digital support to over 1000 customers, e.g. in making a new claim for UC and managing their online claim for UC. The Council's data confirms the need for ongoing support for customers in relation to managing their UC claim once their initial claim has been made. **Impact on rent arrears** – As at the beginning of October Flintshire County Council currently has 260 tenants in receipt of UC with £354,000 worth of outstanding rent arrears. It is important to note, however, that some of these arrears will have existed prior to UC full service and it is also possible that some arrears could have accrued due to the delay in payment at the beginning of a UC claim. More detailed analysis is ongoing to provide more specific detail in this area. Concerns have been raised over the managed recovery of rent arrears directly from a customer's entitlement to UC. This can be recovered at a rate of up to 20% of the customer's monthly payment. However, early evidence has shown that this reduces payments of UC to a worryingly low amount of money for a customer to be expected to live on each month especially if there are other deductions being taken from the customer's monthly payments of UC, therefore increasing their hardship.

1.09	**Impact on Council Tax arrears:** There has been a slight reduction in the Council Tax collection rate so far this year. This could be related to the roll out of UC full service but further analysis is currently being carried out.
	Impact on other services
1.10	**Rent Collections** Concerns have been raised with DWP that when a customer claims HB and should have claimed UC the DWP are not allowing the appropriate backdating which is impacting both on rent arrears and customers financial situation. This issue has been escalated to a national level but has yet to be resolved. However, the Welfare Reform Response team are in the process of co-ordinating a plan to contact all relevant customers to provide support in raising this issue with the DWP.
1.11	**Housing Services** Concerns have been raised from Housing Support Services regarding the decrease in available private rented sector landlords willing to accommodate customers. This has also been confirmed by the National Landlord Association. The reduction in properties is resulting in customers staying longer in emergency accommodation and is contributing to budget pressures. This issue may also have contributed to the increase of around 50% of customers registered on the social housing register.
1.12	**Homeless Services** Housing Benefit used to cover some of the costs that the Local Authority incurred when placing an individual or family in short term emergency accommodation. UC full service, however, does not include a provision for housing costs of such a short term temporary nature and therefore no payments will be made to the Local Authority in respect of this. UK Government have recognised that this is a flaw in the process and are planning to introduce a change in legislation in the New Year to provide an alternative way for Local Authorities to recover some of the costs via a different method. As a result there is a pressure on Flintshire's homelessness budget. Work in ongoing to quantify the value of this.
1.13	**Private Landlords** This issue isn't specific to Flintshire as there are national issues about the lack of communication and information available for private landlords. Tenant mandates, designed to permit landlords to be given ongoing information about claims are not being accepted. The DWP takes a strict view on Data Protection and "claimant confidentiality" which often prevents landlords having access to what's happening to new claims and request for managed payments to landlords.

	The Benefit department is fully committed to providing support and guidance for private landlords so that they are in return able to support their tenants. The council has held two private landlord forums this year to try to address the issues that landlords face and to try to keep them engaged.
1.14	**Impacts on partners:** Citizens Advice Flintshire (CAF) have also been collating their experiences of UC. The most common problems that customers are facing is receiving conflicting/incorrect information about which benefit to claim, then problems claiming and understanding their entitlement to UC. These issues have been raised with local MP's to escalate with the relevant minister. CAF's paper detailing their experiences in September is attached at appendix 2
1.15	Since the launch of UC the council has been monitoring the number of food vouchers issued and are concerned that the increased hardship customers face, when they have to wait for their first payment of UC.
	Support for Customers: Personal Budgeting & Support
1.16	Since April 2017 Personal Budgeting Support has been delivered by the Welfare Reform Response Team within the job centres.
1.17	Personal Budgeting Support cases have already highlighted issues including; payday lenders having direct access to a customer's bank account so that when their monthly UC is paid they are accessing the account and leaving the customer with insufficient funds to live on each month. Measures are being put in place to support customers in opening up new bank accounts that their payments can be received into. Approx. 90% of customers that have been supported by the team have debt issues and there is an increase in customer's accessing pay day loans and increasing their overdrafts to bridge the gap until their first UC payment has been received.
1.18	Approx. 65% of customer that have been supported by the team have applied for a short term benefit advance. This advance is provided by DWP to assist with a customer's living costs until their first payment of UC. However, this advance is recovered from the customers on going payments of UC between a 6 and 12 month period thus adding another financial pressure on those already in financial difficulty. Due to the challenges that Universal Credit customers face the Welfare Reform Response Team are starting to deal with and experience more customer displaying mental health symptoms and or being abusive/upset. Customers wait at least 6 weeks for their first payment this has left some customers stressed and forced to borrow cash to pay rent or utility bills and struggle to buy food. However, more detailed work is required to monitor this area.
1.19	Some customers have expressed anxiety over the online application system and the obvious issues including lack of IT experience and lack of access to a computer or Wi-Fi which particularly affect those with additional support

	needs and those on low incomes.
	Future Welfare Reforms
1.20	**Restriction of Housing Benefit Awards to Local Housing Allowances levels for Social Housing Tenants & Supported Housing Tenants** Due to an announcement in the House of Commons on 25th October, it would appear that the Government is no longer implementing this change. A briefing paper is attached in appendix 3 The Government response to the future of supported housing consultation is attached as Appendix 4. The Government supported housing policy and funding statement is attached as Appendix 5.

2.00	**RESOURCE IMPLICATIONS**
2.01	The ongoing welfare reforms will generate additional financial problems for low-income Flintshire households, many of whom will have been impacted by the previous reforms of their benefit entitlements. These households will require appropriate advice and support to help them to manage the difficulties the reduction in their household budget will generate. In addition, the latest welfare reforms will impact on new Flintshire households, for example, working households, who may also seek advice and support on how to manage their loss of income. To manage the increased demand from Flintshire households experiencing social welfare problems, the Council has supported the development of the Flintshire Local Advice and Housing Support Gateways. Both Gateways aim to reduce pressures on internal and external providers by effectively triaging referrals to ensure a person is referred to the most appropriate service provider. There are risks to the Council around increasing rent and council tax arrears.
	Financial Implications
2.02	The DWP have provided a grant to the Council to provide UC customers with Personal Budgeting Support and Assisted Digital Support. This funding is based volumes determined by DWP. Adjustments to this funding will be made for actual volumes between 5% and 20% below or above forecasted volumes. Payment of at least 80% is guaranteed if demand for support is low, or alternatively, if demand is high the Council could receive up to 20% more grant.

3.00	CONSULTATIONS REQUIRED / CARRIED OUT
3.01	Not applicable with this report.

4.00	RISK MANAGEMENT
4.01	For some Flintshire households the financial impacts of UC is yet to be fully felt in terms of the time taken to receive the first payment of UC. However, the activities that have taken place and are underway to mitigate the negative impacts as far practicably possible have been noted in the main report.
4.02	The expansion Welfare Reform Response Team will assist residents in dealing with the financial pressures felt as a result of the implementation of UC. The team will work to directly target advice and support for households throughout Flintshire whom, due to the impact of the ongoing welfare reforms, are at most risk of losing household income, those facing increasing difficulties in maintaining their rent payments, and those at an increased risk of homelessness.
4.03	The team will, with the extra resources be able to identify, plan support and undertake pro-active activities to assist residents in order to mitigate welfare reforms.

5.00	APPENDICES
5.01	Appendix 1 – Welfare Reform Impact Analysis (Policy in Practice). Appendix 2 – CAF UC Paper – September 2017. Appendix 3 – Briefing paper. Appendix 4 – Government Response to Future of Supported Housing Consultation. Appendix 5 – Government Supported Housing Policy and funding statement.

6.00	LIST OF ACCESSIBLE BACKGROUND DOCUMENTS
6.01	**Contact Officer: Jen Griffiths** **Telephone:** 01352 – 702929 **E-mail:** Jen.Griffiths@flintshire.gov.uk

7.00	GLOSSARY OF TERMS
7.01	**Housing Benefit** - helps tenants pay all, or part of their rent if they have a low income. Housing Benefit is administered by Local Authorities. **Universal Credit (UC)** – is an integrated means-tested benefit for people of working age whose income is below a specified minimum amount. UC can be claimed by working age people in and out of employment. **UC Full Service** – in a full service area, UC will be claimed by all working age claimants who make a new claim for a means-tested benefit. **UC Live Service** - access to UC within a live service area is controlled by an 'eligibility gateway' which, predominantly, restricts new UC claims being made unless the claimant is a newly unemployed single person. **Working Age** – for social security benefits 'working age' ends for both men and women at the female statutory retirement pension age. In May 2016 this is 63 years old The female statutory retirement age is gradually increasing to equalise with men (65 year old) in October 2018. The pension age for both men and women will then increase to 66 in 2020. **Welfare Reforms** – changes being introduced to a range of social security benefits and tax credits, which aim to ensure that the United Kingdom has an affordable benefit system.

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Policy in Practice

6th September 2017

Little Tufton House
3 Dean Trench Street
London
SW1P 3HB

Dear Sian,

Welfare Reform Impact Assessment – an update from December 2016

Policy in Practice has carried out an update to the first Welfare Reform Impact Analysis for Flintshire County Council (FCC). The Excel workbook attached provides details of the full findings of the analysis, which gives a picture of the situation as of June 2017 and how this compares to December 2016.

The circumstances of FCC residents have been modelled for today and as they will be in 2020, both under the current benefits system and Universal Credit. Households are moved onto Universal Credit assuming that no transitional protection is paid, in order to reflect the eventual situation after roll-out has been completed.

The analysis has identified a number of households that continue to be highly impacted by welfare reform, and has evaluated individual circumstances using two measures of living standards: relative poverty and financial resilience[1]. These insights can be combined with the household-level dataset to identify vulnerable households more accurately, and to evaluate the success of interventions.

Policy update

Since the last analysis was carried out for FCC in December 2016, the following measures have come into effect:

- The National Living Wage (NLW) has increased to £7.50/hour for people over the age of 25, and the Personal Tax Allowance (PTA) has increased from £11,000/annum to £11,500/annum.
- The removal of Universal Credit housing support for 18-21 year olds who are not in employment and who do not qualify for a number of exemptions. Non-exempt 18-21 year olds are expected to "earn or learn" after 6 months in order to continue receiving housing support.
- The removal of the WRAG premium in ESA. This has the effect of reducing benefit levels for those who receive ESA and are placed in the Work Related Activity Group and those that previously received the limited capability for work component in Universal Credit.
- Child Tax Credit (CTC) is limited to two children, for any households having a third or subsequent child after April 2017.
- The taper rate for Universal Credit has been reduced from 65% to 63%.
- From September 2017 onwards, the number of free childcare hours available to qualifying parents with 3-4 year olds will double, from 15 hours a week to 30 hours a week.

[1] A measure developed at Policy in Practice, which assesses a household's financial situation in a more comprehensive way, by taking income and expected costs into account.

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How have the demographics of FCC residents changed?

The number of pension-age households in the cohort has decreased slightly between December 2016 and June 2017, from 5,897 to 5,671 households. There have been only slight changes in demographics for working-age FCC residents. Amongst these:

- **The proportion of working-age households in the cohort has increased** slightly, from 53.9% in December 2016 to 54.7% in June 2017.
- **Single households are still the most common household type**, making up 41.3% of all working-age households in the cohort, compared to 40.5% in December 2016.
- **There are significantly fewer owner-occupiers** compared to December 2016 falling from 1,069 to 868. There are also few private renters, whilst the proportion of council tenants has increased from 32.9% to 35.7%.
- **The percentage of working-age households in receipt of a disability or sickness benefit has decreased slightly**, from 55.4% to 54.4%.

The implications for claimants moving to Universal Credit vary for each individual household, but lone parents face lower work allowances under UC, since rates were reduced in April this year. These households are likely to lose income when they migrate to Universal Credit and transitional protection is lost through a change of circumstances.

What is the impact of welfare reform as of July 2017?

5.7% fewer people are 'highly impacted' by pre-2017 welfare reforms

The three main pre-2017 welfare reforms affecting FCC residents (the LHA cap, the under-occupation charge and benefit cap) in combination impact less people "highly", defined as losing over £30/week in income. This is driven by the LHA cap, which affects 17.5% fewer households than observed in December 2016, partly due to the reduced number of private renters and households in temporary accommodation in the dataset. In addition, the increase in the National Living Wage and tax allowances has increased incomes. For all three pre-2017 reforms, the amount that an affected resident stands to lose in weekly income has fallen or remained the same, compared to the December 2016 analysis.

Many households at risk from ongoing & upcoming reforms

Looking at reforms that came into effect in April 2017, 970 FCC residents are identified as being at risk from the changes to Child Tax Credit (outlined above). Of these, only residents that have a third or subsequent child will currently face the income shortfall associated with not receiving CTC. Limiting support to 2 children also applies to Universal Credit. Therefore, when these households migrate to Universal Credit (from 2019 onwards) they risk losing income if transitional protection is lost due to changes of circumstances.

23 residents are 18-21 year olds that we identified as being at risk of losing housing support under Universal Credit. FCC could check these cases individually using the dataset provided, as they could qualify for an exemption.

The removal of the limited capability for work premium for ESA WRAG recipients, which also came into effect in April 2017, will affect FCC residents that make new claims. Up to now, the number affected will be small, but in the long run 2,626 working-age FCC residents in the ESA WRAG could face substantial income losses.

We have identified 196 FCC residents that are eligible to benefit from the extra hours of free childcare for 3-4 year olds from September 2017 onwards[2]. FCC could contact these in advance to ensure that they take up this support.

2,363 social renters are currently paying rent above their applicable LHA rates. From April 2019, the tenancies that began after April 2016 will see their housing support capped at the LHA rate.

More people are better off under Universal Credit in June 2017

More households face both increases and decreases under Universal Credit than in March 2017. The number of households whose income remains roughly the same has reduced.

In June 2017, the number of FCC residents who would be better off under Universal Credit, if it was rolled out today, is higher than identified in December, at 2,739 households (38.4%). This is largely due to the combined effect of reducing the taper rate for Universal Credit and increasing the NLW and PTA, which benefits households in work.

The number of households that would be worse off is 13.8% higher than found in December, at 1,862. The reason these households are worse off is that they are affected by reforms implemented in April 2017 (such as the removal of the limited capability for work premium for ESA WRAG claimants, or the restriction of Child Tax Credit to 2 children), and this analysis does not award transitional protection in the UC scenario.

28% of people will be better off by 2020

The mitigation measures being rolled out by the government – the increase in the NLW to an estimated £8.80/hour and in the PTA to £11,500/annum – will positively affect 1,734 working-age FCC residents. Putting this together with the welfare reforms outlined above, 2,216 (32%) of working-age residents will have a higher income in 2020, compared to 4,636 (68%) that will face an income loss. 1,506 working-age residents face a cumulative income loss of over £30/week by 2020 as a result of welfare reform.

What are the overall living standards of residents in Flintshire?

As well as looking at the individual and cumulative impact of welfare reform, Policy in Practice has used the datasets provided to measure the living standards of FCC residents.

63.1% of people in the low income group are below the poverty line

By comparing ONS data on average incomes in the UK with SHBE and CTR data, Policy in Practice has calculated the number of low income residents in FCC that are below the national poverty line, and could therefore be considered to be in relative poverty. The poverty line applied is equivalised to take into account different household sizes. As of 2017, 63.1% of FCC residents in the low-income cohort are identified as being in relative poverty.

[2] The regulation around free childcare differs slightly in Wales, for more information see here: http://gov.wales/topics/people-and-communities/people/children-and-young-people/parenting-support-guidance/childcare/talk-childcare/?lang=en

Of these, 4,630 (over half) have incomes that are over £100/month below the poverty line. 4,398 children in the cohort live in households that are in relative poverty.

Poverty amongst low-income residents of FCC is set to increase to 82.4% by 2020.

21.4% of people are in crisis or at financial risk

Policy in Practice has developed a measure of financial resilience, which compares FCC residents' incomes to their expected expenditures. The figures on household expenditure are based on data from the Living Costs and Food survey. This measure identifies 18 FCC residents as being in crisis, defined as having income that is insufficient to cover even housing costs. A further 2,663 are at risk, and face a shortfall between expenditure and income that exceeds £100/month.

The proportion of households at financial risk or in crisis, in the low-income cohort, is set to increase to 49.4% by 2020.

Financial resilience captures a different subset of struggling households

Compared to the relative poverty measure, using the measure of financial resilience captures a greater proportion of households. In particular, those affected by pre-2017 housing reforms, private renters and households with children. Tables comparing the two measures can be found in the workbook.

Through the household-level dataset provided, FCC could use these two measures – together with the analysis on the cumulative impact of welfare reform – to identify the types of households that are particularly vulnerable, and begin to understand the local drivers of poverty among FCC residents.

What actions can FCC take today?

By combining the household level dataset with the analysis in the workbook, FCC can begin to take action on welfare reform and living standards today. Possible actions to consider include:

- Target financial support at the 21.4% of FCC residents identified as being in crisis or at risk.
- Target employment support – there are currently 883 FCC residents that are unemployed but appear to face low barriers to work, 25 more than December 2016. These households can be identified in the household dataset.
- Track residents that receive support in order to understand what works and what doesn't.
- Ensure the take up of extra childcare hours for parents of 3-4 year olds from September 2017 onwards; 196 low income FCC residents are eligible.
- Investigate potential exemptions, including any exemptions that may apply to the 23 households at risk of losing housing support under Universal Credit, in order to maximise residents' incomes.

Policy in Practice is more than happy to discuss further actions or potential uses of the dataset and analysis with FCC.

Policy in Practice

We hope you find this information useful and insightful. I would be pleased to schedule a call to discuss the findings with you further, and look forward to hearing your feedback and comments on this in the coming weeks.

Best wishes,

Jethro Martin
Policy Analyst
Policy in Practice.

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cyngor ar bopeth citizens advice Sir y Fflint Flintshire

Our local experience of Universal Credit Full Service – September 2017

The Universal Credit 'Full Service' was launched in Flintshire in April 2017. This is a brief summary of our experience of Universal Credit, at Citizens Advice Flintshire, during the month of August.

73 people in Flintshire approached us for help with Universal Credit during September 2017.

64% of these were female and **36%** were male.

21% of all queries related to calculation of Universal Credit and **20%** related to the housing element.

40% of all the clients we helped this month have a disability or long-term health condition.

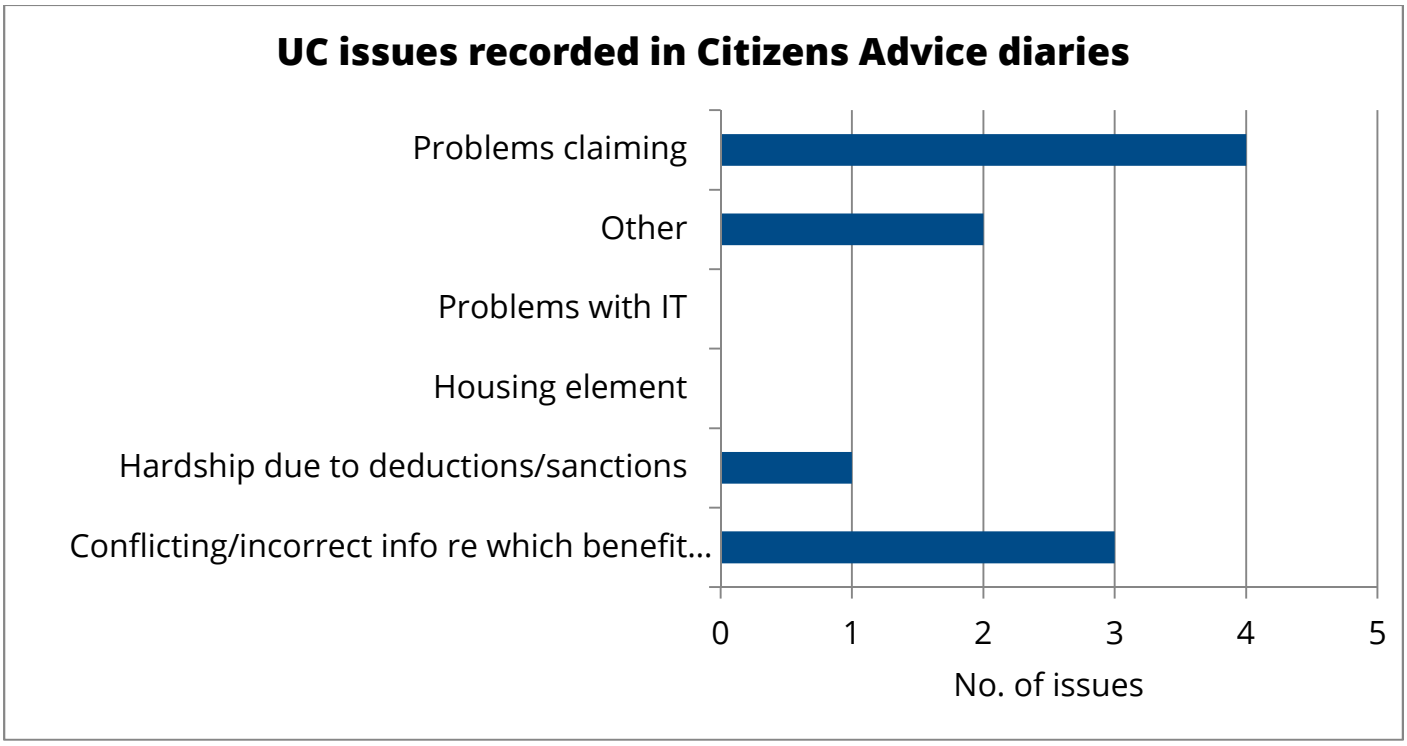
The tables below show the postcodes and age ranges of the clients we have helped so far.

Postcode	No. of clients this month	Total since April 2017
CH4	5	17
CH5	23	131
CH6	9	42
CH7	20	91
CH8	10	44
Other	6	16
Total	73	341

Age range	No. of clients	Total since April 2017
16 – 17	1	3
18 – 24	7	47
25 – 34	16	72
35 – 44	17	81
45 – 54	19	59
55 - 64	13	79
Total	73	341

Universal Credit Diaries

At Citizens Advice Flintshire we keep diaries in each office so advisers can quickly record any issues or problems they encounter with Universal Credit. We collate this information weekly, enabling us to spot trends as they arise. The table below shows a summary of the issues recorded in our Universal Credit Diaries in September 2017:



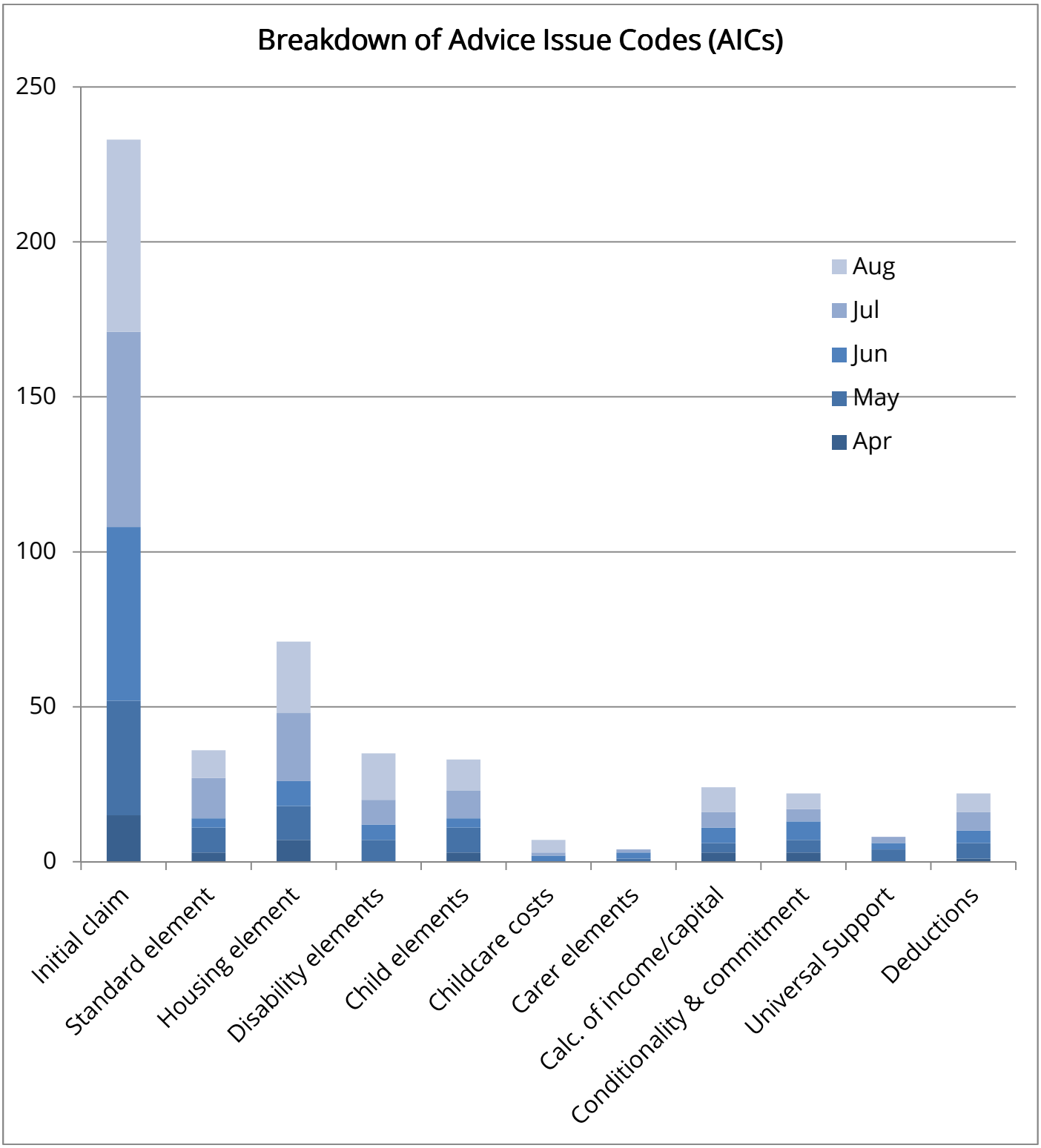
The biggest issue this month has been problems with making the initial claim, including:

1. Ms J is entitled to Contributions Based ESA so has to claim by telephone for 'New-style ESA'. She has severe mobility problems and so the UC advisor agreed to her claim being by made phone, and to arrange a home visit for the ID verification and claimant commitment. Ms J received a text message telling her to check her online journal. Unsure how to do this and with no internet access at home, she went to the Council office who set her up online and arranged for an appointment in the local Jobcentre for ID verification and to agree her Claimant Commitment. Because of this, Ms J is now expected to continue to manage her claim online. This will cost her £15+ for taxis to and from the Council office every time she needs to access her online journal.
2. Miss B is aged under 18 and has a child; therefore she is eligible for UC. She has tried several times to apply online but her claim is not accepted. When she answers 'no' to the question 'Are you over 18?' it will not let her continue with the application. We phoned the UC helpline and were on hold for 40 minutes. They were uncertain what to do at first but then recommended that client makes a 'special circumstances' claim in person at the Jobcentre. In the meantime, Miss B has been relying on just her Child Benefit of £20.70 per week to survive.

Advice Issue codes

For every client that comes to Citizens Advice for help we record Advice Issue Codes (AICs) to their case record. This helps us to monitor any trends in advice and to accurately record the work that we do.

AICs are not the same as the problems encountered (above), they simply show what we have given advice on, and won't necessarily mean that there was a problem with this issue.



Letter to David Gauke MP, Secretary of State for Work and Pensions

We wrote to David Gauke via our local MP, David Hanson, to highlight the national Citizens Advice campaign to pause the roll-out of Universal Credit until the Government has fixed the 3 main problems identified by Citizens Advice research:

1. People are waiting up to 12 weeks for their first payment without any income.
2. Universal Credit is too complicated and people are struggling to use it.
3. People aren't getting help when the system fails them.

Mr Gauke replied to say:

"...we do not share the conclusions of the recent Citizens Advice research. The report is based on evidence from a self-selecting group of people."

And in response to hardship experienced during the initial assessment period: ***"Many people coming to UC will have wages from their previous jobs to cover expenses until their first payment."***

We have replied to Mr Gauke to confirm he *"is right that Citizens Advice often helps people who have the most complex needs. However, it is wrong to dismiss our analysis because of this, as the problems experienced by our clients are usually indicative of the problems faced by the wider population. Equally, it is important that Universal Credit works for everyone - including those with complex needs."*

"The Government's data shows that 1 in 4 people are waiting more than 6 weeks to receive their first UC payment. It states that "For the week from 19 June 2017: 76% of new claims to UC received full payment on time." Currently, this means that more than 11,000 people each month are going more than 6 weeks with no income. Accelerating the roll-out in October, without addressing these delays, risks leaving tens of thousands more people without an income for over 6 weeks."

"The DWP's most recent data does not relate to full service, therefore it does not provide evidence that the current version of UC is moving people into work faster and for longer than the old system. The Government must collect data in full service areas to be able to evaluate the impact of the UC work allowances cut in April 2016."

We will continue to highlight the problems that local people are experiencing with the full service UC system by gathering evidence and sharing this with key stakeholders including the Government.

Case Studies

Tracey is a single parent with a 4 year old child. She is starting a further education course with a view to eventually going to University.

She was **incorrectly advised by DWP** that she can only claim UC as a student if she also worked for at least 16 hours per week. Luckily she came to Citizens Advice and we were able to advise her that she can claim UC as a single parent.

Karen lives in a mortgaged house. Until June she was acting as carer for her son and was getting help with her mortgage from Income Support.

When she stopped being a carer she had to apply for UC as a jobseeker. She was told by the Jobcentre that she would continue to get the **housing element** but the interest payments on her mortgage did not get paid to her mortgage account.

She contacted DWP and was told she would have to wait 39 weeks for help. Her bank told her this was wrong and the payments should have continued.

Mike is an employee who is paid every 4 weeks rather than monthly. In August, he received 2 payments of his wages during his monthly UC assessment period. This resulted in a lower payment of UC in September.

Mike is finding it very **difficult to budget** because he didn't realise this would happen and expected to receive the same amount of UC every month because he receives a flat salary with no overtime or bonuses.

Lucy was moved from Income Support to Universal Credit in June 2017. She had been getting Income Support with the housing element, paid direct to her mortgage provider, for the previous 6 years. She has been **told that she has to wait 39 weeks for the housing element** to be paid with her UC. This is contrary to Reg. 29 UC (TP) Regs 2014. Lucy should not have had any waiting period for her housing element with UC.

Derek receives £414 per month Universal Credit. He thought his rent was being paid direct to his landlord, as it had done previously with HB. Unfortunately this was not happening and he now has rent arrears of over £1000.

Out of his £414 UC he has to pay £356 per month rent. This leaves him with just £58 per month to pay all of his household bills, shopping, and travel etc. He has deductions from his UC for 'overlapping benefits' and 'other DWP debts'. He has tried to establish what these are for but the UC helpline has been unable to explain.

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Briefing Paper – Future of Supported Housing

In the Government's Autumn Statement 2015, they announced their intention to apply the Local Housing Allowance rates to supported housing, and to the wider social rented sector.

There have been significant concerns raised about this change by the social housing sector and other key stakeholders (such as supported housing commissioners and providers; supported housing tenants; Welsh Government) and as a result on 25th October 2017, the Prime Minister announced that the Government had decided they will no longer introduce the restriction of Supported Accommodation rents to LHA rates and that they would also no longer be introducing this restriction across the wider social rented sector.

On 31st October 2017, the Government published a response to recommendations made in a joint report from Communities and Local Government and Work and Pensions Committees and provided details of their fresh approach to funding supported housing: Their approach segments supported housing into three types:

A 'Sheltered Rent' – for those in sheltered and extra care housing

Introducing a 'Sheltered Rent', a type of social rent, which keeps funding for sheltered and extra care housing in the welfare system

The social housing regulator will use existing powers to regulate gross eligible rent (rent inclusive of eligible service charges) charged by registered providers.

Funding for existing supply will be maintained at current levels, new supply will be subject to the regulation and capped.

This model will come in to effect from 2020.

Local Grant Fund – for short-term and transitional supported housing

This includes supported housing for homeless people with support needs, people fleeing domestic abuse, people receiving support for drug and alcohol misuse, offenders and young people at risk.

100% of this provision will be commissioned at a local level, funded locally through a ring-fenced grant, and underpinned by a new local planning and oversight regime. This means all the funding for housing costs (including rent and eligible service charges) that were previously met from Housing Benefit, will instead be allocated to local authorities to fund services that meet the needs of their local areas.

This model will come in to effect from 2020.

Welfare System (Housing Benefit/Universal Credit) – for long-term supported housing

For long-term supported housing – including supported housing for those with learning disabilities, mental ill health and physical disabilities, as well as highly specialised supported housing.

As Local Housing Allowance rates will no longer be applied, 100% of housing costs (rent inclusive of eligible service charges) will continue to be funded as at present through the welfare system (subject to the application of the existing housing benefit/Universal Credit rules).

The Government are intending to develop and deliver improvements to cost control, quality and outcomes.

Consultation is now open and runs until 23rd January 2018.

Government Response to the Communities and Local Government and Work and Pensions Committees Joint Report: Future of supported housing

Presented to Parliament
by the Secretary of State for Communities and Local Government
and the Secretary of State for Work and Pensions
by Command of Her Majesty

October 2017

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Government Response to the Communities and Local Government and Work and Pensions Committees Joint Report: Future of supported housing

Introduction

The Government welcomed the joint report of the Communities and Local Government and Work and Pensions Committees on supported housing, and their support to find a long-term, sustainable funding mechanism that ensures quality, value for money, security of future supply. We are pleased to respond now to its recommendations, alongside a policy announcement setting out the next steps on funding for supported housing.

In addition to this, in the Autumn Statement 2015 we announced our intention to apply the Local Housing Allowance rates to supported housing, to the wider social rented sector, with effect from 2018. The implementation date was subsequently deferred to April 2019. Since then, we have listened carefully to the concerns raised by the supported housing sector and other key stakeholders about the issues that this measure would present. As the Prime Minister has recently announced, in response to those concerns the Government will not apply the Local Housing Allowance rates to tenants in supported housing, nor to the wider social rented sector.

Supporting the most vulnerable people in our society is a priority for this Government, and we value the important role that supported housing plays in ensuring this. A safe, secure and supportive home can be the key to improving or repairing lives and to unlocking people's potential. Supported housing helps hundreds of thousands of the most vulnerable people across the country to live independently, or to turn their lives around. It is also a key part of the social housing sector, which we have recently committed to fully reviewing in an upcoming Social Housing Green Paper.

Since announcing the intention to reform the funding model, we have worked with supported housing commissioners and providers, representatives of supported housing tenants, as well as the devolved administrations, to establish what a workable and sustainable funding model for the sector should look like. This includes views shared with the Select Committee during the course of their inquiry, and their final recommendations. As a result, we have developed a three-pronged approach to funding supported housing, which reflects the needs of diverse client groups.

This approach segments supported housing into the following three types:

- sheltered and extra care housing (for older people with support needs, and some working age tenants);
- long-term housing (for those with long-term needs, such as people with learning disabilities); and
- short-term housing (for those in crisis situations, such as those fleeing domestic violence, or homeless people with support needs).

The new funding regimes will come into effect from 2020.

Further details of our three-pronged approach are set out in our Policy Statement. Building on the Select Committee's recommendations, this segmented funding solution seeks to recognise the diversity of the sector, ensure quality provision for vulnerable tenants, secure the future supply by providing funding certainty and provide value for money for tenants and the taxpayer. This is complemented by the National Statement of Expectation, which sets expectations for local authorities, other commissioners and providers that underpin these objectives.

Sheltered and extra care housing

Sheltered and extra care accommodation will continue to be funded in the welfare system. We will be bringing forward a 'Sheltered Rent', a type of social rent that recognises the vital role that these homes play in supporting older and vulnerable people. This will bring in important but proportionate cost controls. This model comprises:

- 100% of housing cost funding (rent and eligible service charges) will be retained in the welfare system (Housing Benefit/Universal Credit), and the social housing regulator will regulate the Gross Eligible Rent (rent inclusive of eligible service charges) charged by registered providers.
- This provides the certainty the sector needs in order to secure future supply whilst providing enhanced cost controls and oversight, ensuring value for money for the taxpayer and quality for tenants.

Short-term supported housing

Short-term supported housing will be funded through a new grant, removing it from the welfare system. It will also provide local areas with more oversight and control over the provision in their areas. This model comprises:

- 100% of this provision will be commissioned at a local level, funded locally through a ring-fenced grant, and underpinned by a new local planning and oversight regime. This means all the funding for housing costs (including rent and eligible service charges) that were previously met from Housing Benefit will instead be allocated to local authorities to fund services that meet the needs of their local areas.
- As per the recommendations of the Select Committee inquiry, this removes short-term accommodation costs from the welfare system and provides local areas with more oversight and control over the provision in their areas.

Long-term supported housing (for those with long-term conditions such as physical impairment, sensory deprivation, learning difficulties and mental ill health)

Long-term supported housing will remain in the welfare system and we will work with the sector to develop and deliver arrangements to ensure value for money. The model comprises:

- 100% of housing costs (rent and eligible service charges) to remain funded as at present (through the welfare system).
- The government will work with the sector to develop and deliver arrangements to ensure cost control.

We will also be introducing a new planning and oversight regime that will ensure that local areas are best able to provide for their vulnerable citizens, and that the accommodation represents both quality and value for money. Local authorities will be asked to carry out a needs assessment of present and future need and to produce a local supported housing plans setting out how funding will be used to meet identified local needs.

It is the Government's aim through making these changes to provide funding security to the sector, allowing them to make long-term investment and therefore secure future supply. It will also ensure value for money for the taxpayer, and enable councils to have a stronger role in meeting the needs of vulnerable people in their local areas.

Recommendations

The Committee made 14 recommendations in the Joint Report and consideration has been given to each of these.

Recommendation 1 (paragraph 2 of conclusions and recommendations section):

The Government should establish a set of national standards to enable monitoring of the quality of provision in all supported housing in England and Wales. These should have a specific emphasis on improving the quality of life that tenants experience in supported housing. All providers should be registered with their local authority, whether or not their services have been commissioned locally. Local authorities should undertake annual inspections of all supported housing schemes in their area to ensure a minimum standard of provision.

Better oversight and value for money for both tenants and the taxpayer are an important part of our reforms. This is made clear in our Policy Statement and complemented by our National Statement of Expectation, which sets out our expectations for planning and commissioning local services that promote quality and seek value for money. We will also issue more detailed guidance to support local authorities in monitoring the provision in their local area in advance of implementation.

For much of supported housing, the support element is commissioned by local authorities. It is via the commissioning process, along with the accompanying contract monitoring procedures that local authorities ensure value for money and quality is achieved and maintained. Where a tenant is eligible for social care, the providers are regulated and monitored by the Care Quality Commission.

Under the new model for sheltered and extra care housing set out in our Policy Statement, the social housing regulator will regulate Gross Eligible Rent (rent inclusive of service charges). This will see them acting in the same capacity as they currently do for net rents; monitoring compliance with the system and (where necessary) using their enforcement powers.

Under the new model for short-term supported housing, local authorities will commission all short-term provision in their area and therefore all commissioned providers will need to meet local authority quality standards. We will work with local authorities on how they might best ensure decent and appropriate standards.

Recommendation 2 (paragraph 3):

Tenants must be able to make complaints about the quality of the service they are receiving without fear of the consequences. However, current redress mechanisms in England are unsatisfactory and require a thorough review by the Government. The Government should ensure tenants are appropriately and adequately supported in seeking redress where the quality of the service they receive is inadequate

We want to ensure that all supported housing works for the clients, providers and local authorities.

This is made clear in our Policy Statement. As part of these reforms we will oblige providers to publish breakdowns of their service charges. This new approach will enable tenants to compare their service charges with those of other providers and, where they feel these are unreasonable, take action. We also intend, through the consultation, to work with the sector to identify ways to drive up standards, improve outcomes and share best practice.

Homes and Communities Agency registered providers must meet the Tenant Involvement and Empowerment Standard, which requires providers to offer a range of ways for tenants to express a complaint and set out clear service standards for responding to complaints, including complaints about performance against the standards, and details of what to do if they are unhappy with the outcome of a complaint.

Furthermore, the Government has announced that there will be a Green Paper on social housing in England. This will be a wide-ranging, top-to-bottom review of the issues facing the sector, and will be the most substantial report of its kind for a generation. It will include a framework for social housing tenants' complaints to ensure their complaints are taken seriously and dealt with properly, and make sure tenants have clear, timely avenues to seek redress when things do go wrong.

Recommendation 3 (paragraph 7):

It is essential that the Government's funding proposals do not threaten the future supply of supported housing .The Government to undertake an assessment of the final funding proposal to assess its impact on the future provision of supported housing.

The Government is committed to boosting the supply of new supported housing. Government has a strong track record in safeguarding supported housing and boosting new supply. We have delivered over 27,000 new supported homes in England between 2011 and 2017. DCLG's Affordable Homes Programme has committed £400 million to build 8,000 new supported homes by 2020, and the Department of Health's Care and Support Specialised Housing (CASSH) fund is investing £200 million to build over 6,000 supported homes.

We know the supported housing sector needs certainty to help it continue to plan and deliver new much needed supported housing. We believe our new proposals for funding supported housing will have a positive impact on the future provision.

All long-term supported housing will remain in the welfare system. This will protect provision by ensuring providers have a secure source of income, and stimulating important investment in future supply. For sheltered and extra care housing, we are bringing forward a 'Sheltered Rent'. This will see the social housing regulator regulating gross eligible rent (rent inclusive of eligible service charges), in the same way it currently does for Affordable Rent. Short-term supported housing will be protected through a ring-fenced grant allocated to local authorities.

We will be working with local government in England and they will be asked to undertake an assessment of current and future need in their five year supported housing strategic plans, as set out in the Policy Statement and National Statement of Expectations. This will provide an overall assessment of need and allow local authorities and providers to plan for future provision.

Recommendation 4 (paragraph 9):

The Government should introduce a Supported Housing Allowance, with a system of bandings for different types of provision and a cap within each band. The Supported Housing Allowance would be calculated according to a formula made up of two elements: a fixed amount that reflects the cost of provision, which is consistent between geographical areas; and a smaller, variable amount that reflects differences in land values in each area. The Government should work with the sector to identify bandings which reflect the diversity and variation in costs in the sector.

We will be bringing forward a 'Sheltered Rent' from April 2020, a type of social rent that recognises the vital role that these homes play in supporting older and vulnerable people. This will keep 100% of housing cost funding for sheltered and extra care housing, which represents the majority of supported housing, in the welfare system. The social housing regulator will regulate gross eligible rent (rent inclusive of eligible service charge) as it already does for Affordable Rent. It is intended this will take into account regional variations as well as fairly reflect the variety of provision across the sector.

Recommendation 5 (paragraph 10):

The Supported Housing Allowance should be sufficient to ensure supported housing tenants only require recourse to locally-administered top-up funding in exceptional circumstances. Tenants should only be eligible for supported Housing Allowance if they live in accommodation registered for regular inspection by their local authority.

The Government is no longer intending to apply Local Housing Allowance rates to the social rented sector, including supported housing.

For sheltered and extra care housing, which represents the majority of supported housing, we will be introducing a 'Sheltered Rent', whereby funding will remain in the welfare system. It is intended this will take into account regional variations as well as fairly reflect the variety of provision across the sector. As the funding will be determined through the social rent setting regime, it will apply to all registered providers.

Recommendation 6 (paragraph 11):

A capital grant scheme should be introduced for new supported housing developments. This would mean that, even when the cost of land varied between high and low value areas, core rent and service charges for new accommodation would remain largely consistent with existing supported housing stock. Reducing the cost differences between old and new supported housing would simplify the funding mechanism, permitting greater oversight of costs and value for money, while reducing risk for providers and encouraging additional investment in the sector.

The Government is committed to boosting supply and to responding to growing future demand. Since 2011, the Government has delivered 27,000 new supported homes in England. DCLG's Affordable Homes Programme has committed £400 million to build 8,000 new supported homes by 2020, and the Department of Health's Care and Support Specialised Housing (CASSH) fund is

investing £200 million to build over 6,000 supported homes.

Our Housing White Paper, Fixing our broken housing market, sets out the Government's plans to reform the housing market and boost the supply of new homes in England, including homes for older and disabled people. The Neighbourhood Planning Act also requires the Secretary of State to produce guidance for local planning authorities on how their local development documents should meet the housing needs of older and disabled people.

Recommendation 7 (paragraph 14):

Government should guarantee the ring-fence for the duration of the next Parliament, and provide a clear indication of its desire for the fund to remain in the long-term. The Government should review guidance and statutory duties to ensure they are comprehensive enough to ensure no vulnerable groups are left behind under the new funding mechanism.

As set out in our Policy Statement, under our new funding model short-term supported housing will be funded by a ring-fenced grant from 2020 onwards. The Government recognises that supported housing is of vital importance to vulnerable people so it is our intention that this ring-fence will be retained in the long term in order to protect this important provision and the vulnerable people it supports. We will work with local authorities to determine the appropriate local grant allocations for short-term supported housing for 2020-21 for the initial introduction of the new model with a view to considering multi-year allocations to local authorities in due course. The amount of short-term supported housing grant funding will be set on the basis of current projections of future need (as informed by discussions with local authorities) and will continue to take account of the costs of provision in this part of the sector. The rest of the sector will remain funded through the welfare system.

We intend that no vulnerable groups are left behind. In our Policy Statement, we make clear that we are requiring local authorities to assess the needs of all client groups identified in their areas and incorporate this in their local strategic plans. If provision is not available/or not to be made available locally, local strategic plans will need to state how this need is to be met. We are also asking local areas to co-operate with each other around provision for certain client groups in specialised accommodation.

Recommendation 8 (paragraph 16):

The Government should ensure local authorities have sufficient guidance, time and resources to collect the necessary data for the review of current and future need in their areas, even if this requires retaining the current arrangements for a longer period of time. Central funding of the top-up should be guaranteed for at least the duration of the next Parliament, to provide greater certainty to local authorities' funding cycles and long-term commissioning plans. Funding levels should be kept under regular review to ensure the top-up fund keeps pace with increases in the cost of provision and changes in local demand for different services.

The Government agrees that, in order to ensure that local authorities and providers are prepared for our reforms, we will now introduce the changes in April 2020. We recommend that local

authorities plan for all their supported housing provision over the course of a five year period, as set out in our Policy Statement. For short-term supported housing, this will be a requirement set out in the grant conditions. We will work closely with them to ensure funding is provided at appropriate levels to meet local need.

Recommendation 9 (paragraph 17):

Government should consult with local authorities to ensure they have the resources they need to administer the top-up funding. This should be separate from, and in addition to, the funding provided for disbursement to tenants in supported housing.

We will consider what level of new burdens funding will be appropriate to enable local authorities to fulfil their new role. This will be separate from funding for rent and eligible service charges. We intend to continue working with local authorities and other stakeholders on the detailed workings of the new funding model.

Recommendation 10 (paragraph 18):

There is a strong case for piloting the new funding model prior to a phased implementation. Government must prioritise ensuring its new model works, protecting vulnerable residents, over and above meeting any self-imposed delivery deadlines.

We wish to make transition to the new funding model is as smooth as possible, and will explore whether we should pilot or test the new funding system before implementation in April 2020.

Recommendation 11 (paragraph 19):

For short-term, Government should consider a system of grants paid to local authorities so they are able to commission emergency accommodation in their areas. Local authorities should pay providers directly, so services are available when they are needed.

The Government agrees that short-term supported housing should be funded locally. 100% of this provision will be commissioned at a local level, funded locally through a ring-fenced grant, and underpinned by a new local planning and oversight regime. This means all the funding for housing costs (including rent and eligible service charges) that were previously met from Housing Benefit will instead be allocated to local authorities to fund services that meet the needs of their local areas. This removes short-term supported housing costs from the welfare system altogether.

Recommendation 12 (paragraph 20):

Refuges for women and children have unique challenges within the supported housing sector. This should be reflected in a distinct model of funding, separate to the arrangements for other forms of supported housing. In particular, it is essential that refuges are able to operate as a national network, unrestrained by admission restrictions imposed by individual local authorities and with appropriate coverage across the country. The Government should work with Women's Aid and refuge providers to devise a separate funding mechanism for this sector, likely to require a nationwide plan for the provision of refuges, facilitated through Government grants to local authorities. The Government should

reconfirm its target that "no victim is turned away from accessing critical support services delivered by refuges" by 2020.

We fully support the valuable work carried out by women's refuges and other supported accommodation providers. Our Violence Against Women and Girls (VAWG) Strategy 2016-2020 is clear that we are committed to delivering a secure future for refuges.

We appreciate the need for certainty and we strongly believe that a local approach will ensure the best outcomes for vulnerable renters in crisis and emergency supported housing. Local authorities are best placed to understand and meet local needs, and to take a holistic view on both housing and support provision.

Under our new model refuges will be funded on a provision basis, rather than funding for an individual staying there.This will enhance the funding certainty of providers working in this important sector, enabling them to plan for the short and long term. The oversight regime will set out expectations regarding helping those with no local connection.

We also encourage local authorities to work together closely on this to ensure no one is turned away, in line with our Priorities for Domestic Abuse Services which we developed with partners from the domestic abuse sector.

The Government has already committed (in the 2016/20 Violence Against Woman and Girls Strategy) to review the current approach to refuge provision in England by November 2018.
We will need to pay particular attention to the funding of care and support costs as we do this, and will continue to work closely with this sector to make good our commitment.

Recommendation 13 (paragraph 21):

Government should make providers aware that supported housing tenants claiming Universal Credit will not be worse off if they seek employment. On the contrary, a job should be seen as an important milestone towards independence and self-sufficiency.

DWP works closely with landlords in the course of Universal Credit roll-out and the impact of working is a key theme of the Department's communications.

Recommendation 14 (paragraph 23):

The Government should ensure the benefits system does not discourage people from leaving supported housing when they are ready to do so. Benefits restrictions that may be justified in the private rented sector should not be applied to those looking to leave supported housing. The Government should therefore extend the exemption from the Shared Accommodation Rate to younger tenants wishing to leave supported housing. We also recommend that 18 to 21 year olds leaving supported housing be eligible for Housing Benefit, unless in the view of the supported housing provider, it is appropriate for them to return home. This would give them a greater choice of appropriate accommodation and encourage them to move out of supported housing more quickly when they are ready, freeing up valuable housing for other vulnerable people. We further recommend that, in

response to this report, the Government clearly set out how 18 to 21 year olds leaving supported housing will be assessed for eligibility for Housing Benefit against existing exemptions.

The Government is no longer intending to apply Local Housing Allowance rates to the social rented sector, including supported housing.

For the private rented sector, the Government already has a wide range of exemptions from the shared accommodation rate covering those groups for whom it would be inappropriate to live in shared accommodation. We do not believe that any further extension is necessary.

Universal Credit housing support will be available to those 18-21 year olds who cannot return home. Eligibility will be assessed on the basis of the claimant's circumstances at the end of each assessment period. For 18-21 year olds we will consider what their housing cost liability is and whether they are exempt from the removal of housing costs.

From April 2017 the Government removed automatic entitlement to housing support for 18-21 year olds in Universal Credit Full Service only. This rule is intended to prevent young people from slipping into a life on benefits and to bring parity with young people who may be working but who cannot afford to leave the family home. However, this policy only applies to single out of work claimants and is subject to a further list of exemptions designed to support vulnerable young people who need it.

This list of exemptions was created through extensive consultation with stakeholders and includes the following (this is not an exhaustive list):

- Those with responsibility for children;
- Those who have been working at least 16 hours per week (paid at National Minimum Wage Level (or at the apprenticeship rate if they are an apprentice) for six months prior to their claim;
- Care leavers;
- Claimants with a physical or mental impairment or caring responsibilities;
- Claimants for whom it is inappropriate to live with their parents – (for example, those, unable to return home because of their sexuality or religion where there has been an irretrievable breakdown in the relationship with the parents or where the family home would be overcrowded were the claimant to live there);
- Claimants whose parents have died or do not live in the country;
- Those in a structured recovery programme for drug or alcohol dependency;
- Claimants in temporary accommodation;
- Those who are threatened with or are victims of domestic violence;
- Claimants who have recently suffered a bereavement in the past six months following the loss of their partner, or a child or young person for whom the claimant or their partner was responsible.

Department for Communities and Local Government

Department for Work & Pensions

Funding Supported Housing:

Policy Statement and Consultation

October 2017
Department for Communities and Local Government
Department for Work and Pensions

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Ministerial Foreword

Supporting the most vulnerable people in our society is a priority for the Government, and we value the important role that supported housing plays. We are committed to protecting and boosting the supply of supported housing. It is also an integral part of the wider social housing sector, which we will be reviewing through the upcoming Social Housing Green Paper.

We recognise that we need to make some changes to the way in which supported housing is funded. Local areas don't have sufficient control or oversight of provision and it is not always possible to ensure value for money for tenants or the taxpayer. As demand for help to live independently grows, we also need a funding model that is fit for the future and can support the delivery of new much needed supply as well as making best use of existing supported homes.

In the Autumn Statement 2015, we announced our intention to apply the Local Housing Allowance rates to social rents, including supported housing, with effect from 2018. The implementation date was subsequently deferred to April 2019.

Since then, we have listened carefully to the concerns raised by the social housing sector and other key stakeholders about the issues that this measure would present. As the Prime Minister has recently announced, in response to those concerns the Government will not apply the Local Housing Allowance rates to tenants in supported housing, nor to the wider social rented sector.

Last year we also committed to reform the funding of housing costs for supported housing. Since then we have worked with many from the supported housing sector (including providers, local authorities, charities and academics) to develop a fully sustainable funding model. We are pleased to announce today that we have heard their views and concerns, and we are bringing forward a flexible funding approach that works for this very diverse sector.

What has been clear through our conversations is that a one-size-fits-all approach will not work and our new funding regime is tailored to the three main types of supported housing:

- sheltered and extra care housing (usually designated for older people, but including some working age tenants);
- short-term supported housing (for those in crisis such as those fleeing domestic violence and homeless people with support needs, or shorter term transitional help for those with substance misuse problems or vulnerable young people, such as care leavers); and
- long-term housing (for those with long-term needs, such as people with learning or physical disabilities, or mental ill health).

Sheltered housing and extra care will continue to be funded in the welfare system, and we will be introducing a 'Sheltered Rent' from April 2020, a type of social rent that recognises the vital role that these homes play in supporting older and vulnerable people and acknowledges the higher costs of these types of housing compared to general needs housing. This will see gross eligible rent (rent inclusive of eligible service charges) regulated by the social housing regulator. We will set appropriate rates for sheltered and extra care housing costs, established in consultation with the sector. Welfare

arrangements for people living in all types of supported housing will apply across Great Britain, but rent policy is a devolved matter for the Scottish and Welsh Governments.

Short-term supported housing will be funded through a new ring-fenced grant to local authorities in England. The Government recognises that supported housing is of vital importance to vulnerable people so it is our intention that this ring-fence will be retained in the long term in order to protect this important provision and the vulnerable people it supports. The amount of short term supported housing grant funding will be set on the basis of current projections of future need (as informed by discussions with local authorities) and will continue to take account of the costs of provision in this part of the sector. In Wales and Scotland, an equivalent amount will be provided and it will be for those administrations to decide how best to allocate funding.

Long-term supported housing will remain funded via the welfare system, as it is currently. While this provision will continue to be funded by the welfare system, we will work with the sector to manage costs and ensure the best outcomes for tenants, whilst providing the sector with the certainty of future funding that it needs.

We are also seeking to improve local planning for supported housing and commissioning across service areas and have set out proposals for a National Statement of Expectation and local level strategic planning to underpin the new funding regime. This will support better, joined-up working across local areas to deliver the best outcomes for vulnerable people, to ensure that public funding is being used effectively and efficiently, and to plan for new supply to meet future demands.

These important and necessary changes will take time to implement, and it is crucial that the support provided to people is not interrupted or put in doubt, which is why these changes will now commence from April 2020 rather than April 2019.

It is our aim through making these changes to provide funding security to providers by allowing them to make long term investment decisions and therefore secure future supply. These changes will also ensure value for money for the taxpayer, enable councils to have a stronger role in providing appropriately for their local areas, and support better outcomes for tenants.

We are keen to ensure our proposals will work for the sector and welcome your views in the two consultations launched today.

We have also considered the important role of refuges and calls from some of the sector to nationalise the funding and commissioning of this. The Government was clear in its Violence Against Women and Girls (VAWG) Strategy that refuges provide a vital service. We appreciate the need for certainty and we strongly believe that a local approach will ensure the best outcomes for vulnerable renters in crisis and emergency supported housing. Local authorities are best placed to understand and meet local needs, and to take a holistic view on both housing and support provision. The Government has already committed (in the 2016/20 Violence Against Woman and Girls Strategy) to review the current approach to refuge provision in England by November 2018. We will need to pay particular attention to the funding of care and support costs as we do this, and will continue to work closely with this sector to make good our commitment.

It is vital that we continue to support the most vulnerable in our society, and have a sustainable supported housing sector which is fit for the future, providing value for money for those that pay for it and, most importantly, positive outcomes for those who call it home.

Minister for Local Government

Marcus Jones MP

Parliamentary Under Secretary of State for Family Support, Housing and Child Maintenance

Caroline Dinenage MP

October 2017

Section 1: Policy Statement

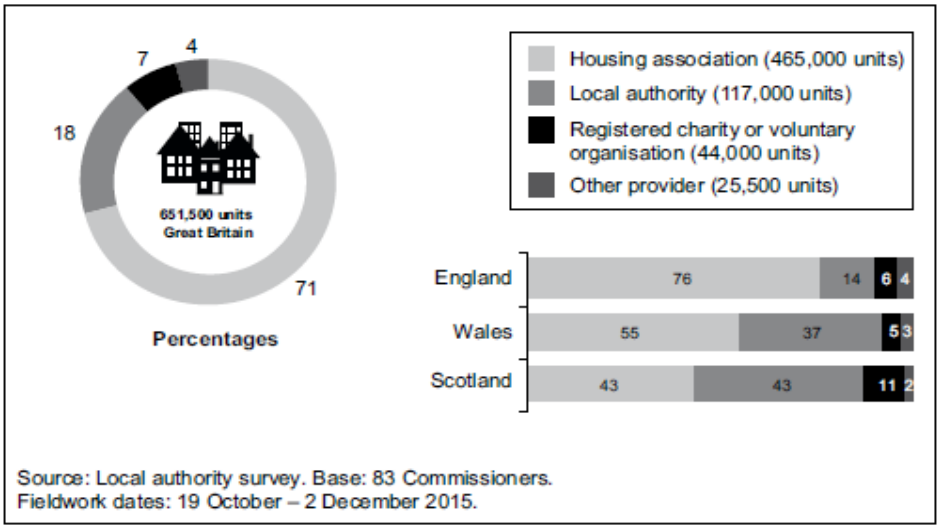
Chapter 1: Supported housing: a case for change

What is supported housing?

1. One of the Government's most fundamental roles is to protect the most vulnerable people in our society. Strong and sustainable supported housing is vital to help underpin this obligation.
2. Supported housing is any housing scheme where accommodation is provided alongside care, support or supervision to help people live as independently as possible in the community.
3. It covers a range of different housing types, including hostels, refuges, supported living complexes, extra care schemes and sheltered housing. It is enormously diverse, with different types of provision meeting different levels of need – and often multiple needs.
4. People who live in supported housing may include:
    - Older people with support needs;
    - People with learning and physical disabilities;
    - Individuals and families at risk of or recovering from homelessness;
    - People recovering from drug or alcohol dependency;
    - Offenders and ex-offenders;
    - Vulnerable young people (such as care leavers or teenage parents);
    - People with mental ill health; and
    - People at risk of domestic abuse.
5. There are approximately 651,500 supported homes in Great Britain, the majority in England (85%), and at any one time there are around 716,000 people living in supported housing.[1] Social landlords are the main providers, with housing associations providing the majority (71%), alongside local authorities and third sector providers. A small proportion is provided by the private sector.

[1] Imogen Blood & Associates, Housing & Support Partnership and Ipsos Mori (2016), *Supported accommodation review*, https://www.gov.uk/government/publications/supported-accommodation-review

Figure 1: Profile of supported housing by landlord type across Great Britain[2]



Why is supported housing important?

6. Supported housing provides vital support to some of our country's most vulnerable people. It helps many people to lead independent lives or turn their lives around, and is a vital service for a country that works for all.
7. DCLG analysis estimates that the net fiscal benefit of capital investment in supported housing is £3.53 billion per year.[3] It is an investment which brings savings to other parts of the public sector, such as health and social care and the NHS, helping those services to deliver better outcomes for vulnerable people.
8. Supported housing also underpins a range of policy objectives across Government. For example, it supports our commitment to tackle homelessness through prevention, provides refuge for people fleeing domestic abuse, and tackles poverty and disadvantage by helping vulnerable people transition to independent living.
9. The Government has a strong track record in safeguarding supported housing and boosting new supply. For example, people living in most forms of supported housing have not been subject to welfare reforms such as the household Benefit Cap. We have also delivered over 27,000 new supported homes in England between 2011 and 2017.

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[2] Ibid

[3] Based on the Frontier Economics report for the Homes and Communities Agency on Specialist Housing in 2010: Frontier Economics (2010) Financial benefits of investment in specialist housing for vulnerable and older people: https://www.frontier-economics.com/documents/2014/06/financial-benefits-of-investment-frontier-report.pdf

Future challenges

10. Demand for help to live independently is set to rise as the population ages and medical advances are able to help more people with acute health conditions and disabilities to enjoy longer lives. Projections suggest that the overall number of supported homes may need to rise across Great Britain from 650,000 to 845,000 by 2030 (30% increase), particularly among older people (35% increase on current demand) and people with disabilities (53% increase).[4]

11. The Government is clear that ensuring people have a safe and secure home is a priority. As part of this, the Secretary of State for Communities and Local Government recently announced a Green Paper on Social Housing in England – a wide-ranging, top-to-bottom review of the issues facing the sector, which will also consider broader issues of place, community and the local economy. It will include a framework for social housing tenants' complaints; this is to ensure their complaints are taken seriously and are dealt with properly, and to make sure tenants have clear, timely avenues to seek redress should things go wrong.

12. We are working across Government and with local partners to meet the challenge of helping to maintain vulnerable people's independence now as well as planning to meet growing future demand for services. This includes:

A focus on prevention:

- We have committed £550 million until 2020 to tackle homelessness and rough sleeping, as well as implementing the most ambitious legislative reform in decades, the Homelessness Reduction Act.
- The Government's new Drugs Strategy outlines measures to support recovery from dependence. This includes a new National Recovery Champion to ensure adequate housing is available to help people turn their lives around.[5]
- Our Violence against Women and Girls Strategy sets out our ambition that no victim of abuse is turned away from the support they need, as well as emphasis on earlier intervention to prevent the escalation of abuse.[6] The Government will review the provision of refuges in England and will report back on this by November 2018.
- Ensuring offenders have suitable accommodation when released is vital to reduce reoffending. A special interest group, convened by the Ministry of Justice, will report next year on how to help offenders secure or retain suitable housing.

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[4] DCLG & DH commissioned research by Personal Social Services Research Unit of London School of Economics (2017), *Projected demand for supported housing in Great Britain 2015 to 2030,* http://eprints.lse.ac.uk/84075/1/Wittenberg_Projected%20demand_2017_author.pdf

[5] See: https://www.gov.uk/government/news/new-drug-strategy-to-safeguard-vulnerable-and-stop-substance-misuse

[6] See: https://www.gov.uk/government/publications/strategy-to-end-violence-against-women-and-girls-2016-to-2020

Helping people in their own homes and communities:

- The Department of Health has invested over £1 billion since 2010 in the Disabled Facilities Grant, which helps people to stay in their homes by funding adaptations.[7]
- The Transforming Care Programme is ensuring that people with learning disabilities are cared for in the most appropriate way, reducing unnecessary hospital stays.[8]
- Government has committed £1 billion a year by 2020/21 for mental health services, including putting Crisis Resolution and Home Treatment teams on a 24/7 footing.

Boosting new supply and upgrading existing supported housing:

- DCLG's Affordable Homes Programme has committed £400 million to build 8,000 new supported homes by 2020, and the Department of Health's Care and Support Specialised Housing (CASSH) fund is investing £200 million to build over 6,000 supported homes.
- The Department of Health launched a £25 million fund for housing and technology, supporting people with a learning disability to live as independently as possible.[9] £40 million was invested in the Homelessness Change/Platform for Life programme to upgrade homeless hostels and improve health facilities.[10]

Supporting timely move-on:

- The Government has allocated £100 million to a programme which will deliver at least 2,000 low cost 'move on' accommodation places to enable people leaving hostels and refuges to make a sustainable recovery from homelessness.[11]
- The £2 billion of increased funding for affordable homes, announced by the Prime Minister in October, will also help to boost supply, providing more homes for people to move on to.[12]

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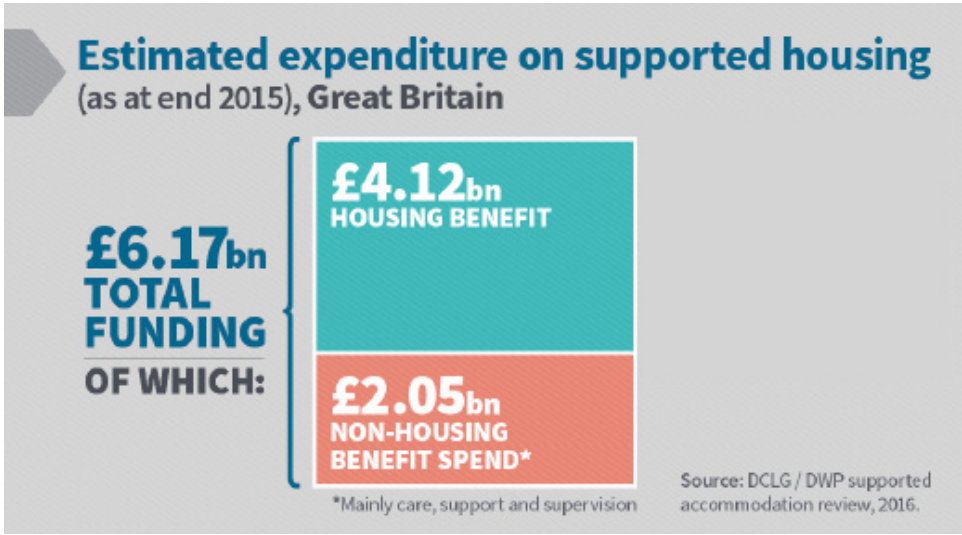
[7] See: https://www.gov.uk/government/publications/disabled-facilities-grant
[8] See: https://www.england.nhs.uk/learning-disabilities/care/
[9] See: https://www.gov.uk/government/news/funds-to-improve-housing-for-people-with-learning-disabilities
[10] See: https://www.gov.uk/government/publications/homelessness-change-and-platform-for-life-funds-2015-to-2017-allocations
[11] Budget 2016, see page 39 https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/508193/HMT_Budget_2016_Web_Accessible.pdf
[12] See: https://www.gov.uk/government/news/2-billion-boost-for-affordable-housing-and-long-term-deal-for-social-rent

13. At the heart of meeting vulnerable people's needs both now and in the future is developing a workable and sustainable funding model for supported housing.

Funding for supported housing

14. Funding for supported housing is complex and comes from a variety of sources, with 'housing' costs and 'support' costs being met separately. This is important in allowing people to access the right level of support in their own homes, and in supporting people to live independently.
15. Around £4.12 billion of Housing Benefit is spent on meeting *housing* related costs (rent and eligible service charges) for supported housing – representing around 17 percent of total Housing Benefit expenditure. Around a further £2.05 billion from a variety of sources, including local authority adult social care and housing and homelessness funding, covers *support* and *care* services.[13]
16. Around 79 percent of older people in supported housing claim Housing Benefit to help them meet housing costs, as do 97 percent of working-age people in supported housing.[14]

Figure 2: Expenditure on Supported Housing



Case for change

17. There are three clear reasons for seeking to reform the funding of housing costs for supported housing:

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[13] See: https://www.gov.uk/government/publications/supported-accommodation-review
[14] See: https://www.gov.uk/government/publications/supported-accommodation-review

I. **To secure supply now and in the future;**
II. **To strengthen focus on outcomes, oversight and cost control; and**
III. **To ensure it works with the modernised welfare system.**

To secure supply now and in the future

18. With demand set to increase in the next few decades, the changes made to the system now will be vital in securing the future of the sector. We need to build a system which is better able to manage this increasing demand, making it even more important that spending provides value for money and is targeted effectively, and that providers are able to develop new supported housing supply.
19. It will also be vital that we make best use of existing provision (especially optimising move-on from short-term accommodation).

To strengthen focus on outcomes, oversight and cost control

20. We want to ensure effective control of spending to deliver value for money, including appropriate move on for individuals. This will also help us to meet growing demand.
21. We want the quality of services, and a focus on outcomes for the people who use them, to be at the forefront of supported housing provision. For example, many local authorities have said they would welcome an enhanced commissioning role, especially for short-term accommodation, and more oversight of non-commissioned providers.

To ensure it works with the modernised welfare system

22. A new funding mechanism for short-term supported housing is required to work alongside Universal Credit (UC). UC is a benefit for working age people, both in and out of work, which replaces six existing benefits, and is being rolled out to a 2022 deadline. UC provides simplicity, ease of access and improved work incentives for all claimants, including those individuals living in supported housing.
23. Universal Credit is designed to reduce welfare dependency and mirrors the world of work, where most people are paid monthly. However, for short-term supported housing we have designed a tailored approach to meet the particular circumstances of the vulnerable people who live there, who may have only a short stay of less than a month or require payments to more than one landlord in order to move on to more appropriate housing at the right time.

Objectives for a new supported housing funding model

24. We have four key objectives in reforming the funding model:

I. **People-focused**: the funding model should ensure that local areas can provide the support vulnerable people need, ensuring they have good quality homes. It should

also maintain a focus on outcomes including, where appropriate, facilitating timely move-on to independent living;

II. **Funding certainty**: the model should provide certainty for developers in current and future funding in order to support the delivery of much needed new supply when and where it is needed;

III. **Flexible and deliverable**: the model must be workable for commissioners and providers, including being simple enough to implement; and

IV. **Value for money**: the model should ensure that the costs represent value for money for tenants, and for taxpayers, whilst maintaining the long term sustainability of the sector.

Working with the sector to develop a solution

25. Last year we announced plans to fund supported housing costs above the Local Housing Allowance (LHA) rate through a top-up fund. We have listened to the views of the sector through their response to our consultation; through their participation in our Task and Finish groups; and through their involvement in the joint CLG/WP Select Committee.[15][16] We have heard the concerns raised, and it is clear that an alternative model would better secure supply.

26. Over the past year we have worked with supported housing commissioners and providers, representatives of supported housing tenants, as well as the devolved administrations, to establish what a workable and sustainable funding model for the sector should look like. In particular, detailed work with Task and Finish groups has been crucial in establishing new funding models – as far as possible we have incorporated their recommendations in this policy statement.

27. The summary of consultation responses and independent reports of the Task and Finish Groups have been published today alongside this policy statement, as well as the Department's response to the Joint Select Committee report.

28. Through this process, most of the sector told us that they agree with the general principle of reform; that they needed long term certainty over funding; that local areas should be involved in planning and commissioning; that the diversity of the sector merited diverse funding models; and that they needed the appropriate time to implement the changes.

29. This Policy Statement seeks to reflect these views and incorporate recommendations where possible. To get the funding model right, we need to continue the constructive conversation we have started. We are therefore seeking further views from the sector on specific aspects of the model, to ensure it is designed in a way that works for providers, commissioners and tenants alike. You can contribute to this by responding to one, or both, of the consultations being launched today (see Section 2 and Section

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[15] The Government established four Task and Finish Groups (TFG), made up of sector representatives, to independently consider in detail some of the key issues included in the Funding for Supported Housing consultation document. They considered: Fair Access to Funding; Local Roles, oversight and older people; New Supply; and Short Term Accommodation.

[16] See: https://publications.parliament.uk/pa/cm201617/cmselect/cmcomloc/867/86702.htm

3). We will continue to work closely with the supported housing sector as we prepare for implementation in 2020.

Chapter 2: A new approach to funding supported housing

The new funding models

30. It is clear that any new funding model for supported housing cannot be one-size-fits-all, and must meet the needs of a diverse sector. For the purposes of funding supported housing, we consider there to be three broad groups:

    - **Sheltered housing and extra care supported housing:** this is housing usually designated for older people with support needs, which helps them stay independent for longer. However, working-age tenants can and do reside in this accommodation, where appropriate. This provision is often described as on a 'continuum', with sheltered housing used to describe housing for residents with lower-level support needs, while extra care is accommodation that has been designed for older people with higher care and support needs. There is little or no expectation for tenants to move on into unsupported accommodation; typically low turnover of tenants; low to medium housing costs; and high projected demand for increased future provision.
    - **Short-term and transitional support:** for example housing for homeless people with support needs, those fleeing domestic abuse, vulnerable young people, offenders, and those with drug and alcohol misuse problems. There are high expectations for tenants to move on into unsupported accommodation; high turnover of tenants; high housing costs; and lower projected demand for increased provision.
    - **Long-term support:** for example housing for people with learning or physical disabilities or mental ill health, as well as highly specialised supported housing, with little expectation for tenants to move on into unsupported accommodation; low turnover of tenants; medium to high housing costs; and medium projected demand for increased future provision.

31. Based on sector feedback from our consultation, the Select Committee inquiry, and Task and Finish groups, we have developed a three-pronged approach to funding supported housing in England. This reflects the needs of diverse client groups through a diverse set of funding models:

    I. **<u>A 'Sheltered Rent'</u> – for those in sheltered and extra care housing**

    - For sheltered and extra care housing, often for older people but also including working-age tenants.
    - Introducing a 'Sheltered Rent', a type of social rent, which keeps funding for sheltered and extra care housing in the welfare system.
    - Better cost control, as the social housing regulator will use existing powers to regulate gross eligible rent (rent inclusive of eligible service charges) charged by registered providers. We are seeking views on the appropriate level to set gross eligible rent at through our consultation.
    - This model will come in to effect from 2020.

- This will provide the certainty providers need in order to invest in future supply, whilst providing enhanced cost controls and ensuring value for money for the taxpayer, and good outcomes for tenants.

II. **<u>Local Grant Fund</u> – for short-term and transitional supported housing**

- For short-term and transitional supported housing – including supported housing for homeless people with support needs, people fleeing domestic abuse, people receiving support for drug and alcohol misuse, offenders and young people at risk.
- 100% of this provision will be commissioned at a local level, funded locally through a ring-fenced grant, and underpinned by a new local planning and oversight regime. This means all the funding for housing costs (including rent and eligible service charges) that were previously met from Housing Benefit, will instead be allocated to local authorities to fund services that meet the needs of their local areas.
- This model will come in to effect from 2020.
- As per the recommendations of the Joint Select Committee inquiry, this removes short-term accommodation costs from the welfare system and provides local areas with more oversight and control over the provision in their areas.
- An individual's entitlement for help with their housing costs (through Housing Benefit or the housing cost element of Universal Credit) will be unchanged.

III. <u>Welfare System (Housing Benefit/Universal Credit)</u> – for long-term supported housing

- For long-term supported housing – including supported housing for those with learning disabilities, mental ill health and physical disabilities, as well as highly specialised supported housing.
- As Local Housing Allowance rates will no longer be applied, 100% of housing costs (rent inclusive of eligible service charges) will continue to be funded as at present through the welfare system (subject to the application of the existing housing benefit/Universal Credit rules).
- The Government will work with the sector to develop and deliver improvements to cost control, quality and outcomes.

Local strategic planning and oversight

32. The Task and Finish groups were clear that to achieve the best outcomes for supported housing tenants, local areas needed to work strategically and collaboratively with local partners, with the appropriate level of oversight and guidance from the Government. Therefore, alongside the new funding models, a new planning and oversight regime will be introduced to ensure that local areas are best able to provide for their vulnerable citizens, and that the accommodation represents both quality and value for money:

    a. **Local strategic plan:** local authorities will be asked to produce a local plan, setting out how funding will be used to meet identified local needs;
    b. **Needs assessment:** local authorities will be asked to undertake a needs assessment to identify current provision and future need for all supported housing groups;

c. **Local partnerships:** local partners will be encouraged to develop local partnerships between upper and lower tier authorities, local commissioners and providers to plan and deliver provision to meet local need;
d. **Grant conditions:** the conditions attached to the short-term supported housing grant will set out how provision should be planned for, deployed and monitored;
e. **Non-statutory guidance:** this will set out in more detail what local authorities should consider in establishing and operating through a new local supporting infrastructure for the short-term supported housing grant;
f. **National Statement of Expectation:** this will set general expectations for the supported housing sector, including fair use of funding, a focus on individual outcomes, value for money and quality of provision, as well as arrangements for clients to move out of supported housing, and expectations of local authorities when dealing with people without a local connection; and
g. **Important role for the regulator** in sheltered and extra care housing. Under the Sheltered Rent model, the social housing regulator will also regulate gross eligible rent. This will see them acting in the same capacity as they currently do for net rents, using existing powers: providers will be required to submit data on their gross eligible rents, and where there are apparent discrepancies from the permitted rent levels, the regulator will seek further assurance that the provider is compliant with the rent standard. Any breaches may be reflected in the provider's published governance judgement. The regulator already performs this role for 'Affordable Rent'.

What the models deliver

33. These models seek to meet our stated objectives and meet the current issues set out in Chapter 1 by delivering:

- **A model fit for now and the future** – securing future supply by providing funding certainty, protecting and making best use of current provision, and recognising the diversity of the sector in varied funding models that are deliverable across client groups.
- **A model which focuses on outcomes, oversight and cost control** – ensuring quality provision for vulnerable tenants and a stronger role for local areas, whilst ensuring value for money for tenants and the taxpayer.
- **A model that works with the modernised welfare system** – by funding short-term provision through a locally administered grant.

34. These new funding regimes will come in to effect from April 2020, reflecting the views from the sector that earlier implementation will be hard to achieve. This will allow us time to work extensively with the supported housing sector on the details, ensuring they will be ready to deliver under a new model and test our approach where possible.

35. The following sections set out the models in more detail. The draft National Statement of Expectation published today sets out further detail of new oversight arrangements and the role for local areas, alongside two consultations that seek sector views on specific elements of the model. We will continue to work closely with the sector as we develop these plans over the coming months.

36. Long-term supported housing will continue to operate under the current funding arrangements in the welfare system, but we will work with the sector to identify how stronger oversight and better outcomes can be achieved and costs controlled.

Chapter 3: Sheltered and extra care housing: Sheltered Rent

Sheltered and extra care housing

37. Sheltered and extra care housing is a home to hundreds of thousands of vulnerable people across the country; their safety and quality of life is paramount. This type of housing both supports people to live independently for longer, ensuring more fulfilling lives for their residents, and brings wider savings to other public services, in particular to NHS and social care budgets. Reports have suggested that the net benefit of providing capital investment in supported housing for older people is £219 million, with most of the benefits coming from preventing costly hospital stays or residential care.[17]

38. Seventy one percent of all supported housing is sheltered and extra care housing units, and the majority of tenants are older people.[18] Projections suggest the numbers of supported homes for older people may need to increase from 460,000 to 625,000 by 2030 (a 35% increase).[19] It is therefore vital that the future supply of sheltered housing is secure to continue protecting these groups of people.

The new funding model

39. Our Task and Finish groups were clear that new funding models should promote future provision and growth, and therefore provide secure funding. The Select Committee inquiry also highlighted how essential this is. We will ensure this security by introducing 'Sheltered Rent', a type of social rent, which keeps funding for sheltered and extra care housing in the welfare system, offering providers funding certainty. At the same time it is essential that we ensure value for money for the taxpayer and empower vulnerable tenants. To this end, the social housing regulator will use existing powers to regulate gross eligible rent (rent inclusive of eligible service charges) for sheltered and extra care housing, in the way that we already do for 'Affordable Rent'. This model offers long-term funding sustainability, and important but proportionate new cost control and oversight measures.

40. We will continue to work with the devolved administrations to ensure the future model works for Scottish and Welsh supported housing.

41. While the majority of sheltered and extra care housing residents are over pension age, a small proportion are working-age. The Government recognises the important role this accommodation plays in these people's lives and that in some cases it might suit local

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[17] Frontier Economics report for the Homes and Communities Agency on Specialist Housing in 2010: Frontier Economics (2010) Financial benefits of investment in specialist housing for vulnerable and older people, see: https://www.frontier-economics.com/documents/2014/06/financial-benefits-of-investment-frontier-report.pdf

[18] Imogen Blood & Associates, Housing & Support Partnership and Ipsos Mori (2016), *Supported accommodation review*, https://www.gov.uk/government/publications/supported-accommodation-review

[19] DCLG & DH commissioned research by Personal Social Services Research Unit of London School of Economics (2017), *Projected demand for supported housing in Great Britain 2015 to 2030,* http://eprints.lse.ac.uk/84075/1/Wittenberg_Projected%20demand_2017_author.pdf

circumstance to ensure working-age people can be housed there. We are committed to protecting these residents.

42. The key features of the new model, which will come in to effect from 2020, are:

- **Funding certainty:** 100% of housing costs funding (rent and eligible service charge) will remain in the welfare system (subject to existing benefit rules), protecting provision by ensuring providers have a secure source of income, and enabling important investment in future supply. As we have made clear, the Government is committed to maintaining funding for supported housing;
- **Flexible and deliverable:** the new model maintains funding in the welfare system as called for by the sector, and rates will be reflective of actual costs. The policy maintains the link to formula rent (which is partly based on local factors) and it is intended that the overall level for Sheltered Rent will fairly reflect the variety of provision across this very diverse sector;
- **Value for money:** 'Sheltered Rent' will increase value for money in this part of the sector by increasing cost controls in England.To do this, the social housing regulator will use existing powers to regulate gross eligible rent (rent inclusive of eligible service charges), as it already does for Affordable Rent. Annual caps on gross eligible rent increases will also be set; and
- **People-focused:** the new model will seek to empower tenants, working with the sector to drive up standards. As part of these reforms we will oblige providers to publish breakdowns of their service charges. This new approach will enable tenants to compare their service charges with those of other providers and, where they feel these are unreasonable, take action. We also intend, through the consultation, to work with the sector to identify ways to drive up standards, improve outcomes and share best practice.

43. The Sheltered Rent approach means that we will set an overall cap on the amount that providers can charge in gross eligible rent (rentinclusive of eligible service charges) on each unit of sheltered or extra care provision. It will also, as we currently do for net rents, cap annual increases. It will be determined in accordance with the following model:

Sheltered Rent = ((Formula rent +/- 10% flexibility for supported housing) + (£X for eligible service charge) up to a level of £Y).

44. As mentioned above we have committed to bring existing supply into the system at their existing level. New supply will be subject to the cap.

45. This model builds on existing rent controls – net rents will, as currently, be determined in accordance with the rent formula, including the 10% flexibility for supported housing – and legislation applicable to service charges. Under s.19 Landlord and Tenant Act 1985 a service charge is not payable if it is not 'reasonably incurred'. There are two aspects to this: (i) whether the action taken in incurring the costs is reasonable; and, (ii) whether the cost is reasonable.

46. We will be working with the sector, and through the consultation, to explore the appropriate allowance for eligible services to build in to the Sheltered Rent model. We

are therefore keen to understand what drives variations in eligible service charges in both sheltered and extra care, and how we can ensure the model is fair.

47. The Select Committee and a number of other representatives across the sector have suggested that we use a banded approach to reflect variety of provision across the sector. We are interested in understanding more about this and will be working with the sector on the design of the approach.

48. Sheltered Rent will apply to sheltered and extra care properties where the rent to date has been set at a social or formula rent (rents set under “Affordable Rents” are already regulated on a gross rent basis).

49. To deliver Sheltered Rent, gross eligible rent will be regulated from April 2020 via a new Rent Standard, but where relevant providers will need to continue to comply with the rent reduction requirements to the end of their 2019-20 rent year. The Government has already announced its intention to reinstate the previous CPI+1% limit on annual rent increases for 5 years after the end of the rent reduction period. We will consider, through the consultation, how this will apply to Sheltered Rent.

50. The social housing regulator will be responsible for regulating gross eligible rent. This will see them acting in the same capacity as they currently do for net rents (and for gross rents for homes let at an ‘Affordable Rent’); monitoring compliance and (where necessary) using their enforcement powers.

51. Alongside this, a new planning and oversight regime will ensure that local areas are best able to provide supported housing for their vulnerable citizens. Local authorities will be asked to work in partnership with other local partners (including tenants or representatives) to produce a local strategic plan for supported housing, and to undertake an assessment of provision and need for all supported housing groups. A National Statement of Expectation (see draft at Section 4) will encourage local authorities to adopt the strategies, planning and ways of working that we are keen to see regarding older people’s sheltered and extra care housing, alongside wider supported housing. Further detail on the oversight regime is set out in the next chapter.

52. We will also further consult with the sector to agree an exact definition of ‘sheltered’ and ‘extra care’ for the purposes of this new funding model.

Chapter 4: Short-term supported housing: grant funding

Short term accommodation

53. We propose to define short-term accommodation as:

    Accommodation with support, accessed following a point of crisis or as part of a transition to living independently, and provided for a period of up to two years or until transition to suitable long-term stable accommodation is found, whichever occurs first.

54. This would include hostels, refuges and safe houses, which account for nine percent of total supported housing provision. This provision is also shown to have the highest proportion of new clients to existing units (i.e. a higher turnover) than any other form of provision in the sector. This reflects the often transitory nature of this provision.[20]

55. Under our definition, short-term supported housing may be provided for a period of up to two years or until transition to suitable long-term stable accommodation is found, whichever occurs first. This would include housing providing short-term support to:

    - People experiencing or at risk of domestic abuse;
    - People experiencing homelessness with support needs;
    - Vulnerable young people (such as care leavers or teenage parents);
    - Offenders and ex-offenders;
    - People with mental ill health;
    - People with drug and alcohol support needs;
    - Vulnerable armed forces veterans; and
    - Other groups with emergency or short-term transitional support needs (such as refugees with support needs).

The new funding model

56. As set out in Chapter 1, we recognise the need for a tailored approach for the vulnerable people living in short-term supported housing.

57. Following discussions with the supported housing sector, we have designed a new grant funding model for short-term supported housing. This means provision will be commissioned at a local level, funded locally through a ring-fenced grant, and underpinned by a new local planning and oversight regime. All the funding for housing costs (including rent and eligible service charges) that were previously met from Housing Benefit, will instead be allocated to local authorities to fund services that meet the needs of their local areas. This will give local authorities an enhanced role in planning, funding and commissioning short-term supported housing in their area. It will

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[20] Imogen Blood & Associates, Housing & Support Partnership and Ipsos Mori (2016) Supported accommodation review, see: https://www.gov.uk/government/publications/supported-accommodation-review

entirely remove short-term supported housing from the welfare system (Housing Benefit and the housing element in Universal Credit). However, an individual's entitlement for help with their housing costs (through Housing Benefit or the housing cost element of Universal Credit) will be unchanged.

58. The Government recognises that supported housing is of vital importance to vulnerable people so it is our intention that this ring-fence will be retained in the long term in order to protect this important provision and the vulnerable people it supports. The amount of short-term supported housing grant funding will be set on the basis of current projections of future need (as informed by discussions with local authorities) and will continue to take account of the costs of provision in this part of the sector.

59. In Wales and Scotland an equivalent amount will be provided and it will be for those administrations to decide how best to allocate the funding. As previously committed, the UK Government will ensure that the devolved administrations receive a level of funding in 2020-21 equivalent to that which would otherwise have been available through the welfare system.

60. In line with the recommendation of the Select Committee inquiry, the new model, which will come in to effect from 2020, will offer:

- **People-focused:** local authorities will produce Supported Housing Strategic Plans, alongside needs assessments, to set out how they will meet the specific requirements of their local areas and residents. Funding for bed spaces (rather than directly to/for the individual) will also help people to move in to work and become independent without fears over how to pay high rents from a low income.
- **Funding certainty:** our Task and Finish groups were clear that a new funding model should enable future provision and growth, and therefore provide secure funding. Grant funding will be allocated to local authorities as a ring-fenced grant, with a requirement to report on spend twice a year, including a breakdown of spend for different client groups. It will fund the provision, rather than the individual – tenants in short-term accomodation will no longer pay rent, as this will be funded by local authorities through the grant. This will provide more funding certainty to providers in the short and long-term. We will work with local government and the welfare system to ensure that grant allocations for short-term supported accommodation in 2020-21 match the sums that would otherwise have been paid out in each local area to pay for housing costs through the welfare system. The amount of short-term supported housing grant funding will be set on the basis of current projections of future need (as informed by discussions with local authorities) and will continue to take account of the costs of provision in this part of the sector;
- **Flexibility and deliverability:** the model will give local authorities an enhanced role in planning, commissioning and delivering supported housing to meet local needs; and
- **Value for money:** local authorities will be required to seek value for money in commissioning services as well as ensuring those most in need are provided access to supported homes as well as timely move on where appropriate to make best use of provision.

61. Funding will switch from being paid through the welfare system to being paid as a grant from DCLG to local authorities using existing powers under Section 31 of the Local Government Act 2003. This will include detailed grant conditions which will be

supported by non-statutory guidance setting out our key requirements for delivery, including expectations around length of stay. We will work with the DWP and local authorities to determine local grant allocations, which will be equivalent to what would otherwise have been spent on short-term accommodation through Housing Benefit and Universal Credit in each local area in 2020-21. We are also very clear that every vulnerable individual needing to stay in short-term supported accommodation who would be eligible to have their housing costs supported through the welfare system will continue to have their housing costs met through our funding model for short-term accommodation.

62. Given the need for cross-boundary co-operation and planning, in two-tier local authority areas DCLG plans to allocate the grant for short-term supported housing to the upper tier authority, as per the recommendations of our Task and Finish groups. This will be used to fund provision in agreement with districts in line with the strategic plan.

63. As per the recommendations of the Task and Finish groups, we will work with the sector to ensure we have the appropriate information on supply, needs and costs in order to assess the appropriate level of grant. That process starts today with a consultation on the model (see Section 3).

Assessing need and fair access to funding

64. The Task and Finish groups recommended that to achieve the best outcomes for short-term supported housing tenants, local authorities need to work strategically and collaboratively with local partners (including tenants or representatives) to produce a local strategic plan for supported housing, and to undertake an assessment of provision and need for all supported housing groups. They were also clear that ring-fenced funding should be tied to relevant grant conditions that ensure the appropriate level of oversight and guidance from the Government.

65. Therefore, in order to ensure that the grant accurately assesses need and provides fair access to funding, we will ask local authorities to produce a supported housing strategic plan, and undertake a needs assessment, as conditions of the grant. They will also be asked to develop the strategic plan in partnership with the district authorities, as well as with relevant partners including Public Health England, Police and Crime Commissioners, Domestic Abuse and Sexual Violence Partnerships, probation services, Clinical Commissioning Groups, Adult Social Care Boards, Health and Wellbeing Boards, and others such as local providers and neighbouring local authorities.

66. When drawing up the plans local authorities should draw on existing strategies and plans, such as their Homelessness Strategy, their Violence against Women and Girls Strategy, their Housing Strategy, and their Drug Strategy. The plan should include:

- description of provision for all client groups who may need short-term supported housing (including perceived challenging groups such as those with drug/alcohol dependencies and ex-offenders and offenders);
- description of provision for groups with no local connection – like ex-offenders, offenders, and people fleeing domestic violence;

- detail of joint working with other out-of-boundary local authorities (in particular to support individuals without a local connection who need to use supported housing); and
- detail on consultation with relevant partners including Public Health England, Police and Crime Commissioners, Domestic Abuse and Sexual Violence Partnerships, probation services, Clinical Commissioning Groups, Adult Social Care Boards, Health and Wellbeing Boards, and others such as local providers and neighbouring local authorities.

67. The strategic plan will include a needs assessment of all supported housing in the local area, including short-term supported housing. In two-tier authorities the upper tier must consult with lower tiers and other public bodies. The assessment should include:

- a profile of existing provision;
- description of current demand;
- how need will be met for named client groups including those fleeing domestic abuse, ex-offenders and offenders, people with alcohol and drug dependencies;
- detail of known demand for supported housing for individuals without a connection to the local area; and
- description of any current or projected gaps in provision.

68. We recognise that this type of housing helps some of the most vulnerable people in our society, including those fleeing domestic abuse and who need access to a refuge (potentially in an area to which they have no local connection). Refuges will be funded as set out in the model above, on a local basis, with expectations set out in the supporting oversight regime (including on supporting those without a local connection). We believe that local authorities are best placed to deliver better outcomes for vulnerable renters in crisis and emergency supported housing as they understand local needs and can take a holistic view on both housing and support provision.

69. Furthermore, under our new model, refuges will be funded on a provision basis, rather than funding the individual. An individual who finds themselves in need of emergency supported housing would not have to pay rent, as the bed space would be directly commissioned and funded by the local authority. This will give providers more certainly as regards funding, enabling them to plan for the short and long term. The oversight regime will set out expectations regarding helping those with no local connection.

70. We also encourage local authorities to work together closely so that no-one is turned away - in line with our Priorities for Domestic Abuse Services which we developed with partners from the domestic abuse sector. The Government has already committed (in the 2016/20 Violence Against Woman and Girls Strategy) to review the current approach to refuge provision in England by November 2018. We will need to pay particular attention to the funding of care and support costs as we do this, and will continue to work closely with this sector to make good our commitment to the victims of these terrible crimes.

71. This model will work for local authorities by giving them more flexibility to plan for local need; work for providers by removing the need to manage benefit claims; and support individuals by ensuring they can take up work without putting their housing at risk.

Chapter 5: Long-term supported housing

72. Long-term supported housing is typically designed for working-age tenants (although not exclusively) and is an extremely diverse part of the sector. It includes, for example, housing for people with learning or physical disabilities or mental ill health, as well as extremely specialised housing, where costs are much higher than other parts of the sector. We are committed to protecting this provision.

73. Whilst some providers in this sector are registered, a number will not be as they are smaller and offer bespoke, specialist provision. For example, some charities may not be private registered providers of social housing.

74. As this sector is vitally important to very vulnerable people on a long-term basis, we are keen to ensure that the sector has the funding certainty it needs to commit to much needed future supply. It is also right that where higher costs are warranted in this sector, they can be met.

75. Applying Sheltered Rent (outlined in Chapter 3) may not be appropriate, given the diversity of this part of the sector, and the fact that many providers are not registered. As LHA rates will no longer apply to the social sector, we will continue to fund 100 percent of housing costs (rent and eligible service charges) for long-term supported housing through the welfare system (Housing Benefit/Universal Credit), subject to the benefit rules.

76. We will be working with the sector in England to develop and deliver an approach that will ensure greater cost control while driving up outcomes for vulnerable people. As part of this, we expect local authorities to begin developing an understanding of this provision in their areas now, and to think about ways to ensure better cost control.

Chapter 6: Timetable and next steps

77. The new funding regimes will be effective from April 2020.

78. This document begins the consultation process on key design components of the funding models. While the framework for the new funding models has been set, the consultations seek views on key system design elements to ensure the models will work for tenants, commissioners, providers and developers. We will also be engaging closely with the supported housing sector outside of the consultation, working collaboratively with the sector as we move in to the implementation stage.

79. The consultations can be found at Sections 2 and 3, and will run for 12 weeks until 23 January 2018.

80. There will be a further, more detailed consultation next year linked to the new funding design for sheltered and extra care provision, as part of the new rent standard.

81. We will also be working with local authorities in due course, as per the recommendations of our Task and Finish groups, to consider the appropriate level of new burdens funding required to implement these models.

Section 2: Consultation on housing costs for sheltered and extra care accommodation

Scope of the consultation

Topic of this consultation:	This consultation seeks views on the design of the Government's new housing costs funding model for sheltered and extra care accommodation, in England.
Scope of this consultation:	Housing costs for sheltered housing and extra care accommodation in England
Geographical scope:	These proposals relate to England only, though we would nevertheless welcome comments from respondees across Great Britain.
Impact assessment:	Public sector equality duty assessment has been completed as part of consideration of this policy.

Basic Information

To:	This consultation is aimed at supported housing commissioners and providers, developers and investors, residents and those who represent their views.
Body/bodies responsible for consultation:	The Secretary of State for Communities and Local Government and Secretary of State for Work and Pensions
Duration:	This consultation will last for 12 weeks from 31st October (closing on 23rd January)
Enquiries:	For any enquiries about the consultation please contact: supportedhousing.shelteredextracare@communities.gsi.gov.uk
How to respond:	You can email your response to the questions in this consultation to: supportedhousing.shelteredextracare@communities.gsi.gov.uk If you are responding in writing, please make it clear which questions you are responding to. Written responses should be sent to: Housing Support Division Fry Building,

	2 Marsham St, Westminster, London SW1P 4DF When you reply it would be very useful if you confirm whether you are replying as an individual or submitting an official response on behalf of an organisation and include: - your name, - your position (if applicable), - the name of organisation (if applicable), - an address (including post-code), - an email address, and - a contact telephone number

Introduction

1. This consultation seeks views on the Government's proposed new housing costs funding model from April 2020 for sheltered and extra care housing in England, as set out in the policy statement in Section 1. It is aimed at local authorities, supported housing providers and people living in sheltered and extra care supported housing and their families.

2. It follows our earlier wider consultation in 2016 on funding for supported housing more broadly, and subsequent work with sector in the last year. It reflects, as far as possible, the feedback from our consultation, recommendations from the Joint Select Committee report, and the recommendations of our Task and Finish groups. We are also consulting on the funding model for short-term accommodation - please see Section 3.

3. The details of the funding model are explained in detail in Section 1, Chapter 3. The key elements of the new model are:

    - introducing a 'Sheltered Rent', keeping 100% of funding for housing costs (rent and eligible service charges) in the welfare system;
    - rent controls for sheltered and extra care will apply to gross eligible rent (rent inclusive of eligible service charges), with limits on annual increases;
    - an overall cap for Sheltered Rent. However, we have committed to bring existing supply into the system at their existing level. New supply will be subject to the cap, and we are consulting on what that level should be.
    - the social housing regulator will regulate gross eligible rent, as it already does for 'Affordable Rent';
    - a new planning and oversight regime will assist local areas in ensuring they are best able to provide supported accommodation for their vulnerable citizens;
    - comes in to effect in 2020; and
    - will apply to sheltered and extra care housing only, and will not apply to other long-term supported housing (e.g. long-term accommodation for those with learning disabilities).

4. We believe this provides the certainty the sector needs in order to secure existing and new provision whilst ensuring greater oversight and value for money. We have also kept in mind the Government's policy objective of ensuring enhanced local planning and strategic alignment.

5. We will work further with the sector outside of this consultation on:

    - the technical details of how providers enter the new system, and how the system responds to increases or decreases in service provision;
    - technical detail regarding the social housing regulator's regulatory role in relation to gross eligible rent, and also how we can ensure more transparency as regards gross eligible rent;
    - how best we can explore feasibility testing of the new approach to ensure we get the detailed operational requirements right;
    - whether further more detailed technical guidance would be helpful in addition to the National Statement of Expectation.

Definition

6. We recognise the importance of developing a clear definition, to be included in the rent standard and in the benefits system, which reflects the diversity of sheltered and extra care accommodation and protects residents' benefit entitlement. However, we are also clear that any definition must be tight enough to prevent ambiguity and reward the unique role of sheltered and extra care accommodation. We are therefore seeking views from the sector on how they should be defined.

7. In general terms, sheltered housing is housing designated for occupation mainly by over-55s with low-level care and support needs. The majority of residents are above the state pension age, but some are of working age. Their needs are at least in part met by extra housing facilities and services available to residents. This support could be either physical (getting in and out of the property) and/or emotional/mental (emergency help or assurance). Features of a sheltered unit might include:

    - 24 hour emergency help (alarm system)
    - Warden present some of the time
    - Some communal facilities, i.e. lounge, restaurant, laundry, garden
    - Rooms available for outreach services
    - Often accessible buildings designed for communal purposes

8. In general terms, extra care housing is related to sheltered housing but with higher level support and care to help residents live independently (for example where the likely alternative might be a residential care home).

Question 1: We would welcome your views on the following:

a) Sheltered Housing definition: what are the features and characteristics of sheltered housing and what would be the practical implications of defining it in those terms?

b) Extra Care definition*:* what are the features and characteristics of extra care housing and what would be the practical implications of defining it in those terms?

c) Is there an alternative approach to defining this stock, for instance, housing that is usually designated for older people? What would be the practical implications of defining sheltered and extra care supported housing in those terms?

Funding Model

Question 2: Housing costs for sheltered and extra care housing will continue to be funded through the welfare system. To meet the Government's objectives of ensuring greater oversight and value for money, we are introducing a 'Sheltered Rent' to cover rent inclusive of eligible service charges.

How should the detailed elements of this approach be designed to maximise your ability to commit to future supply?'

Service charges

9. Under Sheltered Rent, rent controls for sheltered and extra care housing will apply to gross eligible rent, which is inclusive of eligible service charges, through the social rent setting system. Eligible service charges are those that are eligible under welfare rules.

Question 3: We are keen to make appropriate allowance for eligible service charges within Sheltered Rent that fairly reflects the costs of this provision, whilst protecting the taxpayer. What are the key principles and factors that drive the setting of service charges (both eligible and ineligible)? What drives variations?

Question 4: The Select Committee and a number of other sector representatives have suggested that we use a banded approach to reflect variety of provision across the sector. We are interested in understanding more about this. How do you think this might work for sheltered and extra care housing?

Question 5: For providers, on what basis do you review eligible service charges? What drives changes?

- **More than once a year**
- **Annually**
- **Every two years**
- **Every 3-5 years**
- **Every 5 years or more**
- **When a new tenant moves out of the property**
- **Other (please state).**

Question 6: Of your service charges, what percentage is paid by:

- **Welfare payments - through eligible service charge**
- **Local authorities - for example, through supporting people**
- **The tenant**
- **Any other reflections**

Planning and oversight

10. A new planning and oversight regime will ensure that local areas are best able to provide supported housing for their vulnerable citizens. Local authorities will be asked to work in partnership with other local partners to produce a local strategic plan for supported housing, and to undertake an assessment of provision and need for all supported housing groups. A National Statement of Expectation will encourage local authorities to adopt strategies, planning and ways of working that we are keen to see for supported housing (including sheltered and extra care housing).

Question 7: Attached to the policy statement is a draft National Statement of Expectation (see Section 4). We would welcome your views on the Statement and suggestions for detailed guidance.

Question 8: The National Statement of Expectation encourages greater partnership working at local level regarding supported housing, including sheltered and extra

care housing. What partnership arrangements do you have for sheltered and extra care housing at the local level?

Implementation

Question 9: Government has moved the implementation of the reform on sheltered and extra care accommodation to April 2020. How will you prepare for implementation in 2020, and what can the Government do to facilitate this?

Question 10: Deferred implementation will allow for additional preparatory measures. What suggestions do you have for testing Sheltered Rent?

Commissioning

Question 11: How do support services predominantly in sheltered and extra care accommodation get commissioned in your organisation or local area?

- **By local authority (upper tier)**
- **By local authority (lower tier)**
- **Through the local NHS**
- **Other (e.g. nationally). Please name.**

Question 12: We believe the sector can play an important role in driving forward improvements in outcomes and value for money, for instance through joint commissioning and sharing of best practice. What role can the sector play in driving these improvements forward?

Overall

Question 13: If you have any further comments on any aspect of our proposals for sheltered and extra care accommodation, please state them here.

About this consultation

This consultation document and consultation process have been planned to adhere to the Consultation Principles issued by the Cabinet Office.

Representative groups are asked to give a summary of the people and organisations they represent, and where relevant who else they have consulted in reaching their conclusions when they respond.

Information provided in response to this consultation, including personal information, may be published or disclosed in accordance with the access to information regimes (these are primarily the Freedom of Information Act 2000 (FOIA), the Data Protection Act 1998 (DPA) and the Environmental Information Regulations 2004.

If you want the information that you provide to be treated as confidential, please be aware that, under the FOIA, there is a statutory Code of Practice with which public authorities must comply and which deals, amongst other things, with obligations of confidence. In view of this it would be helpful if you could explain to us why you regard the information you have provided as confidential. If we receive a request for disclosure of the information we will take full account of your explanation, but we cannot give an assurance that confidentiality can be maintained in all circumstances. An automatic confidentiality disclaimer generated by your IT system will not, of itself, be regarded as binding on the Department.

The Department for Communities and Local Government will process your personal data in accordance with DPA and in the majority of circumstances this will mean that your personal data will not be disclosed to third parties.
Individual responses will not be acknowledged unless specifically requested.

Your opinions are valuable to us. Thank you for taking the time to read this document and respond.

Are you satisfied that this consultation has followed the Consultation Principles? If not or you have any other observations about how we can improve the process please contact us via the complaints procedure.

Section 3: Consultation on housing costs for short-term supported accommodation

Scope of the consultation

Topic of this consultation:	This consultation seeks views on the design of the Government's new housing costs model to fund emergency and short-term supported housing in England.
Scope of this consultation:	Funding of housing costs for short-term supported housing.
Geographical scope:	These proposals relate to England only but we would nevertheless welcome comments from respondees from across Great Britain.
Impact assessment:	Public sector equality duty assessment has been completed as part of consideration of this policy.

Basic Information

To:	This consultation is aimed at local authorities, supported housing providers, developers and investors, residents and those who represent their views.
Body/bodies responsible for the consultation:	The Secretary of State for Communities and Local Government and Secretary of State for Work and Pensions.
Duration:	This consultation will last for 12 weeks from 31st October (closing on 23rd January).
Enquiries:	For any enquiries about the consultation please contact: Supportedhousing.shortterm@communities.gsi.gov.uk
How to respond:	You can email your response to the questions in this consultation to: Supportedhousing.shortterm@communities.gsi.gov.uk If you are responding in writing, please make it clear which questions you are responding to. Written responses should be sent to: Department for Communities and Local Government

	Supported Housing Programme Fry Building 3rd Floor 2 Marsham Street London SW1P 4DF When you reply it would be very useful if you confirm whether you are replying as an individual or submitting an official response on behalf of an organisation and include: - your name, - your position (if applicable), - the name of organisation (if applicable), - an address (including post-code), - an email address, and - a contact telephone number

Introduction

82. This consultation seeks views on the Government's proposed new model for short-term supported accommodation in England, as set out in further detail in Section 1, Chapter 4. It is aimed at local authorities, supported housing providers and people living in short-term supported housing and their families. We are also consulting on the funding model for sheltered and extra care housing - please see Section 2.

83. It follows our earlier wider consultation in 2016 on funding for supported housing more broadly (in which we were clear a separate model would need to be developed for short-term supported accommodation), and subsequent work with the sector in the last year. It reflects, as far as possible, the feedback from our consultation, recommendations from the Select Committee report, and the recommendations of our Task and Finish groups.

84. The Government recognises that short-term supported housing should be funded differently to other forms of supported housing to best reflect the particular circumstances of the people who need it, especially regarding the urgency and transitional nature of the provision.

85. As set out in Section 1 (Chapters 2 and 4), we are clear that a local approach to funding short-term supported housing will be beneficial as it promotes provision that matches local needs, and enables local areas to promote a joined-up approach to commissioning housing and support services. The funding model must also work with the modernised welfare system. Universal Credit is designed to reduce welfare dependency and mirrors the world of work, where most people are paid monthly. However, for short-term supported housing we have designed a tailored approach to meet the particular circumstances of the vulnerable people who live there, who may have only a short stay of less than a month or require payments to more than one landlord in order to move on to more appropriate housing at the right time.

86. The details of the funding model are explained in detail in Section 1, Chapter 3. The key elements of the new model are:

- 100% of this provision will be commissioned at a local level and funded locally through a ring-fenced grant. This removes funding from the welfare system entirely (an individual's entitlement for help with their housing costs (through Housing Benefit or the housing cost element of Universal Credit) will be unchanged);
- underpinned by new local planning and oversight regime, including Supported Housing Strategic Plans, Needs Assessments, non-statutory guidance, and National Statement of Expectation;
- Will come in to effect from April 2020; and
- In Wales and Scotland an equivalent amount will be provided and it will be for those administrations to decide how best to allocate the funding.

Definition

87. Short term supported housing is for people who have experienced a crisis or emergency in their lives and need additional support for a short time or a planned short

term stay as part of transition to stable longer term accommodation. For this model we have defined it as:

Accommodation with support, accessed following a point of crisis or as part of a transition to living independently, and provided for a period of up to two years or until transition to suitable long-term stable accommodation is found, whichever occurs first.

88. This would apply, for example, to:
    - People experiencing or at risk of domestic abuse;
    - Homeless adults;
    - Vulnerable young people (such as care leavers or teenage parents);
    - Ex-offenders and offenders;
    - People experiencing a mental health crisis;
    - People with drug and alcohol dependencies;
    - Vulnerable armed forces veterans;
    - Others (such as refugees with support needs).

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Supported in:
- Domestic abuse refuges;
- Homeless hostels;
- Bail hostels;
- Foyers for young people; and
- Other supported housing settings where stays may not be the housing solution in the longer term.

89. The definition does not apply to housing which does not provide soft support together with accommodation, such as general needs temporary accommodation or types of supported housing where length of stay is likely to be longer than two years.

Question 1: Do you agree with this definition? [Yes/No] Please comment

New funding model

90. We have thought very carefully about how to deliver a funding model for short-term supported housing to best meet the needs of the people who live in it. We propose a new local funding model which will create a single funding stream to cover housing costs (core rent and eligible service charges) to be distributed by local authorities in England through a ring-fenced block grant. The grant will be paid with conditions under the Local Government Act 2003 (S.31), and will be supported by non-statutory guidance setting out our key requirements for short-term supported accommodation.

91. We will work with local government and the Department for Work and Pensions to ensure that grant allocations for short-term support accommodation in 2020-21 will match the sums that would otherwise have been paid out in each local area to pay for housing costs through the welfare system. The Government recognises that supported housing is of vital importance to vulnerable people so it is our intention that this ring-fence will be retained in the long term in order to protect this important provision and

the vulnerable people it supports. The amount of short-term supported housing grant funding will be set on the basis of current projections of future need (as informed by discussions with local authorities) and will continue to take account of the costs of provision in this part of the sector.

92. This model removes short term supported housing funding from the welfare system. It will also allow:

- *Local authorities* to best plan for local need;
- *Providers* to be free from the administrative burden of managing benefits claims for housing costs and collecting rent; and
- *Individuals* to secure employment without putting their housing at risk (as higher supported housing rents are often perceived by residents as unaffordable when in work).

Question 2: What detailed design features would help to provide the necessary assurance that costs will be met?

Strategic Plans and meeting local needs

93. Local authorities will be asked to produce a Supported Housing Strategic Plan, which will set out their vision for supported housing, working closely with relevant partners (including the lower tier authority in two-tier areas).

Question 3:

a) **Local authorities – do you already have a Supported Housing plan (or plan for it specifically within any wider strategies)? [Yes/No]**

b) **Providers and others with an interest – does the authority (ies) you work with involve you in drawing up such plans? [Yes/No]**

c) **All - how would the Supported Housing plan fit with other plans or strategies (homelessness, domestic abuse, drugs strategies, Local Strategic Needs Assessments)?**

94. As part of the Strategic Plan for Supported Housing and through the National Statement of Expectation (which outlines what local authorities should consider when allocating funding costs for short term supported housing), we are asking for a detailed needs assessment of the demand and provision for all client groups.

Question 4:

a) **Local authorities – do you already carry out detailed needs assessment by individual client group? [Yes/No]**

b) **Providers – could you provide local government with a detailed assessment of demand and provision if you were asked to do so? [Yes, both / Yes, demand only / Yes provision only /No]**

   All – is the needs assessment as described in the National Statement of Expectation achievable? [Yes/No]

c) **Please comment**

95. In two-tier local authority areas the grant will be allocated to the upper tier, to fund provision as agreed with districts in line with the Strategic Plan. Grant conditions will also require the upper tier to develop this plan in cooperation with district authorities and relevant partners.

Question 5: Do you agree with this approach? [Yes/No]. Please comment.

Question 6: The draft National Statement of Expectation (see Section 4) published today sets out further detail on new oversight arrangements and the role of local authorities. We would welcome your views on the statement and suggestions for detailed guidance.

Local connection

96. It is vitally important that the needs of all client groups who require access to short-term supported housing are considered. The Government understands that sometimes people's circumstances mean it is unsafe or unsuitable for them to live in a particular area. Under the Homelessness Reduction Act local authorities have duties to try to prevent homelessness irrespective of local connection.

97. We have made clear in the draft National Statement of Expectation that local authorities should identify and plan for these situations. This will include people fleeing domestic violence, ex-offenders and offenders, those with drug and/or alcohol dependencies and others who need to move to an area where they have no connection or those with no established local connection. Local authorities will be asked to include needs assessments and plans to meet these needs through their Strategic Plan.

Question 7: Do you currently have arrangements in place on providing for those with no local connection? [Yes/No] If yes what are your arrangements?

Commissioning

98. Our aim is to enable local authorities to have an enhanced role in delivering appropriate provision for their local areas. There are many benefits to this approach, including reducing administrative burdens for providers in managing claims for housing

costs and resolving rent arrears, and it frees residents from concerns about meeting housing costs at a difficult point in their lives.

99. For local authorities who already commission support costs for many services this model presents an opportunity to plan for both accommodation and associated support, and to consider how best to meet local need as part of their wider strategic planning.

Question 8: How can we help to ensure that local authorities are able to commission both accommodation and associated support costs in a more aligned and strategic way? Do you have further suggestions to ensure this is achieved?

Implementation

100. The new funding models will come in to effect from April 2020, reflecting the views from the sector that earlier implementation will be hard to achieve. As the new model represents a shift in the way housing costs for short-term supported housing have previously been met, local authorities and providers will need to prepare for new commissioning arrangements and will want to consider how the changes will affect current ways of working.

Question 9: How will you prepare for implementation in 2020, and what can the Government do to facilitate this?

Question 10: What suggestions do you have for testing and/or piloting the funding model?

Overall

101. Although we have set out here the main issues on which we are seeking your views, we would also welcome comments on any other aspects of the model that you consider to be important, or if there are points you wish to make of a more cross-cutting nature.

Question 11: If you have any further comments on any aspects of our proposals for short-term supported housing, please could you state them here.

About this consultation

This consultation document and consultation process have been planned to adhere to the Consultation Principles issued by the Cabinet Office.

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Are you satisfied that this consultation has followed the Consultation Principles? If not or you have any other observations about how we can improve the process please contact us via the complaints procedure.

Section 4: Draft National Statement of Expectation for supported housing funding (housing costs)

Supported housing is any housing scheme where accommodation is provided alongside care, support or supervision to help people live as independently as possible in the community. This includes:

- Older people with support needs;
- People with learning and physical disabilities;
- Individuals and families at risk of or recovering from homelessness;
- People recovering from drug or alcohol dependency;
- Offenders and ex-offenders;
- Vulnerable young people (such as care leavers or teenage parents);
- People with mental ill health; and
- People at risk of domestic abuse.

We recognise that supported housing helps some of our country's most vulnerable people lead independent lives in the community and is also an investment which brings significant savings to the NHS, social care, and other parts of the public sector. Given the importance of supported housing to its tenants, the wider benefit to the public sector, and growing demand, it is vital that the sector has a sustainable funding model that stimulates supply and represents good value for money. That is why we want to make our expectations clear, as set out in this document. **This statement relates chiefly to local authorities in England** though other public and third sector organisations involved in supported housing will also have a close interest.

From April 2020, we will be bringing in a new funding approach for supported accommodation housing costs (rent and eligible service charges) in England. What is clear, given the diversity of the sector, is that a single funding solution is unlikely to work for every type of provision. Based upon the different characteristics and different objectives of supported housing from across the sector, we have divided supported housing into three distinct segments:

- **Sheltered and extra care housing**: this is housing usually designated for older people with support needs, helping them stay independent for longer. However, working-age tenants can and do reside in this accommodation, where appropriate. We will introduce a 'Sheltered Rent' from April 2020, in which 100% of housing cost funding (rent and eligible service charges) will be retained in the welfare system (Housing Benefit/Universal Credit), with rent controls applied to gross eligible rent (rent inclusive of eligible service charges) with oversight by the social housing

regulator. Demand for this provision is expected to continue to grow and this model provides the certainty the sector needs in order to secure future supply whilst providing enhanced cost controls, ensuring value for money for the taxpayer and quality for tenants.

- **Short-term and transitional support:** for example housing for homeless people with support needs, those fleeing domestic abuse, vulnerable young people, offenders and ex-offenders, and those with drug and alcohol dependencies. 100% of housing costs (rent and eligible service charges) will be funded through locally administered ring-fenced grant, and underpinned by a new local planning and oversight regime. This removes short-term supported accommodation from the welfare system and provides local areas with more oversight and control over the provision in their areas.

- **Long-term support:** for example housing for people with learning or physical disabilities or mental ill health. 100% of housing costs (rent and eligible service charges) to remain funded as at present (through the welfare system). The Government will work with the sector further to develop and deliver arrangements to control costs.

These models seek to meet the objectives of our reforms as set out in the Policy Statement by:

- ensuring quality provision for vulnerable tenants and a stronger role for local areas;
- securing future supply by providing funding certainty;
- recognising the diversity of the sector through the varied funding models that are deliverable across client groups; and
- ensuring value for money for tenants and the taxpayer.

In addition to this *National Statement of Expectation*, local authorities should consider relevant government strategies that affect their client groups when planning and commissioning services, and work closely with local partners. This will help to ensure an integrated approach. Relevant strategies include:

- The Homelessness Code, part of the implementation of the Homeless Reduction Act, which seeks to prevent people becoming homeless in the first place. It includes measures that require housing authorities to publish a five-year homelessness prevention strategy by reviewing current and future levels of homelessness and the activities and resources required (including increasing the supply of new supported housing). It will be important for homelessness prevention strategies and supported housing strategies to be developed together given their close links;

- The Government's 2017 Drug Strategy, which includes measures to reduce the demand for drugs, and restrict their supply and support recovery from dependency. These include a new National Recovery Champion to ensure adequate housing, employment and mental health services are available to help people turn their lives around, and the development of a joint outcome measure between homelessness/housing support services and drug and alcohol treatment providers to ensure that appropriate housing and housing-related support is given to those who need it;

- The Home Office's Violence Against Women and Girls Strategy, which sets out our ambition that no victim of abuse is turned away from the support they need, including refuges - we have committed increased funding of £100 million to support this aim. £20 million of this has already gone to increase refuge spaces and other specialist accommodation based support, and a further £20 million is due to be distributed in the next two financial years;

- Health and Wellbeing strategies, which under the Health and Social Care Act require upper and unitary authority convened boards to set out how health and social care systems work together in a local area to improve the health and wellbeing of their local population and reduce health inequalities. This includes the Government's Better Care Fund – of which the Disabled Facilities Grant (DFG) for home adaptations is a part – and Sustainability and Transformation Partnerships (STPs);

- Joint Strategic Needs Assessments, which consider the needs of populations beyond NHS and local authority areas for joined up provision, provided more flexibly to support the needs of local communities and allow intervening at much earlier stages[21];

- Local housing plans, which under the Housing White Paper require local planning authorities to consider the needs for older people and the disabled when planning for housing in their area; and

- Other relevant local strategies.

[21] Regard should be given to existing strategic guidance that informs JSNA's, for example Public Health England's alcohol and drugs commissioning support documents.

Our Overall Expectations

For all supported housing we hope to see local planning, commissioning and services that:

- **Meet local needs;**
- **Ensure fair access;**
- **Support collaborative working;**
- **Promote delivery to a decent standard; and**
- **Encourage innovation in commissioning through a strategic approach.**

We understand the diversity of the supported housing sector and so we have further expectations for short-term, sheltered and extra-care, and long-term provision, which we will set out in turn (see further below).

Meet local needs by enabling local authorities to plan for supported housing provision in their area

Local authorities should plan to meet the housing needs of vulnerable people in their areas, both now and in the future. In two-tier areas, upper tier authorities should convene the plan, but work collaboratively with lower tier authorities and local partners in their area (see section 'Support Collaborative Working'). Assessing local needs and planning for future provision will support consistent funding provision for supported housing in different local authorities.

The local strategic plan should set out how they will meet the current and future needs of all supported housing client groups over a five-year period. This should include, if necessary, how they will meet particular supported housing needs outside of the local authority area (e.g. the use of specialist provision which is only provided in certain areas). We would expect these plans to be made public to promote transparency.

In producing local plans, local authorities should consider how they will meet the housing needs of vulnerable people across a spectrum of support services, including preventative services, support in people's own homes and other services, as well as the use of supported housing. Supported housing provides vital assistance to vulnerable people, but depending on the needs of the individual, other support services may be more appropriate. The needs and outcomes of individuals in need must be the primary consideration.

The assessment should include a description of client groups who are accessing supported housing services within the local administrative boundary in order to fully identify what the current demand is. Local authorities should ask experts and practitioners to feed into the analysis of data, current provision, and further information to reach a decision on provision, predictions and gaps.

Although housing costs (rent and eligible service charges) are separate from support costs (see Policy Statement), we expect local authorities to have an understanding of how support costs will be met for planned provision.

Where possible local authorities should seek to work collaboratively and draw on existing joint strategic needs assessments or other plans, in addition to requirements in the Housing White Paper, when planning for sufficient housing for vulnerable people.

Ensure fair access

It is our intention that local authorities consider and meet the needs of people from every client group, even if that means support is provided outside of the given local authority's area.

To ensure fair access to funding, local Supported Housing Strategic Plans should be underpinned by a needs assessment across all supported housing client groups. Where there is no provision in a given local area, the relevant local authorities will need to be aware of how that specific client group can access services elsewhere. Local authorities should also consider the needs of those with no local connection, as mentioned in more detail in the section (see further below) 'Our Expectations for Short Term Supported Accommodation'.

Support collaborative working

In order to ensure that local authorities are well informed, those people and organisations essential to providing supported housing (and organisations that are concerned with the demand for supported housing) should be involved throughout the assessment, planning and implementation processes.

Local authorities should work collaboratively with other local partners in the development and delivery of local Supported Housing Strategic Plans to ensure identification of current and future need is as accurate as possible. This should include health and wellbeing boards, social services, directors of public health, the police and police and crime commissioners, the national probation service, community rehabilitation companies, youth offending teams, parole boards, community mental health teams, clinical commissioning groups and other health services, providers and voluntary agencies.

All local authorities, including unitary authorities, should work with each other to consider how clients in their area can access, if needed, specialist services in other local areas (see section on local connection). It is also important that local authorities work collaboratively with each other. In two-tier authorities, upper and lower tiers should work together to inform needs assessment and plans.

Promote delivery of a decent standard

Local authorities should ensure supported housing services represent value for money, and that accommodation is of a good standard. We recommend that, in commissioning short-term supported accommodation from providers, local authorities should consider

both the support and housing elements of the service. Local authorities should ensure that providers are genuine, reputable and will provide a quality overall service, as well as delivering value for money.

Encourage innovation in commissioning through a strategic approach

Local authorities should work with their local partners to shape and manage referrals, nominations and allocations (of tenants) into supported housing, and move-on from supported housing, to ensure best use is made of existing provision.

In some instances, service providers are funded for support costs from a variety of different funding streams and commissioners, from Police and Crime Commissioners, or health and local authorities, as well as from grant making trusts and private foundations. While assessing current provision as part of the needs assessment, local authorities should gather data from commissioners and service providers to help create a picture of whose budgets pay for existing service provision, the length of provision for different funded services and the total sum of money available to fund services in an area. This analysis is critical in identifying opportunities for joint commissioning and the potential for aligning budgets. It will also provide the opportunity to offer stability and opportunities for development in the specialist sector by providing longer-term funding.

Local authorities should scrutinise the use of supported housing in their area to help them make well informed decisions regarding providers. For example, by retrieving data on voids from long term supported housing providers in their area, they will be better able to judge whether providers have made efficient use of stock.

In all local authorities, there may be opportunities for innovation by working with other neighbouring local authorities to plan and commission where out of boundary provision is required. There may also be opportunities for efficiencies in two-tier authorities through agreements between upper and lower tiers for planning and commissioning.

Transparency in the delivery of supported housing

Local authorities should be transparent in how they deliver against local plans, including making the plans public.

Local authorities should report against delivery on an annual basis. Across all supported housing we suggest that this includes:

- Data on cross-border arrangements and support for people coming into the local authority area and people exiting the local authority area; and
- On-going assessment of future need across all client groups (to help size future local funding allocations).

Our Expectations for Short Term Supported Accommodation

As set out in our Policy Statement, short-term supported accommodation will be removed from the welfare system entirely, with housing costs to be met wholly through a ring-fenced DCLG grant to local authorities. This will allow local areas to plan how to meet the needs of their residents in a flexible way.

Our expectations for short-term supported accommodation will be included as conditions of the grant funding, to provide a more robust framework for oversight and control at a local level. Conditions will include: the need to produce five year plans and to undertake a needs assessment; a requirement to report on delivery against plans and spend for named client groups; and an expectation that areas work collaboratively at local level with other local authorities and relevant partners.

We expect to see local planning, commissioning and services that:

1. Enable fair access, even where no local connection has been established;
2. Support individuals to move on to independent accommodation; and
3. Report against spend.

Enable fair access, even where no local connection has been established;

There are several types of incidents which give rise to a need for an individual to uproot themselves and move to an area in which they do not have a local connection, particularly those who need short-term supported housing services. Individuals fleeing domestic abuse often need to move to a different locality away from the perpetrator. Additionally, offenders, ex-offenders or those recovering from substance dependency may also fall into this category where there is an urgent need for transitional support.

When a local authority is planning supported housing provision in their area, they must consider those who need to use the services from outside the local area in their needs assessments and plans. This is particularly important for the groups listed above, and local authorities must account for how they will provide for these groups where the clients have no local connection. Where there is no provision in the local area, the local authority must ensure they have an agreement in place with another local authority for the provision of such housing. In turn, it will be important for local authorities to support those with no local connection where this is appropriate. Local authorities should work together to agree a reciprocal approach to supporting people without a local connection. They should also consider services that currently provide support to individuals regardless of local connection.

Support individuals to move on to independent accommodation

Short-term supported accommodation is there to help those who are in a position of crisis, and it is always our intention that they move on to more secure accommodation. Those who are eligible should be supported so that at the appropriate time they can go on to general needs housing or other more long term supported housing. That is why we expect local authorities to identify providers of short-term supported accommodation that have a plan for their clients to move on as part of their commissioning process. We expect this to be one of the key outcomes in reporting on delivery.

Transparency in reporting on spend and delivery

Local authorities should seek to ensure value for money in the use of grant funding.

Transparency in relation to delivery and spend allows local authorities, other local partners and central government to monitor the effectiveness of supported housing provision at local authority level. This will also assist the process for determining local grant allocations. The need to ensure value for money and transparency will be set out in grant conditions.

Annual reporting against delivery for short-term accommodation should include:

- Data on length of stay of tenants, in order to show whether clients have suitable opportunities to move on;
- Data on cross-border arrangements and support for people coming into the local authority area, as well as people exiting the local authority area;
- Data on type of providers commissioned, so that the market share in the local area is known and any barriers to entry can be addressed locally; and
- On-going assessment of future need across all client groups (to inform future local funding decisions).

Report against spend should happen twice a year[22]. It should include the expenditure of grant funding on the housing costs for short term supported accommodation. This should provide transparency around how the grant funding is being spent, and show fairness of funding allocations for different client groups.

[22] DCLG grant conditions will also require the provision of in-year management information to DCLG regarding spend and performance.

Our expectations for Sheltered and extra care housing

We want to see local planning, commissioning and services that:

1. Plan and facilitate new supply;
2. Provide support which keeps people independent, offers a real alternative to residential care, and enables efficient use of stock; and
3. Provide transparency in reporting against delivery.

Plan and facilitate new supply

The country is changing in a number of ways. People are living longer, but the way we live, work and socialise with each other at different points of our lives is also changing. At different stages of our lives what we need from our homes and communities changes. While funding for sheltered and extra care housing will be met through the welfare system, we encourage local authorities to assess future need and plan for new supply. Future capital bids for sheltered and extra care housing should be linked to an identified need for that housing, as set out in local plans.

National policy sets out clearly the need for local planning authorities to plan for the housing needs of all members of the community, working closely with key partners and local communities in deciding what type of housing is needed to meet local need, including bungalows.

We are asking local authorities to consider our proposals in the Housing White Paper, which proposes planning for older people's housing in two ways:

1. Strengthening the National Planning Policy Framework, so that local planning authorities are expected to have clear policies for addressing the housing requirements of groups with particular needs, such as older people and those living with a disability; and
2. The Neighbourhood Planning Act 2017, which introduced a new statutory duty on the Secretary of State to produce guidance for local planning authorities on how their local development documents should meet the housing needs of older people and those living with a disability.

Provide support which keeps people independent, offers a real alternative to residential care, and enables efficient use of stock

Sheltered and extra care housing supports people to live independently for longer, ensuring more fulfilling lives for their residents. They also support health and adult social care provision by helping older people lead independent lives with less use of acute health services and residential care, and to smooth their discharge from hospital.

It is important that local authorities do not only ensure they continue to supply supported housing, but that that housing is made available for those who need it. This can be achieved by working with providers, Health and Wellbeing Boards, and other cross-sectoral partners, to ensure people can be moved into the most appropriate accommodation quickly and smoothly. This will enable efficient use of stock for providers, and a good service for clients.

Provide transparency in reporting on delivery

Local authorities should be transparent in how they deliver against local plans.

We encourage transparency on how an upper tier authority is ensuring delivery of supported housing and we recommend that this take place on an annual basis. For sheltered and extra care housing, we suggest this includes:

- On-going assessment of future need across all client groups;
- Data on occupancy including voids within the provision to show how efficiently the stock is being used; and
- Data on cross border arrangements and support for people coming into the local authority area, as well as people exiting the local authority area.

Our Expectations for Long-Term supported housing

We hope to see local planning, commissioning and services that:

1. Provide support which keeps people independent, offers a real alternative to residential care, and enables efficient use of stock; and
2. Provide transparency in reporting against delivery.

In addition to this, we will continue to work with the sector to develop and deliver arrangements to ensure greater cost control and value for money across the sector, while driving up outcomes for vulnerable people.

Provide support which keeps people independent, offers a real alternative to residential care, and enables efficient use of stock

Long-term supported housing services support health and adult social care provision by helping those with disabilities, mental ill health or with other long term needs to lead independent lives by keeping them out of acute health settings and residential care, or smoothing their discharge from hospital.

It is important that local authorities do not only ensure they continue to supply supported housing, but that it is made available for those who need it. This can be achieved by working with providers, health and wellbeing boards, and other cross-sectoral partners, to ensure people can be moved into the most appropriate accommodation quickly and smoothly. This will enable efficient use of stock for providers, and a good service for clients. We will work with the sector to identify what more we can do to achieve this.

Provide transparency in reporting on delivery

We encourage transparency on how an upper tier authority is ensuring the delivery of supported housing and we recommend that this take place on an annual basis. For long-term supported housing, we suggest this includes:

- On-going assessment of future need for this type of provision.
- Data on occupancy including voids within the provision to show how efficiently the stock is being used.

CABINET

Date of Meeting	Tuesday, 21st November 2017
Report Subject	3-4 Year Old Funded Childcare Offer
Cabinet Member	Cabinet Member for Social Services Cabinet Member for Education
Report Author	Chief Officer (Social Services) Chief Officer (Education and Youth)
Type of Report	Operational

EXECUTIVE SUMMARY

This report provides an update on the free Childcare offer and seeks agreement from members to extend the offer to other areas of Flintshire.

The aim of the 30 Hour Childcare Offer is to mitigate against the effects of poverty on outcomes for children and reduce inequalities. The provision of high-quality early education and childcare is central to the Welsh Government's 'Building a Brighter Future', the 10 Year Plan which sets out the Government's commitment to improve the life chances and outcomes of all children in Wales.

In the autumn of 2016 the Cabinet Secretary for Communities and Children sought bids from all 22 Local Authorities to become early adopters of a pilot funded child care scheme. Flintshire's bid to become an early implementer and to pilot the scheme was successful and was selected as one of seven authorities across Wales.

The offer is currently being piloted in three specific areas within Flintshire. We are working with Welsh Government to develop local models for the further full national roll out of the funded childcare offer.

The Childcare Offer undertakes, by the end of the current Welsh Assembly in 2021 to provide working parents with 30 hours of government-funded childcare and early education for 3 and 4 year olds for 48 weeks of the year. This includes 9 weeks of up to 30 hours funded childcare within the school holiday period, with the aim of supporting families with quality, flexible and affordable care. It will also support economic regeneration and reduce pressures on family income and help parents to participate in work reducing a family's risk of poverty.

The Offer also supports the wellbeing of children through positive and rich childhood experiences.

The development and delivery of the Offer is a joint partnership between Early Years

and Family Support (Social Services) and Early Entitlement (Education and Youth). The first children took up the offer on 4 September 2017, with 215 applications having been received. Flintshire have been successful in automating the application process enabling parents to register on line and self-select registered childcare providers from the registered 134 providers. The original figure of 441 children will be increased to 748 children, is subject for approval by cabinet.

RECOMMENDATIONS	
1	Members to consider the progress made with the implementation of the Childcare offer and the proposed extension of the pilot to all areas within Flintshire.

REPORT DETAILS

1.00	**THE CHILDCARE OFFER FOR WALES**
1.01	The Childcare offer will, by the end of the current Welsh Assembly in 2021, aim to provide working parents with 30 hours of government-funded childcare and early education for 3 and 4 year olds for 48 weeks of the year. This includes 9 weeks within the school holiday periods.
1.02	The funded childcare offer will combine the successful Foundation Phase (Early Entitlement) provision during term times with additional childcare. During the weeks of the year when Early Entitlement is not provided, qualifying children can receive up to 30 hours of childcare, supporting working families with the costs of holiday care. **Example:** Child's date of birth: 21 December 2014 – they will be 3 on 21 December 2017. This entitles the child to attend Foundation Phase education for a minimum of 10 hours per week, from the term after their 3rd birthday (January 2018 – July 2018). Providing the child's parent is a 'working parent' and satisfies the eligibility criteria the child can also receive up to a further 20 hours childcare during the term time, making a total of 30hrs. This may be at the same childcare setting or an alternative arrangement with up to 3 registered childcare settings. Settings have to be registered with the Care and Social Services Inspectorate Wales (CSSIW).
1.03	The Welsh Government want the offer to be as clear and easy to access as possible, for parents and childcare providers. Flintshire has developed a simple electronic application form, similar to the school admissions process. The form automatically checks whether parents meet the eligibility criteria and whether they live in one of the pilot areas.

1.04	The scheme allows parents to choose the provider that best suit their circumstances subject to availability of places. Since May 2017, there have been several workshops with providers and childcare organisations to inform them of the offer and how it will be developed in Flintshire.
1.05	The Welsh Government are monitoring the availability and accessibility of childcare in different parts of Wales and seeing if it matches what parents need. They will reviewing the nature of childcare issues and barriers facing parents and providers and what can be done to overcome them. One of the issues that Flintshire has identified it will test through the pilot is working with Cheshire concerning cross-border take up.
1.06	The first year of the Offer is a pilot and will seek to test and learn from: ➢ How accessible and easy it is for parents to access the offer; ➢ How accessible and easy it is for providers to provide the offer; ➢ How the offer fits alongside Flying Start and the Early Years Foundation Phase (Early Entitlement).
1.07	The pilot will also provide an opportunity to identify any issues that may surface for parents, local authorities and childcare settings as a result of providing and testing the offer and how these issues can be addressed.
1.08	In March the Cabinet Secretary published a statement on the offer detailing the eligible areas to be included in the Flintshire pilot and the rationale supporting the selection of the areas: Flintshire initially tested the Childcare Offer in the three areas at Bagillt, Broughton and Buckley. Approval for a rollout across Flintshire will see maximisation of the grant and provide support for up to 748 children. Extending the Offer will test the areas of low and high employment, across travel to work routes, including travel outside Wales and through a mixture of maintained and non-maintained settings. The Offer will test the capacity and demand of childcare with how it fits with the Foundation Phase (Early Entitlement) and Welsh medium provision. Testing the Offer more widely will allow the Welsh Government to make sure they learn what works and what doesn't in the delivery of the Offer, in readiness for the rollout across the whole of Wales during the lifetime of this Assembly.
1.09	Initially it was estimated that 441 children could be eligible to access the Offer. However, by extending the offer and depending upon take up it may be possible to offer places for up to 748 children for the financial year to 31 March 2018. Work continues with Welsh Government to anticipate and monitor demand and take up.
1.10	It is important to ascertain that there are enough providers and settings for 748 children, so a detailed piece of work is taking place in relation to the Childcare Sufficiency Assessment and working with settings to register as a new provider or update an existing CSSIW registration to accommodate

	3 and 4 year olds, for childcare.
1.11	A Grant Offer letter was issued to the Authority to provide funding for a planning stage covering the period December 2016 – March 2017. Further grant letters have been received for the term 1 April 2017 to 31 March 2018 for administration; childcare; and Special Educational Needs.
1.12	A comprehensive project plan was developed which continues to be followed, reviewed and updated as the Offer in Flintshire evolves. Regular meetings with Welsh Government officials have helped shape the Offer and ensure that it meets the project objectives. All key milestones set by WG have been achieved ahead of schedule by the Flintshire team.
1.13	In consultation with the Early Implementer Local Authorities, the Welsh Government has developed and continues to update a core script which governs the development of the offer locally. The core script has also been used to develop a series of comprehensive Frequently Asked Questions (FAQ) from the perspective of the childcare provider and the parent. This script and the FAQ continue to evolve as the offer develops.
1.14	In June the Deputy Director for Childcare, Play and Early Years Division of the Welsh Government, indicated that the budget for the offer is in place for the next two years in the first instance. In September, the Deputy Director visited Flintshire to discuss the development of the Offer.
1.15	In June the Cabinet Secretary published a statement on the offer, announcing the rate childcare providers would be paid at £4.50 per hour per child. This rate covers childcare only and does not include food, drink, off-site activities that incur an additional cost or transport. Providers will be able to charge an additional fee for these services if necessary. However, providers will not be allowed to charge more than £7.50 per day for any food and drinks provided. Parents paying for additional hours over the 30 hours available through the Offer and for food, transport and activities will also be able to use the Tax Free Childcare Scheme to help meet these costs. The scheme in Wales is not to be confused with the scheme in England which is different.
1.16	Periodically, during the time parents receive the offer, they will be required to reconfirm their eligibility for the offer. Parent eligibility checks will be carried out as follows: 100% of parents will be asked to provide evidence of eligibility when making an application for the first time. 100% of parents receiving the offer will be asked to re-confirm eligibility via a tick box at the beginning of each term. 20% of parents receiving the offer will be asked to provide evidence of eligibility within each term.
1.17	As the £4.50 childcare rate provided by Welsh Government is higher than

	the rate received by providers of Early Entitlement Education from Councils, a risk has been identified that parents may be encouraged to take the full childcare hours and not take up their early education hours. An advisory group has been established by the Welsh Government to monitor this issue. Flintshire will also carefully monitor any trends or patterns in changes to Early Entitlement Education uptake. In some areas, schools are meeting the childcare demand by parents. However, this does require schools to register with CSSIW. Support is provided by Family Information Service. All Flintshire schools have been issued with guidance. Parent choice is a factor and this choice may impact on schools. This will be monitored carefully to investigate how the maintained sector can service the choice made by parents.
1.18	In August Welsh Government announced more funding to support the roll out of the Offer and to encourage childcare providers to access business support to help them be more sustainable. Business Wales will begin offering proactive support to childcare sector businesses later this Autumn. It is hoped this support may encourage providers to expand to meet the demand for childcare during school holidays.
1.19	The Offer is designed to be inclusive and so children with Special Educational Needs (SEN)/Additional Learning Needs (ALN) will be supported to access their place. Where specialist provision is required, ALN funding has been issued in a separate offer letter to Early Implementer Local Authorities, who will administer this element of funding, working closely with the chosen childcare provider to meet the needs of the child.
1.20	Online systems and procedures have been developed to allow parents to check their eligibility for the offer and apply for the offer online. The online system will also assist in the Welsh Government's requirement for Flintshire to sufficiently store and manage data collection to enable the monitoring and evaluation of the performance and impact of the offer locally. Further, the online system will also assist in the completion of relevant reports to government, the advisory group and wider partners on a weekly, monthly and termly basis as required. The online system has been viewed by the Welsh Government and they are satisfied Flintshire has a cost effective and suitable data collection system in place, which is also compliant with data protection requirements.
1.21	The Flintshire Childcare Offer Advisory Group meet regularly to develop the offer and provide guidance and direction to the project team. The advisory group representation consists of individuals from Flying Start, Family Information Service, Early Entitlement, childcare development and childcare umbrella organisations e.g. Mudiad Meithrin, National Day Nurseries Association, PACEY (Childminders) and Wales Pre-School Providers Association.
1.22	The next steps of the scheme include enhancing the online system, checking parent eligibility via email notification on a termly basis and

	developing online provider claim forms to assist in the timely payment of registered childcare offer approved providers.
1.23	Also we will further roll out the offer and maximise the take up of the Offer by continuing to publicise and promote the offer in a variety of ways, including the physical distribution of posters, presentations to schools, community and town councils, childcare providers and through the use of social media and the Public Service Board.
1.24	Workshops will be held to update providers on the development of the Offer locally. Providers will be introduced to the Childcare Offer Claim Form and be supported with systems. The next phase of the Welsh Government's #TalkChildcare campaign will not only help inform the Childcare Offer, but work with the sector to help it grow and to thrive across Wales. A new survey for Childcare providers is available on our webpages: http://gov.wales/topics/people-and-communities/people/children-and-young-people/childcare/talk-childcare/?lang=en&sdha
1.25	We will work with Welsh Government and Arad Research who will monitor and evaluate the Offer from September 2017 and provide the required monitoring information to enable the production of reports.

2.00	**RESOURCE IMPLICATIONS**
2.01	There are no immediate financial implications for Flintshire County Council resulting from the 'the Offer' this is a fully grant funded programme by the Welsh Government. The grant is administered by the local authority as the accountable body. Should in the future Welsh Government decide to end the scheme there may be some redundancy costs.
2.02	The Welsh Government has provided a budget for the administration of the offer locally for 2016/17 and 2017/18. This is a separate grant to the payments to settings.

3.00	**CONSULTATIONS REQUIRED / CARRIED OUT**
3.01	Locally, the Childcare Sufficiency Assessment has been undertaken as well as a provider event which was held on the 4 May 2017 and 13 September. Further consultations will be conducted as the offer develops.
3.02	A detailed consultation exercise called **#talkchildcare** was undertaken nationally by the Welsh Government. Engagement through the consultation resulted in 3768 responses from parents online; 180 queries and comments; 2000 parents spoken to at roadshows; 262 providers involved; 64 parents participated in focus groups; 6250 responses in total. Initial findings of the consultation have been published by the Welsh Government.

3.03	Phase two of the **#talkchildcare** consultation commenced October 2017. The focus of this phase of the consultation will be on the views of providers. It will build on consultation already undertaken with providers. The main themes will include Business Support and sustainability of the sector in relation to the delivery of the offer.

4.00	**RISK MANAGEMENT**
4.01	Risks to the project: • Not having sufficient registered childcare settings to provide places for 748 children, enabling parental choice. In mitigation there is a phased approach to the implementation - early indications are that Flintshire will have sufficient quality childcare, however, due to the tight timeframes and school summer holidays it may be that schools will want to offer education and childcare but will not be able to offer places initially or will need to work through a different model of delivery. • Not signing up enough parents to achieve the target number of children signed up for childcare through the Offer. In mitigation, childcare places filled already are being monitored closely on a weekly basis. Reserve eligible areas have been identified, which the offer can be extended to in Flintshire if required and if approved by the Welsh Government. • As the Offer includes up to 30 hours of childcare during school holidays, holiday provision has been identified (using data from the childcare sufficiently assessment) as an area that requires further development. In mitigation, providers are being encouraged to extend provision during the school holidays to meet potential demand with assistance from the Flintshire Childcare Development Officer.

5.00	**APPENDICES**
5.01	Appendix 1: 3-4 Year Childcare Offer - Provider FAQ. Appendix 2: 3-4 Year Childcare Offer - Parent FAQ. Appendix 3: 3-4 Year Childcare Offer - Parent Poster.

6.00	**LIST OF ACCESSIBLE BACKGROUND DOCUMENTS**
6.01	None. Contact Officer: Gail Bennett, Early Years and Family Support Manager Telephone: 01244 551052 E-mail: gail.bennett@flintshire.gov.uk

7.00	GLOSSARY OF TERMS
7.01	**The Childcare Offer'**: 30 hours of funded childcare and education for 3 and 4 year olds, in working families for 48 weeks a year. **Working parents**: both parents are working (or the sole parent is working in a lone parent family), with each parent earning, on average, a weekly minimum equivalent to 16 hours at national minimum wage (NMW) or national living wage (NLW). Some of this is still to be defined as the work develops. **Foundation Phase**: the statutory curriculum for all 3 to 7 year olds in Wales, in both maintained and non-maintained settings. **CSSIW:** Care and Social Services Inspectorate Wales. **Childcare Sufficiency Assessments**: A report that brings together a range of different data and information to develop a picture of the current childcare market and to identify whether there are any gaps in supply.

The Childcare Offer for Wales

Early Implementation of the Childcare Offer Q&A's for providers

Llywodraeth Cymru
Welsh Government

What is the childcare offer? The new childcare offer will provide working parents with 30 hours of early education and childcare for 3 and 4 year olds, for up to 48 weeks per year. The offer will build on children's existing early education entitlement during term time, and provide 30 hours of childcare for 9 weeks of the holidays.

What does it mean for me as a provider? Providing you are registered with CSSIW (or the equivalent in England), you could receive funding from a pilot local authority for eligible 3 and 4 year olds accessing the offer in your setting.

Where are the pilot areas? There are pilot areas in Anglesey, Blaenau Gwent, Caerphilly, Flintshire, Gwynedd, Rhondda Cynon Taf and Swansea. More information on specific areas is available on the local authority websites.

Do I need to be based in a pilot area to be involved in the early implementation of the offer? No. Parents need to be eligible and live in a pilot area to access the offer. However the childcare element of the offer can be delivered by any registered childcare setting, regardless of location.

Do I need to deliver the Foundation Phase to deliver the childcare element of the offer? No. Some children will continue to access early education in maintained settings. Childcare providers do not need to deliver both the early education and childcare elements of the offer.

Do I need to be able to deliver the offer for 48 weeks per year to take part? No. Parents can access the offer through different providers that best suit their circumstance. Providers who only offer term-time provision, or provision only the in school holidays can still deliver the offer.

How much will I get paid? All providers will receive a rate of £4.50 per hour for children receiving the childcare element of the offer.

Can I charge parents a top-up rate? No. You cannot charge hourly top-up rates if you'd normally charge more than £4.50 per hour.

Can I charge for food and additional activities? Yes. If necessary, you can charge parents for additional elements such as food, transport and off-site activities which incur a cost. The Welsh Government guidelines in respect of setting additional fees under the offer for a full day care session (approximately 10 hours) are that parents should not be charged more than £7.50 per day (this would include three meals at £2 per meal and 2 snacks at a charge of 75p per snack). For a half day session (approximately 5.5 hours) parents should not be charged more than £4.75 (two meals at £2 per meal plus a snack at a charge of 75p per snack). For sessional care where a meal is not provided but children receive a snack, guidelines are that parents should not be charged more than 75p per day for snack provision.

How will I get paid? You should claim funding for the hours a child has registered with you under the offer from your local authority

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Y Cynnig Gofal Plant ar gyfer Cymru

Cwestiynau cyffredin i rieni yn yr ardaloedd peilot

Llywodraeth Cymru
Welsh Government

Beth yw'r cynnig gofal plant? Bydd y cynnig gofal plant newydd yn darparu 30 awr o addysg gynnar a gofal plant am gyfnod o hyd at 48 wythnos y flwyddyn ar gyfer plant 3 a 4 oed i rieni cymwys sy'n gweithio.

Beth y mae'n ei olygu i mi fel rhiant? Os oes gennych chi blentyn sy'n 3 neu'n 4 oed, mae'r hawl i gael addysg gynnar ar gael ichi nawr. Os ydych chi'n gweithio, gallech chi gael oriau ychwanegol o ofal plant wedi ei ariannu gan y Llywodraeth fel eich bod yn cael cyfanswm o 30 awr o ofal plant ac addysg gynnar yr wythnos am gyfnod o hyd at 48 wythnos y flwyddyn, yn dibynnu ar ba bryd y bydd eich plentyn yn dathlu ei ben-blwydd.

Beth yw addysg gynnar? Mae hawl gan blentyn i gael **addysg gynnar** (Meithrin Cyfnod Sylfaen) o'r tymor ar ôl ei ben-blwydd yn 3 oed. Mae pob awdurdod lleol yn darparu isafswm o 10 awr o addysg gynnar yr wythnos, naill yn yr ysgol leol neu mewn lleoliad tebyg, fel lleoliad gofal dydd neu ganolfan gymunedol. Yn ystod y tymor ysgol, bydd yr addysg gynnar yma yn rhan o 30 awr y cynnig gofal plant. Yn ystod gwyliau ysgol, pan nad oes addysg gynnar, bydd y cynnig yn rhoi 30 awr o ofal plant am gyfnod o hyd at 9 wythnos. Gall eich Gwasanaeth Gwybodaeth i Deuluoedd lleol eich helpu i ddarganfod darpariaeth cofrestredig sy'n ateb eich gofynion.

Sut y byddaf yn gwybod a ydw i'n gymwys? Mae rhieni plant 3 a 4 oed eisoes yn gallu manteisio ar yr hawl i gael addysg gynnar. Rydych chi'n gymwys i gael y gofal plant ychwanegol os ydych chi'n byw yn ardal y cynllun peilot, os oes gennych blentyn o fewn yr ystod oedran, a'ch bod yn ennill ar gyfartaledd isafswm wythnosol cyfwerth ag 16 awr ar isafswm cyflog cenedlaethol neu ar gyflog byw cenedlaethol neu fwy. Os yr ydych yn deulu unig riant bydd yn rhaid i chi fod mewn gwaith a mewn teulu dau riant, mae angen i'r ddau ohonoch fod yn gweithio. Os yr ydych yn hunangyflogedig neu ar gontract dim oriau bydd disgwyl ichi brofi hyn drwy gyflwyno dogfennau perthnasol. Cysylltwch â'ch Gwasanaeth Gwybodaeth i Deuluoedd i gael rhagor o wybodaeth.

Pa ardaloedd yw'r ardaloedd peilot? Maent mewn ardaloedd dynodedig o Abertawe, Caerffili, Gwynedd, Rhondda Cynon Taf, Sir y Fflint ac Ynys Môn. Bydd y cynllun ar gael ar draws Blaenau Gwent. Mae rhagor o wybodaeth am yr ardaloedd hyn i'w gweld ar wefannau'r awdurdodau lleol. Mae angen ardaloedd peilot arnom er mwyn profi'r cynnig i wneud yn siŵr ei fod yn gweithio, ond bydd ar gael ledled Cymru yn y pen draw.

Gai ddewis unrhyw ddarparwr gofal plant? Gallwch, ar yr amod bod y darparwr hwnnw yn hapus i fod yn rhan o'r cynnig a'i fod wedi ei gofrestru ag AGGCC neu â'r Swyddfa Safonau mewn Addysg (yr arolygiaethau ar gyfer lleoliadau gofal plant). Gallant wneud hynny drwy gysylltu â'r Gwasanaeth Gwybodaeth i Deuluoedd lleol. Gall y darparwyr gofal plant fod wedi eu lleoli y tu allan i'ch ardal beilot, y tu allan i'ch sir, neu fod yn Lloegr hyd yn oed, os ydych chi'n byw ar y ffin. Nid oes unrhyw gyfyngiad o ran pa bryd y gallwch ddefnyddio'r oriau gofal plant ychwanegol, ond eich cyfrifoldeb chi yw dod o hyd i ddarparwr gofal plant sy'n addas i anghenion eich teulu chi. Gall y Gwasanaeth Gwybodaeth i Deuluoedd eich helpu drwy ddarparu gwybodaeth ar yr holl leoliadau gofal plant.

Fydd angen imi dalu am unrhyw beth? Mae'r Cynnig Gofal Plant yn cyllido uchafswm a chyfanswm o 30 awr o addysg gynnar a gofal plant. Mae hyn ar gyfer yr addysg a'r gofal y mae'r gweithwyr proffesiynol yn y lleoliad yn eu darparu. Nid yw'n cynnwys bwyd, cludiant i leoliadau eraill ac oddi yno na gweithgareddau oddi ar y safle sy'n gofyn am dâl ychwanegol, a bydd eich darparwr yn gallu codi tâl arnoch chi am y pethau hyn. Bydd y costau cludiant yn ddibynnol ar leoliad eich cartref a pha mor bell y mae angen iddynt deithio, Ni ddylai darparwyr godi mwy na £7.50 y diwrnod am fwyd neu £4.75 am hanner diwrnod (yn cynnwys cinio).

Oes rhaid imi ddefnyddio'r 30 awr yr wythnos yn llwyr? Nac oes. Chi sy'n dewis faint o'r 30 awr yr ydych chi am eu defnyddio. Fodd bynnag, os na fyddwch chi'n defnyddio pob un o'r 30 awr mewn wythnos, ni allwch chi ddefnyddio'r oriau sy'n weddill mewn wythnos arall. Gallwch chi dalu am oriau ychwanegol eich hun yn seiliedig ar gontract preifat rhyngoch chi a'ch darparwr gofal plant.

Sut ydw i'n gwneud cais? Gallwch wneud cais am y cynnig, gan gynnwys y lle addysg gynnar y mae hawl gennych ei gael, drwy eich awdurdod lleol. Cysylltwch â'ch Gwasanaeth Gwybodaeth i Deuluoedd i gael rhagor o wybodaeth.

The Childcare Offer for Wales

Llywodraeth Cymru
Welsh Government

FAQ's for parents in pilot areas

What is the childcare offer? The childcare offer will provide eligible working parents with 30 hours of a combination of early education and childcare for 3 and 4 year olds, for up to 48 weeks per year.

What does it mean for me as a parent? If you have a 3 or 4 year old you could access your early education entitlement now. If you're working, you could receive additional hours of government-funded childcare on top, so that you receive a combined total of 30 hours of childcare and early education per week for up to 48 weeks a year, depending on when your child has their birthday.

What is early education? Children are entitled to **early education** (Foundation Phase Nursery) from the term after their 3rd birthday. All local authorities provide a minimum of 10 hours per week early education, either in the local school or in a setting like a day-care setting or a community centre. During term time, this early education will be part of the childcare offer's 30 hours. During the school holidays, when there is no early education, the offer will provide 30 hours a week of childcare, for up to 9 weeks. Your local Family Information Service will be able to help you find a registered provider that offers the service that meets your needs.

How will I know if I'm eligible? All parents of 3 and 4 year olds can already access the early education entitlement. You are eligible to receive the additional childcare if you live within a pilot areas, have a child within the age range and earn on average a weekly minimum equivalent of 16 hours at national minimum wage (NMW) or national living wage (NLW) or more. If you are in a lone parent family you need to be working and if you are in a two parent family you both need to be working. If you are self-employed or on a zero hours contract you need to be able to prove this by providing the relevant documents. You can contact your Family Information Service for more information.

Where are the pilot areas? They are in specific areas of Anglesey, Caerphilly, Flintshire, Gwynedd, Rhondda Cynon Taf and Swansea. It will be available in all of Blaenau Gwent. More information on these areas is available on the local authority websites. We need pilots to test the offer to make sure it works, but it will eventually be available across Wales.

Can I choose any childcare provider? Yes, as long as they are willing to be part of the offer and they are registered with CSSIW or OFSTED (the inspectorates for childcare settings). They can do this by getting in touch with your local Family Information Service. Childcare providers can be outside of your pilot area, your county or even in England if you live on the border. There is no restriction on when you can use the additional childcare hours of the offer but it is up to you to find a childcare provider that suits your families' needs. The Family Information Service can help you by providing information on all the childcare settings.

Will I have to pay for anything? The Childcare Offer funds a maximum and total of 30 hours of early education and childcare. This is for the education and care the professionals within the setting provides. It does not include food, transport to and from other settings or off-site activities that incur an extra charge and providers will be able to charge you for these. The cost of transport will depend on where you live and how far they have to travel. Providers should not charge more than £7.50 per day for food or £4.75 for half a day (including lunch).

Do I have to use all 30 hours per week? No. You can chose how much of the 30 hours you use. However, if you do not use all of yours 30 hours in one week, you can not use them in another week. You can pay for additional hours yourself based on a private contract between you and your childcare provider.

How do I apply? You can apply for the offer, including your early education entitlement place, through your local authority. Contact your local Family Information Service for more information.

The Childcare Offer for Wales

Llywodraeth Cymru
Welsh Government

Your Childcare Offer in Flintshire:

- ✓ Do you work **16 hours** or **more** per week?
- ✓ Is your child aged **3 or 4 years old**?
- ✓ Do you **live** in:

Buckley, Bagillt, Broughton
or within areas of Aston, Connahs Quay (Central and Golftyn), Garden City, Greenfield, Higher Shotton, Holywell (Central), Mancot, Queensferry or Sandycroft?

If so, the Welsh Government might be able to fund up to 30 hours per week of combined Childcare and Early Years Foundation Phase Education during term time and up to 9 weeks of 30 hours of Childcare per week during school holidays, for up to 48 weeks of the year.

For further information, to check your eligibility and apply visit:

www.flintshire.gov.uk/childcareoffer

Further areas will be added as the 'Childcare Offer' is rolled out, check with the Family Information Service Flintshire for up-to-date information.

For more information, please contact the Family Information Service Flintshire on 01352 703500 or email: fisf@flintshire.gov.uk

Family Information Service Flintshire
Gwasanaethau Gwybodaeth i Deuluoedd Sir y Fflint

Cyngor Sir y Fflint
Flintshire County Council

Y Cynnig Gofal Plant i Gymru

Llywodraeth Cymru
Welsh Government

Eich cynnig gofal plant yn Sir y Fflint:

- ✓ Ydych chi'n gweithio 16 awr neu fwy bob wythnos?
- ✓ Yw eich plentyn yn 3 neu 4 oed?
- ✓ Ydych chi'n byw yn:

Bwcle, Bagillt, Brychdyn
neu yn ardaloedd Aston, Cei Connah (Canol a Golftyn), Garden City, Greenfield, Higher Shotton, Treffynnon (Canol), Mancot, Queensferry neu Sandycroft?

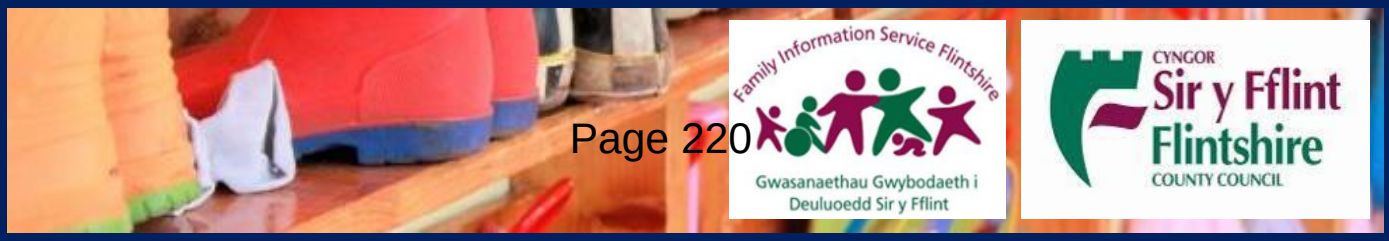
Os hynny, mae'n bosibl y gall Llywodraeth Cymru ariannu hyd at 30 awr yr wythnos o Ofal Plant ac Addysg Cyfnod Sylfaen Blynyddoedd Cynnar yn ystod amser tymor, a Gofal Plant am 30 awr yr wythnos am hyd at 9 wythnos, yn ystod gwyliau'r ysgol, am hyd at 48 wythnos y flwyddyn.

Am mwy o wybodaeth, gwirio os ydych yn gymwys a chofrestru ewch i:

www.siryfflint.gov.uk/cynniggofalplant

Bydd ardaloedd eraill yn cael eu hychwanegu fel bydd y 'Cynnig Gofal Plant' yn cael ei gyflwyno yn ehangach, gwiriwch gyda Gwasanaeth Gwybodaeth I Deuluoedd Sir y Fflint am wybodaeth gyfredol.

Am ragor o wybodaeth, cysylltwch â Gwasanaeth Gwybodaeth I Deuluoedd Sir y Fflint ar 01352 703500 neu fisf@flintshire.gov.uk



Family Information Service Flintshire
Gwasanaethau Gwybodaeth i Deuluoedd Sir y Fflint

CYNGOR Sir y Fflint Flintshire COUNTY COUNCIL

CABINET

Date of Meeting	Tuesday, 21st November 2017
Report Subject	Revenue Budget Monitoring 2017/18 (MONTH 6)
Cabinet Member	Leader of the Council and Cabinet Member for Finance
Report Author	Corporate Finance Manager
Type of Report	Operational

EXECUTIVE SUMMARY

This monthly report provides the current revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account. This report presents the position, based on actual income and expenditure, as at Month 6 of the financial year. The report projects how the budget would stand at the close of the financial year if all things remained equal.

The projected year end position, without mitigation to reduce cost pressures and improve the yield on efficiency planning is:-

Council Fund

- Net in year expenditure forecast to be £1.147m more than budget
- Projected contingency reserve balance as at 31 March 2018 of £3.935m

Housing Revenue Account

- Net in-year expenditure forecast to be £0.035m higher than budget
- Projected closing balance as at 31 March 2018 of £1.081m

RECOMMENDATIONS	
1	To note the overall report and the projected Council Fund contingency sum as at 31st March 2018.
2	To note the projected final level of balances on the Housing Revenue Account (HRA).

REPORT DETAILS

1.00	EXPLAINING THE MONTH 6 POSITION

1.01 **Council Fund Overall Position**

The operating deficit reported is £1.147m above budget which is a decrease of £0.201m from the deficit reported last month.

1.02 **Council Fund Latest In-Year Forecast**

The table below shows the projected position by portfolio.

TOTAL EXPENDITURE AND INCOME	Original Budget £m	Revised Budget £m	Projected Outturn £m	In-Year Over / (Under) spend £m
Social Services	61.471	62.439	62.732	0.292
Community & Enterprise	12.518	12.540	11.910	(0.630)
Streetscene & Transportation	27.467	27.580	29.578	1.998
Planning & Environment	5.043	4.932	5.351	0.419
Education & Youth	10.966	10.985	11.000	0.015
Schools	88.862	88.862	88.862	0.000
People & Resources	4.283	4.128	4.592	0.464
Governance	7.675	7.651	7.810	0.160
Organisational Change 1	5.801	5.821	5.900	0.079
Organisational Change 2	2.422	2.288	2.101	(0.187)
Chief Executive	3.008	2.940	2.827	(0.113)
Central & Corporate Finance	24.642	24.990	23.640	(1.350)
Total	**255.156**	**255.156**	**256.303**	**1.147**

1.03 The reasons for the projected variances are summarised within Appendix 1 with key significant portfolio variances explained in paragraphs 1.04 to 1.08 below. As has been the practice in recent years where a variance has been due to a conscious change to policy or practice, the resulting variance is managed corporately with the relevant portfolio not expected to meet any shortfall.

1.04 Streetscene & Transportation

There is a projected overspend of £1.998m within this portfolio.

The overspend partly comprises of the materialisation of some of the known significant risks identified when the 2017/18 budget was set by Council and other conscious changes to policy or practice as detailed in the Month 4

	report. The net position on the projected overspend excluding the above decisions is a net operating deficit of £0.657m. This has increased from previous reports as Public conveniences at Holywell and Mold (New Street) were due to close in April 2017 however they will not close until March 2018 resulting in an additional pressure of £0.063m.
1.05	Social Services The projected outturn for Out of County placements in Children's Services is currently £1.008m due to the number of Child and Parent placements, this is an increase of £0.307m from the figure reported in Month 5. This increase is due to additional placements and an increase in cost of a current placement. There is a reduction in the projected outturn within Adult Social Services which has reduced the financial impact of this increase. A reduction of £0.222m due to an increase in client contributions within Older People residential placements and a reduction in outturn of £0.213m due to a combination of additional Continuing Health Care funding and a reduction in college placement contributions within Disability Services.
1.06	Planning & Environment There is a projected in-year Planning Fee Income shortfall of £0.280m due to the impact of the Welsh Government requirements for major developers to enter into pre consultation as detailed in the previous report.
1.07	Community & Enterprise There is a projected underspend on the Council Tax Reduction Scheme (CTRS) of £0.448m based on existing demand which will be kept under review throughout the year together with a favourable variance on the Council Tax Collection fund of £0.155m.
1.08	Central & Corporate Finance There is a positive variance of £1.350m projected within this area which is mainly due to the finalisation of social care funding issues as detailed last month in the month 4 report. Other major variances within this area include a positive variance on the pension fund contributions due to a lower than anticipated pressure to fund the in-year increase due to the actuarial review of £0.288m offset by a shortfall in the income target of £0.412m and lower than anticipated levels of car parking income at County Hall of £0.080m.
1.09	Significant Movements between Month 5 and Month 6 Budget There has been an in-year accounting adjustment to transfer £0.595m from Social Services to Central & Corporate Finance as part of the finalisation of social care funding issues as detailed in the paragraph above (1.08).

1.10	<u>Achievement of Planned In-Year Efficiencies</u> The Council set a challenging target for the level of efficiencies to be achieved in year as part of its approach to annual budget planning. These efficiencies are generated from the three year service portfolio business plans and from corporate financial planning. The 2017/18 budget contains £8.433m of specific efficiencies which are tracked and monitored. In recent years the level of efficiency achievement has averaged at around 85% though the council aspires to raise this to 95% in 2017/18 as reflected in the recent MTFS KPI's. The current assessment of the efficiencies to be achieved in 2017/18 shows that £7.823m (93%) of the efficiencies would be achieved which is 2% lower than the target. There is a further risk that any ongoing under-achievement of efficiencies will have a recurring and negative impact on the 2018/19 budget. Further details on the current status on efficiencies can be seen in Appendix 3 with the overall impact in relation to any impact for 2018/19 being reviewed as part of the ongoing work on the MTFS.
1.11	**Tracking of In Year Risks and Emerging Issues** At the time of setting the Budget for 2017/18 a number of significant risks were identified including the costs of procuring local public and school bus services and the potential reduction of the Single Environment Grant.
1.12	In addition to the known risks referred to above there are also a number of new risks emerging in-year which have been incorporated into the projected outturn and will also need carefully assessing for the potential impact on the 2018/19 budget.
1.13	These new issues are being monitored as part of the ongoing review of the Councils financial position and are shown in the table below with the current M6 variances included as part of the overall position:

Council Tax Reduction Scheme (CTRS) – Reduction in demand	(0.448)
Council Tax Collection in year efficiency	(0.155)
Social Care Additional Funding in 17/18 – Estimated amount	(0.595)
Intermediate Care Fund (ICF) – Continuation of funding	(0.500)
Pension Deficit Recovery – Due to opt out and surplus allocation from budget pressure for actuarial review	(0.288)
Markets Income – Decline in levels of income	0.050
Gas Engine Income – Further decrease to level of income over and above pressure included in 2017/18 budget (£0.150m)	0.200
Planning Fee Income – In year shortfall due to pre consultation	0.280
Shared Specialist Plant – Previous year efficiency not achieved	0.050
Motor Insurance Premium Increase - In year increase not anticipated	0.100

Street Lighting increase in 2017/18 of 16%	0.131
County Hall Parking – Lower than anticipated efficiency on parking permits	0.080
Procurement Supplier Charging – Previous year efficiency not achievable	0.116
Environmental Health Officer temporary posts	0.120
Central and Corporate Support Service pressure offset by efficiency on Audit Fees	0.107
Single Environment Grant – reduction in grant allocation	0.111
Transportation Costs – procuring local and school transport	0.675
Out of County Placements – projected care package costs	1.008
Income – shortfall on income target	0.412
Total New Emerging Risks	**1.454**

1.14 **Inflation**

Included within the 2017/18 budget are provision for pay (£0.915m), targeted price inflation (£0.313m), food (£0.051m), fuel (£0.033m) and Energy (£0.061m).

1.15 Portfolios have received their allocations for pay and price and there is a remaining balance of £0.014m which is included within the overall outturn figure.

1.16 No allocations have been made so far for non-standard inflation (NSI) in 2017/18. Areas that may be subject to NSI increases will be monitored throughout the year and any emerging pressures will be considered in future reports though at present it is anticipated that the allocation will be spent in full.

1.17 **Reserves and Balances**

Un-earmarked Reserves

The 2016/17 outturn reported to Cabinet on 18 July 2017 showed un-earmarked reserves at 31 March 2016 (above the base level of £5.769m) of £5.133m.

1.18 Taking into account the current projected overspend at Month 6 and previously agreed allocations the balance on the Contingency Reserve at 31 March 2018 is projected to be £3.935m as detailed in appendix 4.

1.19 Earmarked Reserves

Earmarked reserves are monitored in accordance of the reserves protocol and summary of earmarked reserves as at 1 April 2017 and an estimate of projected balances as at the end of the financial year will be included in the month 7 report

1.20 **Housing Revenue Account**

The 2016/17 Outturn Report to Cabinet on 18 July 2017 showed an un-earmarked closing balance at the end of 2016/17 of £1.116m and a closing

	balance of earmarked reserves of £0.526m.
1.21	The 2017/18 budget for the HRA is £33.633m which includes a movement of £0.035m from reserves.
1.22	The Month 6 monitoring for the HRA is projecting in year expenditure to be £0.035m lower than budget and a closing un earmarked balance as at 31 March 2018 of £1.081m, which at 3.2% of total expenditure satisfies the prudent approach of ensuring a minimum level of 3%.

2.00	**RESOURCE IMPLICATIONS**
2.01	The Revenue Budget Monitoring Report reflects the planned use of the financial resources of the Council for the current financial year and details the variations in the first four months and the risks as known.

3.00	**CONSULTATIONS REQUIRED / CARRIED OUT**
3.01	None required.

4.00	**RISK MANAGEMENT**
4.01	There are in-year risks and emerging issues which are covered in the main section of the report from paragraph 1.11 to 1.13. Details of these risks were reported in full within the Month 4 report.

5.00	**APPENDICES**
5.01	Appendix 1: Council Fund – Movement in Variances from Month 5 Appendix 2: Council Fund – Budget Variances Appendix 3: Council Fund – Programme of Efficiencies Appendix 4: Council Fund – Movement on Un-earmarked Reserves Appendix 5: Housing Revenue Account Variances

6.00	**LIST OF ACCESSIBLE BACKGROUND DOCUMENTS**
6.01	None required. **Contact Officer:** Sara Dulson (Finance Manager) **Telephone:** 01352 702287 **E-mail:** sara.dulson@flintshire.gov.uk

7.00	**GLOSSARY OF TERMS**

7.01	**Budget:** a statement expressing the Council's policies and service levels in financial terms for a particular financial year. In its broadest sense it includes both the revenue budget and capital programme and any authorised amendments to them. **Council Fund:** the fund to which all the Council's revenue expenditure is charged. **Financial Year:** the period of twelve months commencing on 1 April. **Housing Revenue Account:** the Housing Revenue Account (HRA) is a local authority account showing current income and expenditure on housing services related to its own housing stock. The account is separate from the Council Fund and trading accounts and is funded primarily from rents and government subsidy. **Projected Outturn:** projection of the expenditure to the end of the financial year, made on the basis of actual expenditure incurred to date. **Reserves:** these are balances in hand that have accumulated over previous years and are held for defined (earmarked reserves) and general (general reserves) purposes. Councils are required to regularly review the level and purpose of their reserves and to take account of the advice of the Chief Finance Officer. **Revenue:** a term used to describe the day-to-day costs of running Council services and income deriving from those services. It also includes charges for the repayment of debt, including interest, and may include direct financing of capital expenditure. **Underspend:** when referring to expenditure the actual expenditure incurred is less than budget. Shown as a –ve. When referring to income the actual income achieved exceeds the budget. Shown as a –ve. **Variance:** difference between latest budget and actual income or expenditure. Can be to date if reflecting the current or most up to date position or projected, for example projected to the end of the month or financial year. **Virement:** the transfer of budget provision from one budget head to another. Virement decisions apply to both revenue and capital expenditure heads, and between expenditure and income, and may include transfers from contingency provisions. Virements may not however be approved between capital and revenue budget heads. **Medium Term Financial Strategy:** a written strategy which gives a forecast of the financial resources which will be available to a Council for a given period, and sets out plans for how best to deploy those resources to meet its priorities, duties and obligations.

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Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Movement between Periods (£m)	Narrative for Movement between Periods greater than £0.025m
Social Services		
Older People		
Resources & Regulated Services	(0.222)	Increased client contribution projections for Council residential placements and two further service users that the Council can now claim property income from.
Other Minor Variances	0.026	A number of minor variances each less than £0.025m
Disability Services		
Disability Services	(0.213)	Increased CHC Funding for two service users under Transition to Adulthood from BCUHB. In addition, the contribution the Council is required to make for four high cost Transition service users to Welsh Government for residential college placements has reduced.
Other Minor Variances	0.056	A number of minor variances each less than £0.025m
Mental Health Services		
Residential Placements	(0.031)	Increase in income forecasts for residential placements
Other Minor Variances	(0.000)	
Children's Services		
Out of County Placements	0.307	Net impact of changes in placements. The movement reflects two additional high cost placements and the increased cost of an existing service user into a high cost secure placement.
Other Minor Variances	0.021	
Development & Resources		
Business Systems & Financial Assessments	0.033	The cost of Maternity cover within the FACT Team and increased software licence fees.
Business Support Service	(0.030)	Staffing cost savings from the non-filling of vacancies projected to financial year end.
Good Health	(0.055)	Reallocation of staff costs to Social Services ADM (£0.018m). The balance in the movement is from recouping an overpayment under Voluntary Organisations and adjusting second half year payments.
Other Minor Variances	0.031	A number of minor variances each less than £0.025m
Total Social Services	**(0.076)**	
Community & Enterprise		
Customer And Housing Services	(0.003)	Minor variances only
Council Fund Housing	0.002	Minor variances only
Revenues & Benefits	(0.048)	Favourable movement on the budgeted provision for the Council Tax Reduction Scheme of (£0.048m).
Housing Programmes	(0.007)	Minor variances only
Total Community & Enterprise	**(0.057)**	
Streetscene & Transportation		
Ancillary Services & Performance		
Other Minor Variances	0.009	Minor variances
Highways Network		
Other Minor Variances	0.023	Minor variances
Transportation & Logistics		
Other Minor Variances	(0.030)	A number of minor variances each less than £0.025m
Total Streetscene & Transportation	**0.002**	
Planning & Environment		
Business		
Minor Variances	(0.009)	Minor variances

Community		
Minor Variances	(0.017)	Minor variances
Development		
Minor Variances	(0.008)	Minor variances
Access		
Minor Variances	(0.004)	Minor variances
Shared Services		
Minor Variances	0.000	
Strategy		
Minor Variances	(0.002)	Minor variances
Total Planning & Environment	**(0.041)**	
Education & Youth		
Inclusion & Progression	0.052	Adverse movement in variance on Out of County placements. Several new placements commenced during September 2017.
Integrated Youth Provision	(0.022)	Minor variances only
School Improvement Systems	(0.002)	Minor variances only
Business Change & Support	(0.009)	Minor variances only
Total Education & Youth	**0.019**	
Schools	**0.000**	
People & Resources		
HR & OD	(0.048)	Due to anticipated reallocation of costs within the Disclosure and Barring budget for £0.025m as well as a reduction in employee costs due to a new vacancy and reduction on a number of supplies and services budgets.
Corporate Finance	0.055	As part of the structural review some temporary workforce arrangements have been made permanent as well as extending temporary workforce arrangements until the end of the financial year as the roll out of manager self serve and the operating model review is taking longer than planned.
Total People & Resources	**0.006**	
Governance		
Legal Services	(0.028)	Reduced employee cost projections as vacancies are to be filled in the near future which has reduced the agency requirement.
Democratic Services	(0.000)	Minor variances.
Internal Audit	0.003	Minor variances.
Procurement	0.013	Minor variances.
ICT	(0.005)	Minor variances.
Minor Variances	0.000	
Total Governance	**(0.017)**	
Organisational Change 1		
Public Libraries & Arts, Culture & Events	0.000	Minor variances.
Museums		Minor variances.
County Archives		Minor variances.
Leisure	0.000	Minor variances.
Community Assets		Minor variances.
Minor Variances	0.000	
Total Organisational Change 1	**0.000**	
Organisational Change 2		
Administrative Buildings	(0.007)	Minor Variances
Property Asset And Development	(0.003)	Minor Variances
Caretaking & Security	(0.000)	Minor Variances
Industrial Units	0.017	Minor Variances
CCTV & Open Spaces	0.001	Minor Variances
Minor Variances	(0.001)	
Total Organisational Change 2	**0.007**	
Chief Executive	**0.006**	Minor variances.

Central and Corporate Finance	**(0.049)**	Apprentice Tax Levy, increased in year monthly payments are providing a more accurate projection.
Grand Total	(0.201)	

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Social Services						
Older People						
Localities	15.990	15.476	(0.514)	(0.530)	Residential and Nursing Care reflects a projected underspend of £0.226m,due to a decrease in service users, an increase in free nursing income from BCUHB and additional property income. Domiciliary Care reflects a projected underspend of £0.025m based on existing service users. Other underspends include a projected underspend of £0.086m on Intake/First Contact of which £0.065m is due to part year vacancy savings from within the Single Point of Access team. Locality Teams staffing reflects a projected underspend of £0.161m due to short term vacancy savings for a number of posts. Overall net minor variances amount to £0.016m.	Continue to monitor and review.
Reablement Services	0.469	0.384	(0.085)	(0.095)	Reablement reflects a projected underspend of £0.085m which is due to additional Continuing Health Care (CHC) funding from BCUHB	Continue to monitor and review.
Community Equipment Contribution	0.478	0.368	(0.110)	(0.110)	Following review of and implementation of an updated Section 33 partnership agreement for the North East Wales Community Equipment Store (NEWCES), the contribution levels of partners have been updated resulting in a reduced level of contribution from FCC going forward. In the longer term this saving has been earmarked for funding of some of the revenue costs for the new extra care facilities.	Future utilisation of the excess of budget to meet some of the revenue costs of the new extra care facilities.

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Resources & Regulated Services	5.983	5.480	(0.502)	(0.280)	The main influences on the projected underspend of £0.502m are short term vacancy savings within provider home care services (£0.093m) and extra care schemes (£0.172m), due to recruitment and retention difficulties in the care sector. Additional residential client contributions amount to £0.210m, together with a further projected underspend of £0.029m on Residential Care due mainly to short term vacancy savings.	Continue to monitor and review.
Minor Variances	0.234	0.232	(0.002)	(0.002)		
Disability Services						
Resources & Regulated Services	19.744	20.026	0.282	0.259	There are long standing and ongoing demand influenced pressures within the externally provided Supported Living services amounting to £0.250m.Work Opportunities and Day Services show a pressure of £0.125m, which is due to the delay in letting a new contract under an alternative delivery model (ADM). There is also a projected underspend of £0.142m on externally purchased care on Physical Disabilities and Sensory Impairment (PDSI).	Continue to monitor and review.
Disability Services	0.716	0.553	(0.163)	0.050	Increased CHC Funding for two service users under Transition to Adulthood from BCUHB. In addition, the contribution the Council is required to make for four high cost Transition service users to Welsh Government for residential college placements has reduced.	Continue to monitor and review.
Administrative Support	0.168	0.039	(0.130)	(0.139)	The projected underspend is due mainly to short term vacancy savings.	Continue to monitor and review.
Minor Variances	0.692	0.689	(0.003)	(0.027)		

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Mental Health Services						
Residential Placements	1.127	1.417	0.289	0.320	Ongoing pressure due to the numbers of long term residential placements, despite maximisation of opportunities to secure joint funding contributions from BCUHB	Continue to monitor and review.
Minor Variances	2.537	2.512	(0.025)	(0.025)		
Children's Services						
Family Placement	2.464	2.639	0.175	0.156	There is a long standing and ongoing pressure due to sustained demand influences beyond the level of the allocated budget.	Continue to monitor and review.
Family Support	0.296	0.352	0.055	0.058	There are pay pressures due to a combination of new contractual arrangements having been implemented for sessional workers and a number of the staff working significant additional hours.	Continue to monitor and review.
Professional Support	4.808	4.958	0.150	0.169	The projected overspend is due mainly to ongoing service pressures particularly within Prevention and Support, and is influenced by the need to support wider regional work on child protection issues	Continue to monitor and review.
Out of County Placements	3.641	4.648	1.008	0.701	This pressure is a continuation of the experience in 2016/17, where there was a significant increase in the number of high cost placements which was partly influenced by interpretations of additional responsibilities under the Social Services and Well-being (Wales) Act 2014.	Continue to monitor and review.
Minor Variances	1.277	1.264	(0.013)	(0.037)		

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Development & Resources						
Charging Policy income	(2.641)	(2.764)	(0.123)	(0.117)	The projected underspend is due to surplus income which is mainly caused by the full year impact of changes to disregard rules on financial assessments which came into effect from August 2016	Continue to m monitor and review.
Safeguarding Unit	0.810	0.930	0.119	0.105	There are continued significant demand influenced pressures on this service particularly within Adults safeguarding due to a significant increase in the numbers of referrals and the ongoing impact of the Deprivation of Liberty Safeguarding (DoLS) assessments.	Continue to monitor and review.
Good Health	0.941	0.804	(0.136)	(0.081)	The projected underspend is due to a number of short term vacancy savings and from recouping an overpayment from a Voluntary Organisation.	Continue to monitor and review.
Minor Variances	2.704	2.725	0.020	(0.006)		
Total Social Services	**62.439**	**62.732**	**0.292**	**0.369**		
Community & Enterprise						
Customer And Housing Services	1.580	1.580	(0.000)	0.003	Minor variances	
Council Fund Housing	(0.372)	(0.399)	(0.027)	(0.029)	Minor variances	
Regeneration	0.412	0.456	0.044	0.044	Minor variances	
Revenues & Benefits	10.780	10.150	(0.630)	(0.582)	Underspend on the budgeted provision for the Council Tax Reduction Scheme of (£0.448m). Surplus on the Council Tax Collection Fund of (£0.155m). Other minor variances (£0.027m).	Continue to monitor closely as these areas are highly volatile and projections are likely to change throughout the year.
Housing Programmes	0.140	0.124	(0.016)	(0.009)	Minor variances	
Total Community & Enterprise	**12.540**	**11.910**	**(0.630)**	**(0.572)**		

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Streetscene & Transportation						
Ancillary Services & Performance						
Waste Collection	7.118	7.495	0.377	0.377	Adverse variance of £0.200m relating to lower then anticipated energy production at the Landfill sites and reduced electricity sales from reducing levels of gas extraction. Environment and Sustainable Development (ESD) grant pressure £0.111m due to the reduction of the grant in 17/18 of 3.7%. Delay in the development of the new Rockcliffe HRC site resulting in additional running costs of two existing sites continuing to operate £0.050m	Energy production income levels being monitored monthly and contracts being prepared for the service to be outsourced. Reported in Programme Board Efficiency Tracker Keep under review as part of MTFS Indicative reduction in ESD grant for 18/19 of 6.9% - £0.202m
Parking & Enforcement	(0.084)	(0.026)	0.058	0.049	Shortfall of income from Flint Car Parking £0.050m. Part year pressure against £0.100m target due to the town centre re-development being ongoing and impacting on the rollout of changes across the town.	Keep under review as part of MTFS Reported in Programme Board Efficiency Tracker
Other Minor Variances	0.798	0.792	(0.006)	(0.006)		
Highways Network						
Highways Network	7.466	7.780	0.314	0.290	Due to ongoing discussions on Community Asset Transfers (CATs), the maintenance liability being transferred for Cemeteries to Town/Community Councils totalling £0.050m has not yet been implemented. Street lighting energy prices above the 2% standard with an increase of 16% resulting in a pressure of £0.131m. Public conveniences at Holywell and Mold (New Street) were due to close in April this year, however they will not close until March 2018 resulting in a pressure of £0.063m.	Keep under review as part of MTFS. Reported in Programme Board Efficiency Tracker. Continue to monitor street lighting energy prices.

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Transportation & Logistics						
Logistics & Resource Services	4.518	4.693	0.175	0.171	Shared specialist plant with neighbouring Authorities has not materialised from 16/17 business planning proposal £0.050m. Increase in Fleet insurance premium for 17/18 £0.100m	Keep under review as part of MTFS
School Transport	4.734	5.119	0.385	0.385	Ongoing additional subsidy costs following re-procurement for covering various school transport routes £0.185m. The transport costs of additional pupils from John Summers High School to Connahs Quay £0.200m	No additional funding from WG due to Statutory provision requirements. Ongoing consideration will be required in the MTFS taking account of the cost for future years. Hoping to reduce cost through the Transportation retendering exercise currently ongoing.
Transportation	1.598	2.293	0.695	0.695	Ongoing additional subsidy costs following re-procurement for covering various public transport routes and the delay in introducing the Bus Subsidy efficiency in 17/18 £0.695m Bus Subsidy efficiency saving of £0.145m unlikely to be achieved in year. This is to be offset from any additional savings recognised from the School Transports re-procurement exercise if these come to fruition.	Keep under review as part of MTFS. Reported in Programme Board Efficiency Tracker
Other Minor Variances	1.432	1.432	0.001	0.034		
Total Streetscene & Transportation	**27.580**	**29.578**	**1.998**	**1.996**		

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Planning & Environment						
Business						
Pollution Control	0.479	0.574	0.095	0.095	An increase in prosecutions for unlicensed and unsafe residential properties has resulted in two temporary Environmental Health Officers being recruited to deal with this increase in demand.	Business Case to be completed
Minor Variances	1.087	1.071	(0.016)	(0.007)		Continue to monitor committed expenditure and reduce/remove committed expenditure where possible
Community						
Pest Control	0.004	0.048	0.044	0.036	Despite the fact that the service has seen an increase in referrals during 2016/17 and onwards into 2017/18, the income target is unlikely to be achieved, based on current projections.	
Minor Variances	0.898	0.834	(0.064)	(0.039)		Continue to monitor committed expenditure and reduce/remove committed expenditure where possible
Development						
Development Management	(0.386)	(0.116)	0.271	0.277	The projected Planning Fee Income shortfall is currently £0.280m as the economy has not continued to recover to the extent which the 3 year Business Plan forecast.	An improving position at Period 06 following some high value application fees received during the months of July and August. Continue to monitor fee levels and amend projection as required.
Minor Variances	0.158	0.144	(0.014)	(0.012)		Continue to monitor committed expenditure and reduce/remove committed expenditure where possible

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Access						
Greenfield Valley	0.326	0.295	(0.031)	(0.030)	Vacancy savings and reduced zero hours contracts	Service review within Countryside is currently being undertaken
Minor Variances	1.015	1.023	0.008	0.011		Continue to monitor committed expenditure and reduce/remove committed expenditure where possible
Shared Services						
Minor Variances	0.177	0.177	0.000	(0.000)		Continue to monitor committed expenditure and reduce/remove committed expenditure where possible
Strategy						
Minor Variances	0.834	0.823	(0.011)	(0.008)		Continue to monitor committed expenditure and reduce/remove committed expenditure where possible
Management Strategy	0.340	0.476	0.136	0.136	Balance of Business Planning Efficiencies for Staffing	Continue to monitor committed expenditure and reduce/remove committed expenditure where possible
Total Planning & Environment	**4.932**	**5.351**	**0.419**	**0.460**		
Education & Youth						
Inclusion & Progression	6.833	6.880	0.047	(0.005)	Variance largely relates to Out of County placements £0.050m. Several new placements commenced during September 2017. Includes other minor variances from across the service (£0.003m).	Continue close monitoring arrangements and updates following moderation meetings.
Integrated Youth Provision	1.291	1.291	(0.000)	0.022	Minor variances only	
School Improvement Systems	1.873	1.860	(0.013)	(0.012)	Minor variances only	
Business Change & Support	0.377	0.358	(0.019)	(0.010)	Minor variances only	
Minor Variances	0.611	0.611	0.000	(0.000)		
Total Education & Youth	**10.985**	**11.000**	**0.015**	**(0.005)**		

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Schools	88.862	88.862	**0.000**	(0.000)		
People & Resources						
HR & OD	2.029	2.217	0.188	0.237	The overspend is due to partial achievement of business planning efficiency and loss of income contribution from Wrexham for Occupational Health Services	Service delivery options are being considered for the Occupational Health Service.
Corporate Finance	2.099	2.375	0.276	0.221	This is due to the roll out of manager self serve and the operating model review taking longer than planned.	Continue to look for income maximisation and progress structural review.
Total People & Resources	**4.128**	**4.592**	**0.464**	**0.458**		
Governance						
Legal Services	0.688	0.696	0.009	0.037	Minor variances.	Continue to monitor and review.
Democratic Services	1.924	1.927	0.003	0.003	Minor variances.	Continue to monitor and review.
Internal Audit	0.443	0.383	(0.060)	(0.063)	Due to in year vacancies within this service.	Underspend is expected to be in 2017/18 only as plans to recruit to a vacant post are in place.
Procurement	0.168	0.291	0.122	0.109	The overspend is due to a conscious decision to no longer pursue supplier income for registration onto the Council's payment portal.	Pressure to be considered as part of the MTFS.
ICT	4.428	4.513	0.085	0.090	The overspend is mostly due to partial achievement of business planning efficiencies.	Continue to monitor and review. It is expected that the business planning efficiencies will be met in full in 2018/19.
Total Governance	**7.651**	**7.810**	**0.160**	**0.176**		

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Organisational Change 1						
Public Libraries & Arts, Culture & Events	1.583	1.577	(0.006)	(0.006)	Minor variances.	Continue to monitor and review.
Museums	0.067	0.067	(0.000)	(0.000)	Minor variances.	Continue to monitor and review.
County Archives	0.286	0.286			Minor variances.	Continue to monitor and review.
Leisure	3.860	3.946	0.086	0.086	Aura Leisure and Libraries Ltd was established on 1st September. This was delayed by 2 months due to a range of queries in 3 separate responses from the Financial Conduct Authority (FCA) in registering the company. These issues are now resolved.	Continue to monitor and review.
Community Assets	0.024	0.024	0.000	0.000	Minor variances.	Continue to monitor and review.
Total Organisational Change 1	**5.821**	**5.900**	**0.079**	**0.079**		
Organisational Change 2						
Administrative Buildings	1.241	1.136	(0.105)	(0.098)	£0.098m estimated efficiencies from the closure of phases 3 and 4 in County Hall. Other minor variances	
Agricultural Estates	(0.189)	(0.153)	0.035	0.035	Minor Variances	
Property Holdings	0.015	0.027	0.012	0.010	Minor Variances	
Property Asset And Development	0.446	0.380	(0.066)	(0.062)	£0.062m in year salary savings. Other minor variances.	
Caretaking & Security	0.302	0.267	(0.035)	(0.034)	Minor variances	
CPM & Design Services	0.691	0.465	(0.225)	(0.217)	£0.139m projected additional fee income above target. £(0.070)m in year salary savings. Other minor variances.	
Industrial Units	(1.153)	(0.986)	0.168	0.151	£0.168m as a result of unachieved rental income. This will be offset against office efficiencies.	
CCTV & Open Spaces	0.184	0.216	0.032	0.031	Minor Variances	
Minor Variances	0.752	0.749	(0.003)	(0.009)		
Total Organisational Change 2	**2.288**	**2.101**	**(0.187)**	**(0.194)**		

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
Chief Executive	2.940	2.827	(0.113)	(0.119)	There are a number of in-year vacancy savings as well as underspends on specialist budgets such as sustainable development, Your Community/Your Council and public relations.	Continue to monitor and review.
Central and Corporate Finance	24.990	23.640	(1.350)	(1.301)	An underachievement on the income target of £0.412m, though work is continuing to identify areas of opportunity. Support Service recharge, has resulted in a shortfall of £0.234m due to a reduction in overall operating costs. Car parking permit income shortfall £0.080m. Reduced Audit fees, underspend of £0.127m. Social Services, one off in year underspend of (£1.608m) held centrally to mitigate any in year overspends - (£0.513m) resulting from remaining pressure budgeted in 17/18 no longer required, (£0.500m) due to funding being secured from the Regional Integrated Care Fund, a revenue grant allocation on a one off basis. An additional (£0.595m) of Welsh Government funding for Social Care has recently been confirmed.	Work is continuing to identify areas of opportunity to generate income. Keep under review as part of MTFS considerations. Social Services - Keep under review as part of MTFS considerations to assess 18/19 impact.

Budget Monitoring Report
Council Fund Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance	Action Required
					Projected Pension fund variance (£0.288m) due to surplus of budget required for increase in contributions in 2017/18. Apprentice Tax Levy underspend of (£0.049m), increased data has enabled a more accurate projection. Minor variances (£0.004m)	Pension Fund / Apprentice Tax Levy - Keep under review in year to consider potential for mitigation of 18/19 pressure.
Grand Total	**255.156**	**256.303**	**1.147**	**1.348**		

2017/18 Efficiencies Outturn - Under or Over Achieved

Portfolio	Original Efficiency 2017/18 £(m)	Revised Efficiency 2017/18 £(m)	(Under)/Over Achievement 2017/18 £(m)
People & Resources			
Finance - Implementation of Collaborative Planning Software to finance to improve and automate our processes thus enabling workforce efficiencies. Phased roll out of new finance model.	0.270	0.200	(0.070)
Review of Human Resources & Organisational Design operating model and job roles and various other efficiencies.	0.148	0.052	(0.096)
DBS recharges	0.058	0.025	(0.033)
Total People & Resources	**0.476**	**0.277**	**(0.199)**
Governance			
ICT - Reduction in management, staff and non pay costs.	0.350	0.264	(0.086)
Total Governance	**0.350**	**0.264**	**(0.086)**
Social Services			
Develop a 'progression' model for Supported Living.	0.250	0.076	(0.174)
Develop alternative approaches to in house day services and work opportunity schemes.	0.250	0.200	(0.050)
Total Social Services	**0.500**	**0.276**	**(0.224)**
Education & Youth			
Music Service to move to full cost recovery model.	0.035	0.014	(0.021)
Total Education & Youth	**0.035**	**0.014**	**(0.021)**
Organisational Change 1			
Alternative Delivery Models	0.415	0.335	(0.080)
Total Organisational Change 1	**0.435**	**0.355**	**(0.080)**
Community & Enterprise			
Council Tax Reduction Scheme.	0.200	0.648	0.448
Total Community & Enterprise	**0.200**	**0.648**	**0.448**
Streetscene & Transportation			
Develop energy production at landfill.	0.100	0.000	(0.100)
Review subsidised bus routes.	0.350	0.145	(0.205)
Total Streetscene & Transportation	**0.450**	**0.145**	**(0.305)**
Planning & Environment			
Staffing - management restructure.	0.125	0.062	(0.063)
Self financing for Public Protection Services.			
- Animal & Pest Control.			
- Licencing Charging.	0.030	0.000	(0.030)
Increase in planning fees (15% WG increase) and applications	0.015	0.000	(0.015)
Increase in number of planning applications	0.035	0.000	(0.035)
Total Planning & Environment	**0.205**	**0.062**	**(0.143)**

	%	£
Total 2017/18 Budget Efficiencies	**100**	**8.433**
Total Projected 2017/18 Budget Efficiencies Underachieved	**7**	**0.610**
Total Projected 2017/18 Budget Efficiencies Achieved	**93**	**7.823**

Appendix 4

Movements on Council Fund Unearmarked Reserves

	£m	£m
Total Reserves as at 1 April 2017	10.953	
Less - Base Level	(5.769)	
Total Reserves above base level available for delegation to Cabinet		5.184
Less – allocation from the Contingency Reserve to support initial set up costs and final technical support for the Community Asset Transfer (CAT) of Holywell Leisure Centre and Alternative Delivery Model (ADM) agreed in 2016/17		(0.050)
Less – allocation from the Contingency Reserve to provide financial support to meet in-year budget pressures in 2017/18 for regional economic structures and support for events		(0.052)
Less – projected outturn overspend		(1.147)
Total Contingency Reserve as at 31st March 2018		**3.935**

Budget Monitoring Report
Housing Revenue Account Variances

MONTH 6 - SUMMARY

Service	Revised Budget (£m)	Projected Outturn (£m)	Variance (£m)	Last Month Variance (£m)	Cause of Major Variance
Housing Revenue Account					
Income	(33.598)	(33.480)	0.118	0.022	£0.085m reflects the decision to delay implementation of Service Charges to 1st April 2018 (January 2018) to allow more time for full consultation. £0.034m relates to the loss of income on garages following refurbishments and demolition. The remaining balance relates to minor variances of £0.001m,
Capital Financing - Loan Charges	7.545	7.529	(0.016)	(0.016)	Minor Variance
Estate Management	1.633	1.638	0.005	0.017	Minor Variance
Landlord Service Costs	1.318	1.340	0.022	0.002	Minor Variance
Repairs & Maintenance	9.929	9.720	(0.208)	(0.172)	A saving of £0.208m is anticipated on Repairs and Maint. £0.98m relates to staffing costs, £0.120m relates to subcontractor spend. The remaining £0.010m relates to minor variances.
Management & Support Services	2.272	2.268	(0.004)	0.002	Minor Variance
Capital Expenditure From Revenue (CERA)	10.890	10.974	0.084	0.135	The variance of £0.084m relates to an increase in the contribution from revenue towards capital costs. This increase is possible because of decreased costs elsewhere in the HRA. Contributing towards the capital budget from revenue reduces the requirement to borrow.
HRA Projects	0.046	0.046	(0.000)		No Variance
Contribution To / (From) Reserves	(0.035)	(0.035)	0.000	0.009	No Variance
Total Housing Revenue Account	**0.000**	**0.000**	**0.000**	**(0.000)**	

CABINET

Date of Meeting	Tuesday 21st November 2017
Report Subject	Capital Programme Monitoring 2017/18 (Month 6)
Cabinet Member	Leader of the Council and Cabinet Member for Finance
Report By	Corporate Finance Manager
Type of Report	Operational

EXECUTIVE SUMMARY

The report summarises changes made to the Capital Programme 2017/18 since Month 4 to the end of month 6 (September 2017), along with expenditure to date and projected outturn.

The Capital Programme has seen a net increase of £1.836m during the period. This is comprised of:-

- Increases in the programme of £4.166m (CF £2.815m, HRA £1.351m);
- Partially offset by Carry Forward to 2018/19 of £2.330m approved by Cabinet at Month 4.

Actual expenditure was £23.174m.

Whilst all funding for 2017/18 schemes is in place, the Provisional Settlement announced by Welsh Government (WG) in October reduced annual capital funding by £0.118m, thereby increasing the shortfall in the total programme (2017/18 - 2019/20) by £0.236m. The current shortfall stands at £0.567m. The options for funding this were kept flexible. Options included a combination of future capital receipts, alternative grants, prudential borrowing or phasing schemes over several years that would be considered during 2017/18.

RECOMMENDATIONS	
1	Cabinet are requested to approve the overall report.
2	Cabinet are requested to approve the carry forward adjustments set out at 1.15.

REPORT DETAILS

1.00	EXPLAINING THE MONTH 6 CAPITAL PROGRAMME MONITORING POSITION- 2017/18

1.01 **Background**

The Council approved a Housing Revenue Account (HRA) capital programme for 2017/18 of £27.744m and a Council Fund (CF) capital programme of £19.435m at its meeting of 14th February, 2017.

1.02 For presentational purposes the capital programme is shown as a whole, with sub-totals for the Council Fund and HRA. In reality the HRA programme is ring fenced and can only be used for HRA purposes.

1.03 **Changes since Budget approval**

Table 1 below sets out how the programme has changed during 2017/18. More detailed cumulative information relating to each Portfolio is provided in Appendix A:-

Table 1

REVISED PROGRAMME	Original Budget 2017/18	Carry Forward from 2016/17	2017/18 Previously Reported			Changes - This Period	Revised Budget 2017/18
			Changes	Carry Forward to 2018/19	Savings		
	£m	£m	£m	£m	£m	£m	£m
Chief Executives	0.100	0	0	0	0	0	0.100
People & Resources	0.250	0.153	0	0	0	(0.150)	0.253
Governance	0.620	0.145	0	0	0	0	0.765
Education & Youth	7.792	0.453	0.612	0	0	0.124	8.981
Social Care	2.145	0	0	(1.725)	0	0.023	0.443
Community & Enterprise	5.044	0	0	0	0	0	5.044
Planning & Environment	0.000	1.011	0.047	(0.550)	0	0.018	0.526
Transport & Streetscene	2.110	0.820	3.347	(0.055)	0	2.742	8.964
Organisational Change 1	0.524	0	2.064	0	0	0.058	2.646
Organisational Change 2	0.850	1.007	0	0	0	0	1.857
Council Fund Total	19.435	3.589	6.070	(2.330)	0.000	2.815	29.579
Housing Revenue Account Total	27.744	0.000	0.000	0.000	0.000	1.351	29.095
Programme Total	**47.179**	**3.589**	**6.070**	**(2.330)**	**0.000**	**4.166**	**58.674**

1.04 **Carry Forward from 2016/17**

Carry forward sums from 2016/17 to 2017/18, totalling £3.589m (CF £3.589m, HRA £0.000m), were approved as a result of the quarterly monitoring reports presented to Cabinet during 2016/17.

1.05 **Changes during this period**

Changes during this period have resulted in a net increase in the programme total of £4.166m (CF £2.815m, HRA £1.351m). A summary of the changes, detailing major items, is shown in Table 2 below:-

Table 2

CHANGES DURING THIS PERIOD

	Para	£m
COUNCIL FUND		
Increases		
Highways - Streetlighting replacement	1.06	1.379
Waste CCP Grant - Household Recycling Centres	1.07	0.677
Highways - Resurfacing/Surface Dressing Works	1.08	0.436
Local Transport Grant - Deeside Quality Partnership Scheme	1.09	0.100
Other Aggregate Increases		0.223
		2.815
Decreases		
Other Aggregate Decreases		0.000
		0.000
Total		**2.815**
HRA		
Increases		
SHARP - Realignment of Batch 3 expenditure	1.10	1.351
Other Aggregate Increases		0.000
		1.351
Decreases		
Other Aggregate Decreases		0.000
		0.000
Total		**1.351**

1.06 A programme of replacing current street lighting bulbs with more energy efficient ones is underway. This is funded by an interest free Salix loan.

1.07 Works are ongoing to rationalise and enhance the Council's Household Recycling Centre's. This is funded by Collaborative Change Programme (CCP) Grant from WG.

1.08 Within highways maintenance, resources are available within revenue to fund short term patching and capital to fund longer term surface dressing/resurfacing. This increase reflects expenditure being prioritised on the latter.

1.09 WG is funding a study into developing the bus infrastructure along the B5129 corridor between Shotton/Connah's Quay and the Deeside Industrial Park.

1.10 In the Month 4 monitoring report, a potential overspend of £3.219m in Batch 3 of the SHARP programme was identified due to the re-phasing of expenditure from 2016/17. This was based on a full Batch 3 programme within 2017/18. Following a review of the start dates of these schemes with the contractor, this has now been reduced to £1.351m and funding introduced accordingly.

1.11 **Capital Expenditure compared to Budget**

Expenditure as at Month 6, across the whole of the capital programme was £23.174m. The breakdown of expenditure is analysed in Table 3, along with the percentage spend against budget. This shows that 39.50% of the budget has been spent (CF 28.28%, HRA 50.90%). Corresponding figures for Month 6 2016/17 were 49.83% (CF 56.41%, HRA 49.83%).

1.12 The table also shows a projected overspend (pending carry forward and other adjustments) of £0.065m on the Council Fund and an overspend of £1.000m on the HRA.

Table 3

EXPENDITURE	Revised Budget £m	Cumulative Expenditure Month 6 £m	Percentage Spend v Budget %	Projected Outturn £m	Variance Budget v Outturn (Under)/Over £m
Chief Executives	0.100	0.010	9.53	0.065	(0.035)
People & Resources	0.253	0	0.00	0.253	0
Governance	0.765	0	0.00	0.765	0
Education & Youth	8.981	2.858	31.82	8.981	0
Social Care	0.443	0.059	13.29	0.443	0
Community & Enterprise	5.044	3.257	64.57	5.525	0.481
Planning & Environment	0.526	0.150	28.50	0.495	(0.031)
Transport & Streetscene	8.964	1.376	15.35	8.588	(0.376)
Organisational Change 1	2.646	0.305	11.52	2.727	0.081
Organisational Change 2	1.857	0.351	18.89	1.802	(0.055)
Council Fund Total	29.579	8.364	28.28	29.644	0.065
Disabled Adaptations	1.030	0.257	24.95	1.030	0
Energy Schemes	0.350	0.141	40.22	0.350	0
Major Works	1.492	1.342	89.98	2.492	1.000
Accelerated Programmes	0.650	0.444	68.27	0.650	0
WHQS Improvements	16.518	9.403	56.92	16.518	0
SHARP Programme	9.055	3.223	35.60	9.055	0
Housing Revenue Account Total	29.095	14.810	50.90	30.095	1.000
Programme Total	**58.674**	**23.174**	**39.50**	**59.739**	**1.065**

1.13 Details of the variances for individual programme areas are listed in Appendix B, which includes the reasons, and remedial actions which may be required, where those variances exceed +/- 10% of the revised budget. In addition, where carry forward into 2018/19 has been identified, this is also included in the narrative.

1.14 For each of the areas above showing an overspend, Community & Enterprise, Org Change 1 and HRA Major Works, alternative funding sources are available, either from reserves or external contributions, and

these will be introduced prior to the Month 9 report to address the shortfall.

1.15 **Carry Forward into 2018/19**

During the quarter carry forward of £0.497m (all CF) has been identified which reflects reviewed spending plans across all programme areas; these committed amounts have been identified as now required to meet the cost of programme works and/or retention payments in 2018/19.

1.16 Information relating to each programme area is contained in Appendix B and summarised in Table 4 below:-

Table 4

CARRY FORWARD INTO 2018/19	Month 4 £m	Month 6 £m	Total £m
Chief Executives - CTC	0	0.035	0.035
Social Care	1.725	0	1.725
Planning & Environment	0.550	0.031	0.581
Transport & Streetscene	0.055	0.376	0.431
Organisational Change 1	0	0.055	0.055
Council Fund	**2.330**	**0.497**	**2.827**
Housing Revenue Account	**0**	**0**	**0.000**
TOTAL	**2.330**	**0.497**	**2.827**

1.17 **Additional Allocations**

No requests for additional resources have been received in this quarter.

1.18 **Savings**

No savings have been identified in the programme in this quarter.

1.19 **Funding of 2017/18 Approved Schemes**

The position at Outturn is summarised in Table 5 below:-

Table 5

FUNDING OF APPROVED SCHEMES

	£m	£m
Surplus from 2016/17	(4.688)	
Allocated to 2017/18 Budget	3.567	**(1.121)**
Increases		
Shortfall in Capital Programme	3.187	
Impact of Provisional Settlement	0.236	3.423
Decreases		
Actual In year receipts	(1.734)	
Savings		(1.734)
Funding - (Available)/Shortfall		**0.567**

1.20 The final outturn surplus from 2016/17 was £4.688m. Of this £3.567m was allocated to schemes in 2017/18 as part of the budget setting process.

In addition, schemes put forward for the years 2017/18 - 2019/20 showed a potential shortfall in funding of £3.187m.

The detail behind the above figures can be found in the report 'Council Fund Capital Programme 2017/18 - 2019/20' which was presented to Council on 14th February 2017.

As a result of the Provisional Settlement received on 10th October, 2017, there has been a reduction in Flintshire's capital funding of £0.118m per annum. This has had the effect of reducing available funding assumed when approving the 2017/18 - 2019/20 programme by £0.236m.

Actual in year receipts as at Month 6 amount to £1.734m.

Whilst all funding for 2017/18 schemes is in place, taken as a whole this indicates that there is still a shortfall in the total programme (2017/18 - 2019/20) of £0.567m. The options for funding this were kept flexible. Options included a combination of future capital receipts, alternative grants, prudential borrowing or phasing schemes over several years that would be considered during 2017/18. This will continue to be closely monitored and updates brought in future monitoring reports.

2.00	RESOURCE IMPLICATIONS
2.01	Financial implications - As set out in the body of the report.
2.02	Personnel implications - None directly as a result of this report.

3.00	CONSULTATIONS REQUIRED / CARRIED OUT
3.01	No consultation is required as a direct result of this report.

4.00	RISK MANAGEMENT
4.01	At this stage in the financial year and given the size and complexity of schemes within the programme, it is considered that the level of spend against budget is appropriate and poses no risk or negative impact for the Council e.g. loss of external funding.

5.00	APPENDICES
5.01	Appendix A: Capital Programme - Changes during 2017/18 Appendix B: Variances

6.00	LIST OF ACCESSIBLE BACKGROUND DOCUMENTS
6.01	Capital Programme monitoring papers 2017/18. **Contact Officer:** Andrew Elford Accountant **Telephone:** 01352 702291 **E-Mail:** andrew.j.elford@flintshire.gov.uk

7.00	GLOSSARY OF TERMS
7.01	**Budget Re-profiling:** Capital schemes are very dynamic and a number of factors can influence their timing and funding. Budget re-profiling assures that the correct resources are available in the correct accounting period to finance the actual level of expenditure. **Capital Expenditure:** Expenditure on the acquisition of **non-current assets** or expenditure which extends the useful life of an existing asset **Capital Programme:** The Council's financial plan covering capital schemes and expenditure proposals for the current year and a number of future years. It also includes estimates of the capital resources available to finance the programme. **Capital Receipts:** Receipts (in excess of £10,000) realised from the disposal of assets. **Carry Forward:** Carry forward occurs when schemes due to be completed in a given financial year are delayed until a subsequent year. In this case

	the relevant funding is carried forward to meet the delayed, contractually committed expenditure. **CERA: C**apital **E**xpenditure charged to **R**evenue **A**ccount. The Council is allowed to use its revenue resources to fund capital expenditure. However the opposite is not permissible. **Council Fund (CF):** The fund to which all the Council's revenue and capital expenditure is charged. **Housing Revenue Account (HRA):** The fund to which all the Council's revenue and capital expenditure relating to its housing stock is charged. **MRA:** Major Repairs Allowance. A general capital grant from WG for HRA purposes. **Non-current Asset:** A resource controlled (but not necessarily owned) by the Council, from which economic benefits or service potential are expected to flow to the Council for more than 12 months. **Target Hardening:** Measures taken to prevent unauthorised access to Council sites. **Unhypothecated Supported Borrowing (USB), commonly referred to as Supported Borrowing -** Each year Welsh Government provide Councils with a Supported Borrowing allocation. Councils borrow to fund capital expenditure equivalent to that annual allocation, Welsh Government then include funding to cover the revenue costs associated with the borrowing for future years within the Revenue Support Grant. The Council decides how this funding is spent. **Unsupported (Prudential) Borrowing:** Borrowing administered under the Prudential Code, whereby Authorities can set their own policies on acceptable levels and types of borrowing. The Prudential Framework allows Authorities to take out loans in response to overall cash flow forecasts and other factors provided they can show that the borrowing is to meet planned capital expenditure in the current year or the next three years.

APPENDIX A

CAPITAL PROGRAMME - CHANGES DURING 2017/18

	Original Budget 2017/18	Carry Forward from 2016/17	Previously Reported			Changes (Current)	Revised Budget 2017/18
			Changes	Carry Forward to 2018/19	Savings		
	£m	£m	£m	£m	£m	£m	£m
Council Fund :							
Chief Executives							
Clwyd Theatr Cymru	0.100	0	0	0	0	0	0.100
	0.100	**0.000**	**0.000**	**0.000**	**0.000**	**0.000**	**0.100**
People & Resources							
Headroom	0.250	0.010	0	0	0	(0.150)	0.110
Corporate Finance - H & S	0.000	0.143	0	0	0	0	0.143
	0.250	**0.153**	**0.000**	**0.000**	**0.000**	**(0.150)**	**0.253**
Governance							
Information Technology	0.620	0.145	0	0	0	0	0.765
	0.620	**0.145**	**0.000**	**0.000**	**0.000**	**0.000**	**0.765**
Education & Youth							
Education - General	0.250	0.032	(0.228)	0	0	0	0.054
Primary Schools	1.173	0.077	(0.573)	0	0	0	0.677
Schools Modernisation	5.952	0.072	0.359	0	0	0	6.383
Secondary Schools	0.417	0.027	0.754	0	0	0.124	1.322
Special Education	0.000	0.245	0.300	0	0	0	0.545
	7.792	**0.453**	**0.612**	**0.000**	**0.000**	**0.124**	**8.981**
Social Care							
Partnerships & Performance	0	0	0	0	0	0.023	0.023
Learning Disability	2.045	0	0	(1.725)	0	0	0.320
Children's Services	0.100	0	0	0	0	0	0.100
	2.145	**0.000**	**0.000**	**(1.725)**	**0.000**	**0.023**	**0.443**
Community & Enterprise							
Town Centre Regeneration	0	0	0.120	0	0	0	0.120
Vibrant & Viable Places	0	0	0	0	0	0	0.000
Affordable Housing	3.548	0	0	0	0	0	3.548
Private Sector Renewal/Improv't	1.496	0	(0.120)	0	0	0	1.376
	5.044	**0.000**	**0.000**	**0.000**	**0.000**	**0.000**	**5.044**
Planning & Environment							
Closed Landfill Sites	0	0.250	0	(0.250)	0	0	0.000
Engineering	0	0.631	0	(0.300)	0	0	0.331
Energy Services	0	0	0.037	0	0	0.006	0.043
Rights of Way	0	0	0.010	0	0	0.012	0.022
Planning Grant Schemes	0	0	0	0	0	0	0.000
Ranger Services	0	0	0	0	0	0	0.000
Townscape Heritage Initiatives	0	0.130	0	0	0	0	0.130
	0.000	**1.011**	**0.047**	**(0.550)**	**0.000**	**0.018**	**0.526**

CAPITAL PROGRAMME - CHANGES DURING 2017/18

	Original Budget 2017/18	Carry Forward from 2016/17	Previously Reported			Changes (Current)	Revised Budget 2017/18
			Changes	Carry Forward to 2018/19	Savings		
	£m	£m	£m	£m	£m	£m	£m
Transport & Streetscene							
Waste Services	0	0	0	0	0	0	0.000
Waste - CCP Grant	1.000	0	0	0	0	0.677	1.677
Engineering	0	0	0	0	0	0	0.000
Highways	1.110	0.448	1.400	0	0	1.965	4.923
Local Transport Grant	0	0	1.947	0	0	0.100	2.047
Solar Farms	0	0.372	0	(0.055)	0	0	0.317
	2.110	**0.820**	**3.347**	**(0.055)**	**0.000**	**2.742**	**8.964**
Organisational Change 1							
Leisure Centres	0.404	0	1.964	0	0	0	2.368
Recreation - Other	0	0	0	0	0	0	0.000
Play Areas	0	0	0.100	0	0	0.058	0.158
Libraries	0.120	0	0	0	0	0	0.120
	0.524	**0.000**	**2.064**	**0.000**	**0.000**	**0.058**	**2.646**
Organisational Change 2							
Administrative Buildings	0.600	0.302	0	0	0	0	0.902
Community Asset Transfers	0.250	0.705	0	0	0	0	0.955
	0.850	**1.007**	**0.000**	**0.000**	**0.000**	**0.000**	**1.857**
Housing Revenue Account :							
Disabled Adaptations	1.030	0	0	0	0	0	1.030
Energy Schemes	0.500	0	0	0	0	(0.150)	0.350
Major Works	1.472	0	0	0	0	0.020	1.492
Accelerated Programmes	0.450	0	0	0	0	0.200	0.650
WHQS Improvements	16.588	0	0	0	0	(0.070)	16.518
SHARP Programme	7.704	0	0	0	0	1.351	9.055
	27.744	**0.000**	**0.000**	**0.000**	**0.000**	**1.351**	**29.095**

Totals :

Council Fund	19.435	3.589	6.070	(2.330)	0	2.815	29.579
Housing Revenue Account	27.744	0	0	0	0	1.351	29.095
Grand Total	**47.179**	**3.589**	**6.070**	**(2.330)**	**0.000**	**4.166**	**58.674**

APPENDIX B

CHIEF EXECUTIVES

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Clwyd Theatr Cymru	0.100	0.010	0.065	(0.035)	(35)	0	**Carry Forward** - Timescale for full IT migration pushed back	Request approval to move funding of **£0.035m** to 2018/19	
Total	**0.100**	**0.010**	**0.065**	**(0.035)**	**(35)**	**0.000**			

PEOPLE & RESOURCES

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Corporate Finance - Health & Safety	0.143	0	0.143	0.000	0	0		Corporate provision - to be allocated as requested and approved	Any unspent allocation will be the subject of a carry forward request at outturn
Headroom	0.110	0	0.110	0.000	0	0		Corporate provision - to be allocated as requested and approved	Any unspent allocation will be the subject of a carry forward request at outturn
Total	**0.253**	**0.000**	**0.253**	**0.000**	**0**	**0.000**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

GOVERNANCE

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Information Technology	0.765	0.000	0.765	0.000	0	0			All projects are underway and full spend is anticipated by year end
Total	**0.765**	**0.000**	**0.765**	**0.000**	**0**	**0.000**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

EDUCATION & YOUTH

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Education - General	0.054	0.004	0.054	0	0	0			No Carry Forward identified at this stage
Primary Schools	0.677	0.609	0.677	0	0	0			No Carry Forward identified at this stage
Schools Modernisation	6.383	1.294	6.383	0	0	0			No Carry Forward identified at this stage
Secondary Schools	1.322	0.850	1.322	0	0	0			No Carry Forward identified at this stage
Special Education	0.545	0.100	0.545	0	0	0			No Carry Forward identified at this stage
Total	**8.981**	**2.858**	**8.981**	**0.000**	**0**	**0.000**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

SOCIAL CARE

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Partnerships & Performance	0.023	0.034	0.023	0.000	0	0.024	Expenditure wrongly allocated	Move to Children's Services	
Learning Disability	0.320	0	0.320	0.000	0	(1.725)			
Children's Services	0.100	0.025	0.100	0.000	0	0			
Total	**0.443**	**0.059**	**0.443**	**0.000**	**0**	**(1.701)**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

COMMUNITY & ENTERPRISE

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Town Centre Regeneration	0.120	0.130	0.173	0.053	44	0	Flint project overrun from previous year, now complete	The overspend is to be met from Reserves	
Vibrant & Viable Places	0.000	(0.019)	0.000	0.000		0			Awaiting outstanding retention payments
Affordable Housing	3.548	2.040	3.548	0.000	0	0			
Private Sector Renewal/Improvement	1.376	1.106	1.804	0.428	31	0	DFG's - Budget under pressure due to increased demand for statutory service. Group Repair - currently overbudget due to resudual expenditure	DFG overspend is to be met from Reserves. Group Repair - Client contributions are invoiced retrospectively and will be used to fund shortfall	Ongoing monitoring required
Total	**5.044**	**3.257**	**5.525**	**0.481**	**10**	**0.000**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

PLANNING & ENVIRONMENT

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Closed Landfill Sites	0	0	0	0		(0.250)			
Engineering	0.331	0.027	0.300	(0.031)	(9)	(0.300)	**Carry Forward** - Situation remains as reported in Month 4 regarding WG pipeline programme	Request approval to move funding of **£0.031m** to 2018/19. This funding will be held pending the confirmation of future WG funding	Continue to develop 5 year Capital Programme. Deliver specific on going projects to completion by the targeted deadline of 31st March, 2018
Energy Services	0.043	0.036	0.043	0	0	0			
Rights of Way	0.022	0.018	0.022	0	0	0			
Planning Grant Schemes	0	0	0	0		0			
Ranger Services	0	0	0	0		0			
Townscape Heritage Initiatives	0.130	0.070	0.130	0	0	0			
Total	**0.526**	**0.150**	**0.495**	**(0.031)**	**(6)**	**(0.550)**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

TRANSPORT & STREETSCENE

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Waste Services - Collaborative Change Programme (CCP)	1.677	0.211	1.677	0	0	0			
Waste Services - Other	0	0	0	0		0			
Engineering	0	0	0	0		0			
Highways	4.923	1.123	4.853	(0.070)	(1)	0.150	**Carry Forward** - Principal inspection due in summer of 2018	Request approval to move funding of **£0.070m** to 2018/19	
Local Transport Grant	2.047	0.042	2.047	0	0	0			
Solar Farms	0.317	0.000	0.011	(0.306)	(97)	(0.055)	**Carry Forward** - Awaiting options appraisal for use of energy produced by the solar farms. Once approved work is likely to start early 2018/19	Request approval to move funding of **£0.306m** to 2018/19	
Total	**8.964**	**1.376**	**8.588**	**(0.376)**	**(4)**	**0.095**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

ORGANISATIONAL CHANGE 1

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Leisure Centres	2.368	0.060	2.368	0.000	0	0			Condition survey works are fully committed and completion is due by the end of the year. The works at Jade Jones Pavilion & Mold Leisure Centre are due to commence Jan 2018
Recreation - Other	0.000	0.000	0.000	0.000		0			
Play Areas	0.158	0.239	0.239	0.081	51	0		S106 and match funding to be drawn down as schemes develop	Match funding monies due from AURA to meet expenditure
Libraries	0.120	0.006	0.120	0.000	0	0			
Total	**2.646**	**0.305**	**2.727**	**0.081**	**3**	**0.000**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

ORGANISATIONAL CHANGE 2

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Administrative Buildings	0.902	0.187	0.847	(0.055)	(6)	0	**Carry Forward** - delay to Legionella works at Theatr Clwyd	Request approval to move funding of **£0.055m** to 2018/19	
Community Asset Transfers	0.955	0.163	0.955	0.000	0	0		Expenditure is incurred as and when schemes are signed off	Any unspent allocation will be the subject of a carry forward request at outturn
Total	**1.857**	**0.351**	**1.802**	**(0.055)**	**(3)**	**0.000**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

HOUSING REVENUE ACCOUNT

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Disabled Adaptations	1.030	0.257	1.030	0	0	0			
Energy Services	0.350	0.141	0.350	0	0	0			
Major Works	1.492	1.342	2.492	1.000	67	0.500			There has been an increase in the number of Major Voids resulting in the potential £1.000m overspend, this is to be met from CERA or Prudential Borrowing
Accelerated Programmes	0.650	0.444	0.650	0	0	0			
WHQS Improvements	16.518	9.403	16.518	0	0	(0.500)			
SHARP	9.055	3.223	9.055	0	0	3.219			
Total	**29.095**	**14.810**	**30.095**	**1.000**	**3**	**3.219**			

Variance = Budget v Projected Outturn

APPENDIX B (Cont.)

SUMMARY

Capital Budget Monitoring 2017/18 - Month 6

Programme Area	Total Budget £m	Actual Exp. £m	Projected Outturn £m	Variance (Under)/ Over £m	Variance %age %	Variance Prev Qtr £m	Cause of Variance	Action Required	Comments
Chief Executive's	0.100	0.010	0.065	(0.035)	(35)	0			
People & Resources	0.253	0	0.253	0	0	0			
Governance	0.765	0.000	0.765	0	0	0			
Education & Youth	8.981	2.858	8.981	0	0	0			
Social Care	0.443	0	0.443	0.000	0	(1.701)			
Community & Enterprise	5.044	3.257	5.525	0.481	10	0			
Planning & Environment	0.526	0.150	0.495	(0.031)	(6)	(0.550)			
Transport & Streetscene	8.964	1.376	8.588	(0.376)	(4)	0.095			
Organisational Change 1	2.646	0.305	2.727	0.081	3	0			
Organisational Change 2	1.857	0.351	1.802	(0.055)	(3)	0			
Sub Total - Council Fund	**29.579**	**8.364**	**29.644**	**0.065**	**0**	**(2.156)**			
Housing Revenue Account	29.095	14.810	30.095	1.000	3	3.219			
Total	**58.674**	**23.174**	**59.739**	**1.065**	**2**	**1.063**			

Variance = Budget v Projected Outturn

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CABINET

Date of Meeting	Tuesday, 21st November 2017
Report Subject	Council Tax Base for 2018-19
Cabinet Member	Cabinet Member for Corporate Management and Assets
Report Author	Chief Officer (Community & Enterprise)
Type of Report	Operational

EXECUTIVE SUMMARY

Setting of the Council Tax Base is integral to the revenue budget and Council Tax setting process for 2018-19 and allows the Council, Police & Crime Commissioners Office for North Wales and Town/Community Councils to calculate next year's Council Tax precept.

The Base for 2018-19 has been calculated as 63,835 band D equivalent properties, after taking into account the total number of properties that will be subject to Council Tax, less those which are exempt from Council Tax or where household discounts apply.

Setting in the Tax Base at 63,835 also represents growth in the Tax Base 0.46% compared to the previous year, equivalent to an increase of 292 band D equivalent properties.

RECOMMENDATIONS	
1	Approve the Tax Base of 63,835 band D equivalent properties (as shown in Appendix 1) for the financial year 2018-19.
2	Continue to set a 'nil' discount for properties falling within any of the Prescribed Classes (A, B or C) and for this to apply to the whole of the County area.
3	Continue to set a 50% Premium for long term empty property and second homes falling into the Premium scheme.

REPORT DETAILS

1.00	EXPLAINING THE COUNCIL TAX BASE FOR 2018-19
1.01	The Council fulfils the role of 'billing authority' for the collection of Council Tax and each year is required to set the Council Tax Base for the following tax year.
1.02	The calculation of the Base is always set as at 31st October each year which then allows the Council, the Police & Crime Commissioner for North Wales and Town/Community Council's to calculate next year's Council Tax precepts based on the estimated number of chargeable properties which are expressed as an equivalent number of Band 'D' properties.
1.03	From April 2017, local authorities in Wales, are able to use discretionary powers to charge a Council Tax premium of up to 100% of the standard rate of Council Tax on long term empty homes (unoccupied and unfurnished) and second homes (second homes/holiday homes that are furnished and not someone's main residence).
1.04	Last year, the Council made a determination to introduce a 50% Premium for April 2017 and the continuation of this scheme in 2018-19 forms part of the Council Tax Base for 2018-19. So far this scheme has seen 212 properties returned to occupation.
1.05	Once approved, the Tax Base calculations are also supplied to Welsh Government and are then used for the calculation and distribution of Revenue Support Grant in the annual provision and the final local authority revenue settlement.
1.06	The calculation of the Tax Base for 2018-19 is the measure of the taxable capacity for all areas in the County, including the additional taxable capacity for the Council Tax Premium, and is calculated in accordance with prescribed rules. The starting point for determining the Base is the Valuation List supplied by the Valuation Office Agency. The total number of properties in each band is then reduced by exemptions and discounts. Discounts include, for example, reductions for single-persons or students.
1.07	The latest Tax Base has been calculated using a projected collection level of 99.0% with a small 1% provision for bad debts. This ensures the Council continues to set the very highest collection level in Wales and demonstrates the successes in collecting Council Tax.
1.08	To determine the final level of the Base, the Council is also required to determine what discount, if any, is awarded to owners of second or holiday homes (otherwise known as Prescribed Discount Class A and B properties) or long term empty properties (Prescribed Discount Class C).
1.09	The Council Tax Base for 2018-19 has also been calculated in line with the current policy of not awarding discounts to any Prescribed Class coupled with the fact that some properties are liable to pay the 50% Premium.

2.00	RESOURCE IMPLICATIONS
2.01	Setting the Tax Base is part of an annual process of determining Council Tax charges for the next financial year as part of the Councils overall 2018-19 budget preparations.
2.02	The Tax Base is also used by the Police & Crime Commissioner and Town/Community Council's to set their Council Tax precepts for 2018-19, which will be included in the bills sent to every Council Tax payer in March 2018.

3.00	CONSULTATIONS REQUIRED / CARRIED OUT
3.01	None.

4.00	RISK MANAGEMENT
4.01	The Council has a statutory duty to set a Council Tax Base and the proposed Tax Base is a complex calculation requiring an accurate forecast for the next financial year of the number of chargeable properties after taking into account new builds, property exemptions and discount schemes, including those properties that are subject to the 50% Council Tax Premium scheme.
4.02	The key risks centre around financial prudence and accuracy of forecasts used to determine the Tax Base.
4.03	The Base is largely based on property data and discount levels at a fixed point in time (October 2017) but includes a bad debt provision of 1% for non-collection, giving a forecast collection rate of 99.0%. This is consistent with previous collection levels and minimises financial risk to the Council.
4.04	The Council Tax Base at 63,835 sets a Base at an accurate level to ensure as far as possible that a deficit does not occur in the Collection Fund.
4.05	Officers carefully track and monitor the Tax Base and Collection Fund performance on a monthly basis and the results feed into corporate budget monitoring and reporting processes.

5.00	APPENDICES
5.01	Appendix 1 shows the breakdown of the Tax Base for 2018-19 by Town and Community area.

6.00	LIST OF ACCESSIBLE BACKGROUND DOCUMENTS
6.01	Local Government Finance Act 1992 – section 68 Local Authorities (Calculation of C.Tax Base) (Wales) Regulations 1995 The Local Authorities (Calculation of Council Tax Base) (Wales) (Amendment) Regulations 2016 Council Tax (Prescribed Classes of Dwellings) (Wales) Regulations 2004 Housing (Wales) Act 2014 – section 139 **Contact Officer:** David Barnes, Revenues Manager **Telephone:** 01352 703652 **E-mail:** david.barnes@flintshire.gov.uk

7.00	GLOSSARY OF TERMS
7.01	**Revenue Support Grant**: the annual amount of money the Council receives from Welsh Government to partly fund services, alongside revenue from Council Tax and other income the Council raises locally. Councils can decide how to use this grant across services although their freedom to allocate according to local choice can be limited by guidelines set by Government. **Tax Base**: is a measure of the Councils 'taxable capacity' taking into account the number of chargeable properties after exemptions and discounts. **Chargeable Dwellings:** are all properties deemed to fall liable to Council Tax which appear in the Valuation List. **Prescribed Discount Classes**: Special rules apply to certain dwellings where no-one is resident. In these cases, a Welsh billing authority may make a determination to apply a lower rate of discount or, to apply no discount at all. These classes are: Class A – property which is unoccupied and furnished but where occupation is prohibited by law for over 28 days each year Class B – property which is unoccupied and furnished and where occupation is not prohibited by law Class C – property which is unoccupied and substantially unfurnished beyond the normal exemption period. **Council Tax Premium:** an additional amount of Council Tax of up to 100% (a premium) can be charged by local authorities in Wales for property defined by Regulations as either being second homes or long term empty property. For the purposes of charging a premium a long term empty home is defined as a property which is unoccupied and substantially unfurnished for a continuous period of at least 1 year. A second home is defined as a dwelling

	which is not a person's sole or main residence and is substantially furnished. There are some exceptions from the Council Tax premium for a period of up to 12 months. For example, if the property is being actively marketed for rent or sale.

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APPENDIX 1 - COUNCIL TAX BASE FOR 2018-19

Community	2018-19 Properties at Band 'D'	2017-18 Properties at Band 'D'
Argoed	2,467.27	2,461.95
Bagillt	1,477.33	1,478.04
Broughton & Bretton	2,701.35	2,660.14
Brynford	477.97	476.90
Buckley	6,645.29	6,639.60
Caerwys	621.14	624.25
Cilcain	732.97	743.28
Connahs Quay	6,157.86	6,099.93
Flint	4,598.48	4,580.04
Gwernaffield & Pantymwyn	1,033.75	1,027.84
Gwernymynydd	563.23	560.89
Halkyn	1,356.10	1,361.79
Hawarden	6,320.80	6,321.93
Higher Kinnerton	843.04	838.14
Holywell	3,324.14	3,265.40
Hope	1,843.47	1,859.72
Leeswood	850.13	838.09
Llanasa	1,961.51	1,940.90
Llanfynydd	884.18	864.88
Mold	4,329.86	4,342.94
Mostyn	711.12	713.03
Nannerch	273.38	271.91
Nercwys	308.50	306.59
Northop	1,554.25	1,534.01
Northop Hall	820.63	819.15
Penyffordd	2,021.94	1,994.20
Queensferry	695.08	700.43
Saltney	1,871.46	1,867.06
Sealand	1,176.40	1,155.47
Shotton	2,201.95	2,190.98
Trelawnyd & Gwaenysgor	413.79	418.58
Treuddyn	758.80	758.82
Whitford	1,158.01	1,154.74
Ysceifiog	679.82	671.38
Total Band 'D' Equivalent Properties	**63,835.00**	**63,543.00**

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EXERCISE OF DELEGATED POWERS – DECISIONS TAKEN

Streetscene and Transportation

- **Proposed Prohibition and Restriction of Waiting and Loading and Parking Places. Civil Enforcement and Consolidation Order. Amendment No. 9.**
  To advise Members of an objection received following the advertisement of the proposed Prohibition and Waiting and Loading and Parking Places Amendment No. 9 Order, which introduces No Waiting At Any Time and Limited Waiting on Phoenix Street, Wood Street, Fairway, Watkin Street, North Street, Harrison Grove, Evansleigh Drive and Lawrence Street, Sandycroft.

- **Proposed Prohibition and Restriction of Waiting and Loading and Parking Places. Civil Enforcement and Consolidation Order. Amendment No. 4.**
  To advise Members of the objections received following the advertisement of the proposed Prohibition and Restriction of Waiting and Loading and Parking Places Amendment No. 4 Order, which introduces No Waiting At Any Time, Limited Waiting and Limited Parking on Cymau Road and Wyndham Drive, Abermorddu.

- **Street Works Fees and Charges for 2018/19**
  The fees and charges levied for various licenses and applications issued within Street Works have been reviewed and the proposed charges for 2018/19 are set out in the table in the delegated powers form.

Planning and Environment (Community and Business Protection)

- **Buckley Precinct Public Space Protection Order**
  Following an investigation into vehicle nuisance at the Buckley Precinct Car Park, Buckley, and a subsequent consultation, there has been positive support for Flintshire County Council to make a Public Space Protection Order (PSPO), prohibiting drivers of motor vehicles from engaging in a number of anti-social acts on the site. Proposed implementation date is 24th November 2017 for a period of three years.

- **Annual Increase in Fees and Charges 2017/18**
  Revised fees and charges document for Community and Business Protection for 2017/18.

Organisational Change

- **Community Asset Transfer of Northop Allotment Gardens at St Peters Park, Northop**
  The Community Asset Transfer (CAT) of Northop Allotment Gardens as detailed on the plan in the delegated powers report shown in red.

- **Former Garaging Site, Wirral View, Rhewl, Mostyn**
  This garaging site is now disused and is being sold to an occupier of two remaining garage plots on the site.

Copies of the Delegated Powers reports are on deposit in the Team Leader's Room, Committee Services.

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FLINTSHIRE COUNTY COUNCIL FORWARD WORK PROGRAMME ITEMS
COUNCIL, CABINET, AUDIT AND GOVERNANCE & SCRUTINY
1 November 2017 TO 30 April 2018

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
November					
Flintshire County Council	14/11/17	Chief Executive's	**Council Fund Budget 2018/19 Stage One** To update on the Council Fund Budget forecast 2018/19 following the Welsh Local Government Provisional Settlement and to approve stage one budget proposals.		
Flintshire County Council	14/11/17	Governance	**Overview & Scrutiny Annual Report 2016/17** To consider and approve the Overview & Scrutiny Annual Report for 2016/17.		

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Flintshire County Council	14/11/17	Chief Executive's	**Community Review Guidance and Boundary Commission Consultation on Community Reviews** To present the Boundary Commission Consultation on Community Reviews and to invite a Council response.		
Flintshire County Council	14/11/17	Chief Executive's	**2018 REVIEW OF PARLIAMENTARY CONSTITUENCIES** To seek views on the revised proposals made by the Boundary Commission for Wales on the 2018 review of Parliamentary Constituencies of Flint & Rhuddlan and Alyn & Deeside.		
Community and Enterprise Overview & Scrutiny Committee	15/11/17	Community and Enterprise	**Sheltered Housing Review** To share the outcomes of the Sheltered Housing Review	Operational	Deputy Leader of the Council and Cabinet Member for Housing
Community and Enterprise Overview & Scrutiny Committee	15/11/17	Community and Enterprise	**Welfare Reform Update** To provide an update on Welfare Reform including the roll out of Universal Credit	Operational	Deputy Leader of the Council and Cabinet Member for Housing

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Community and Enterprise Overview & Scrutiny Committee	15/11/17	Community and Enterprise	**SHARP Programme** To provide an update on the SHARP Programme and review the standard of new build homes	Operational	Deputy Leader of the Council and Cabinet Member for Housing
Community and Enterprise Overview & Scrutiny Committee	15/11/17	Chief Executive's	**Quarters 1 & 2 Council Plan 2017/18 Monitoring Report** To consider the Quarters 1 & 2 Council Plan Monitoring Reports	Strategic	Deputy Leader of the Council and Cabinet Member for Housing, Cabinet Member for Economic Development
Community and Enterprise Overview & Scrutiny Committee	15/11/17	Overview and Scrutiny	**Forward Work Programme (Community & Enterprise)** To consider the Forward Work Programme of the Community & Enterprise Overview & Scrutiny Committee	Operational	Not Applicable
Corporate Resources Overview & Scrutiny Committee	16/11/17	Overview and Scrutiny	**North Wales Fire & Rescue Authority Update** To receive an update on the future of the Fire and Rescue Services in North Wales.	Operational	

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Corporate Resources Overview & Scrutiny Committee	16/11/17	Chief Executive's	**Council Plan 2017/18 – Mid year monitoring** To review the levels of progress in the achievement of activities, performance levels and current risk levels as identified in the Council Plan 2017/18.	Strategic	Leader of the Council and Cabinet Member for Finance
Corporate Resources Overview & Scrutiny Committee	16/11/17	Finance	**Revenue Budget Monitoring 2017/18 (Month 6) and Capital Programme Monitoring (Month 6)** To provide the latest revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account (based on actual income and expenditure as at Month 6 projected forward to year end). To provide information on Month 6 of the Capital Programme 2017/18.	Operational	Leader of the Council and Cabinet Member for Finance

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Corporate Resources Overview & Scrutiny Committee	16/11/17	Chief Executive's	**Council Plan 2017/18 - Mid Year Monitoring** To review the levels of progress in the achievement of activities, performance levels and current risk levels as identified in the Council Plan 2017/18.	Strategic	Leader of the Council and Cabinet Member for Finance, Cabinet Member for Corporate Management and Assets
Corporate Resources Overview & Scrutiny Committee	16/11/17	Overview and Scrutiny	**Forward Work Programme** To consider the Forward Work Programme of the Corporate Resources Overview & Scrutiny Committee.	Operational	
Social & Health Care Overview & Scrutiny Committee	16/11/17	Social Services	**Free Childcare Offer** To provide an update on the developing free childcare offer programme.	Operational	Cabinet Member for Social Services
Social & Health Care Overview & Scrutiny Committee	16/11/17	Chief Executive's	**Quarters 1 & 2 Council Plan 2017/18 Monitoring Report** To consider the Quarters 1 & 2 Council Plan Monitoring Reports	Strategic	Cabinet Member for Social Services
Social & Health Care Overview & Scrutiny Committee	16/11/17	Social Services	**Safeguarding Adults and Children** To receive a progress report on safeguarding and current issues	Operational	

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Social & Health Care Overview & Scrutiny Committee	16/11/17	Social Services	**Older People's Strategy and Ageing Well Plan** To provide Members with an update on the work that is taking place to implement the Strategy for Older People in Wales, with a specific focus on the Ageing Well Plan	Strategic	Cabinet Member for Social Services
Social & Health Care Overview & Scrutiny Committee	16/11/17	Overview and Scrutiny	**Forward Work Programme (Social & Health Care)** To consider the Forward Work Programme of the Social & Health Care Overview & Scrutiny Committee	Operational	Not Applicable
Environment Overview & Scrutiny Committee	20/11/17	Streetscene and Transportation	**The Introduction of Garden Waste Charges** To seek a recommendation to Cabinet to approve the mechanism for introducing charges for the garden waste service	Operational	Cabinet Member for Streetscene and Countryside

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Environment Overview & Scrutiny Committee	20/11/17	Streetscene and Transportation	**The Management of Standard and Brookhill Landfill Sites** To inform Scrutiny of the outcome of the recent tender for the maintenance of the two landfill sites and provide details of the likely energy production levels from the two sites over the coming years	Operational	Cabinet Member for Streetscene and Countryside
Cabinet	21/11/17	Chief Executive's	**Council Fund Budget 2018/19 – Stage 2** To outline the process for developing and agreeing Stage 2 of the Council Fund Budget for 2018/19.	Strategic	Leader of the Council and Cabinet Member for Finance
Cabinet	21/11/17	Finance	**Revenue Budget Monitoring 2017/18 (MONTH 6)** To provide the latest revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account (based on actual income and expenditure as at Month 6 projected forward to year end).	Operational	Leader of the Council and Cabinet Member for Finance

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Cabinet	21/11/17	Governance	**Procurement of a New Agency Contract** To approve the procurement of a new agency contract.	Operational	Cabinet Member for Corporate Management and Assets
Cabinet	21/11/17	Community and Enterprise	**Council Tax Base for 2018-19** To approve the Council Tax Base for 2018-19.	Operational	Cabinet Member for Corporate Management and Assets
Cabinet	21/11/17	Governance	**North East Wales Homes Limited** To request approval regarding Flintshire County Council's member nomination(s) for the Board of Directors.	Operational	Cabinet Member for Corporate Management and Assets
Cabinet	21/11/17	Education and Youth	**3 - 4 Year Old Funded Child Care Offer** For Cabinet to consider and approve the extension of the free childcare offer programme.	Operational	Cabinet Member for Education, Cabinet Member for Social Services
Cabinet	21/11/17	Community and Enterprise	**Welfare Reform Update – Including Universal Credit** To provide an update on Welfare Reform including an update on Universal Credit	Operational	Cabinet Member for Corporate Management and Assets

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Cabinet	21/11/17	Chief Executive's	**Council Plan 2017/18 – Mid year monitoring** To agree the levels of progress in the achievement of activities, performance levels and current risk levels as identified in the Council Plan 2017/18.	Operational	Leader of the Council and Cabinet Member for Finance
Cabinet	21/11/17	Chief Executive's	**Capital Programme 2017/18 (Month 6)** To provide the Month 6 (end of September) capital programme information for 2017/18.	Operational	Leader of the Council and Cabinet Member for Finance
Audit Committee	22/11/17	Chief Executive's	**Treasury Management Mid-Year Review 2017/18 and Quarter 2 Update** To present to Members the draft Treasury Management Mid-Year Review 1st April – 30th September 2017 for comments and recommendation for approval to Cabinet.	Operational	

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Audit Committee	22/11/17	Governance	**Joint Protocol between Internal Audit and Wales Audit Office** To present to the Committee the updated Joint Protocol between Internal Audit and Wales Audit Office	All Report Types	
Audit Committee	22/11/17	Chief Executive's	**Financial Procedure Rules** To provide Audit Committee with updated Financial Procedure Rules for recommendation to County Council.	All Report Types	Leader of the Council and Cabinet Member for Finance
Audit Committee	22/11/17	Chief Executive's	**Use of Consultants** To present to the Committee an update on the process and procedures around consultancy expenditure	Operational	Leader of the Council and Cabinet Member for Finance
Audit Committee	22/11/17	Governance	**Internal Audit Progress Report** To present to the Committee an update on the progress of the Internal Audit Department.	All Report Types	

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Audit Committee	22/11/17	Governance	**Action Tracking** To inform the Committee of the actions resulting from points raised at previous Audit Committee meetings	All Report Types	
Audit Committee	22/11/17	Governance	**Forward Work Programme** To consider the Forward Work Programme of the Internal Audit Department	All Report Types	
Education and Youth Overview & Scrutiny Committee	23/11/17	Education and Youth	**Use of Social Media and Internet Safety** To provide the Committee with details of social media and internet safety provided to schools	Operational	Cabinet Member for Education
Education and Youth Overview & Scrutiny Committee	23/11/17	Education and Youth	**Class Sizes** To provide information on how the Council was using the Grant from Welsh Government for class sizes	Operational	Cabinet Member for Education
Education and Youth Overview & Scrutiny Committee	23/11/17	Education and Youth	**Free Childcare Offer** To provide an update on the developing free childcare offer programme.	Operational	Cabinet Member for Education

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Education and Youth Overview & Scrutiny Committee	23/11/17	Chief Executive's	**Quarters 1 & 2 Council Plan 2017/18 Monitoring Report** To consider the Quarters 1 & 2 Council Plan Monitoring Reports	Strategic	Cabinet Member for Education
Education and Youth Overview & Scrutiny Committee	23/11/17	Education and Youth	**Forward Work Programme (Education & Youth)** To consider the Forward Work Programme of the Education & Youth Overview & Scrutiny Committee	Operational	Not Applicable
Organisational Change Overview & Scrutiny Committee	27/11/17	Chief Executive's	**Council Plan 2017/18 - Mid Year Monitoring** To review the levels of progress in the achievement of activities, performance levels and current risk levels as identified in the Council Plan 2017/18.	Strategic	Cabinet Member for Education, Cabinet Member for Corporate Management and Assets
Organisational Change Overview & Scrutiny Committee	27/11/17	Organisational Change	**Forward Work Programme (Organisational Change)** To consider the Forward Work Programme of the Organisational Change Overview & Scrutiny Committee	Operational	Not Applicable
December					

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Environment Overview & Scrutiny Committee	12/12/17	Chief Executive's	**Quarters 1 & 2 Council Plan 2017/18 Monitoring Report** To consider the Quarters 1 & 2 Council Plan Monitoring Reports	Strategic	Cabinet Member for Streetscene and Countryside, Cabinet Member for Planning and Public Protection
Environment Overview & Scrutiny Committee	12/12/17	Planning and Environment	**Mold Flood Defences Scheme** To receive a progress report on the proposed scheme	Strategic	Cabinet Member for Planning and Public Protection
Environment Overview & Scrutiny Committee	12/12/17	Overview and Scrutiny	**Forward Work Programme (Environment)** To consider the Forward Work Programme of the Environment Overview & Scrutiny Committee	Operational	Not Applicable
Corporate Resources Overview & Scrutiny Committee	14/12/17	Chief Executive's	**Community Endowment fund** Colin Evans from the Community Foundation to present the Annual progress report	Operational	Cabinet Member for Corporate Management and Assets

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Corporate Resources Overview & Scrutiny Committee	14/12/17	Overview and Scrutiny	**Police & Crime Commissioner Consultation Meeting (this meeting is designated as a Statutory Crime & Disorder Scrutiny Committee meeting)** Consultation	Operational	Not Applicable
Corporate Resources Overview & Scrutiny Committee	14/12/17	Finance	**Revenue Budget Monitoring 2017/18 (Month 7)** The purpose of this report is to provide Members with the Revenue Budget Monitoring 2017/18 (Month 7).	Operational	Leader of the Council and Cabinet Member for Finance
Corporate Resources Overview & Scrutiny Committee	14/12/17	Overview and Scrutiny	**Quarter 2 Council Plan 2017/18 Monitoring Report (corporate Resources)** The committee is invited to consider the reports, highlight and monitor poor performance and feedback details of any challenge to the Cabinet	Strategic	Not Applicable
Corporate Resources Overview & Scrutiny Committee	14/12/17	Chief Executive's	**Strategic Equality Plan Annual Report 2016/17** To review the Annual report for the Council's Strategic Equality Plan, noting progress made and areas for further improvement.	Strategic	Leader of the Council and Cabinet Member for Finance

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Corporate Resources Overview & Scrutiny Committee	14/12/17	People and Resources	**Workforce Information Report – Quarter 2** To consider the Workforce Information Report for Quarter 2 of 2017/18	Operational	Cabinet Member for Corporate Management and Assets
Corporate Resources Overview & Scrutiny Committee	14/12/17	Overview and Scrutiny	**Forward Work Programme (Corporate Resources)** To consider the Forward Work Programme of the Corporate Resources Overview & Scrutiny Committee	Operational	Not Applicable
Social & Health Care Overview & Scrutiny Committee	14/12/17	Overview and Scrutiny	**Forward Work Programme (Social & Health Care)** To consider the Forward Work Programme of the Social & Health Care Overview & Scrutiny Committee	Operational	Not Applicable
Organisational Change Overview & Scrutiny Committee	18/12/17	Overview and Scrutiny	**Forward Work Programme (Organisational Change)** To consider the Forward Work Programme of the Education & Youth Overview & Scrutiny Committee	Operational	Not Applicable

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Cabinet	19/12/17	Education and Youth	**Early Intervention Hub and Adverse Childhood Experiences (ACEs)** To provide an update on new multi-agency arrangements for early intervention to address Adverse Childhood Experiences (ACEs).	Operational	Cabinet Member for Education
Cabinet	19/12/17	Community and Enterprise	**Welfare Reform Update** To provide an update on Welfare Reform including an update on Universal Credit	Operational	
Cabinet	19/12/17	Community and Enterprise	**Involvement Strategy** To approve a revised strategy to engage with Housing Revenue Account customers.	Strategic	Deputy Leader of the Council and Cabinet Member for Housing
Cabinet	19/12/17	Governance	**Digital Strategy** To approve the Digital Strategy action plan.	Strategic	Cabinet Member for Corporate Management and Assets
Cabinet	19/12/17	People and Resources	**Treasury Management Mid-Year Review 2017/18.** To present to Members the draft Treasury Management Mid-Year Review for 2017/18 for recommendation to Council.	Operational	Leader of the Council and Cabinet Member for Finance

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Cabinet	19/12/17	Finance	**Revenue Budget Monitoring 2017/18 (MONTH 7)** To provide the latest revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account (based on actual income and expenditure as at Month 75 projected forward to year end).	Operational	Leader of the Council and Cabinet Member for Finance
Community and Enterprise Overview & Scrutiny Committee	20/12/17	Overview and Scrutiny	**Forward Work Programme (Community & Enterprise)** To consider the Forward Work Programme of the Community & Enterprise Overview & Scrutiny Committee	Operational	Not Applicable
Education and Youth Overview & Scrutiny Committee	21/12/17	Education and Youth	**Healthy Schools and Pre-School Programme** To provide an update on the service and how schools are meeting Welsh Government targets	Operational	Cabinet Member for Education
Education and Youth Overview & Scrutiny Committee	21/12/17	Education and Youth	**Forward Work Programme (Education & Youth)** To consider the Forward Work Programme of the Education & Youth Overview & Scrutiny Committee	Operational	Not Applicable

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
January					
Environment Overview & Scrutiny Committee	11/01/17	Streetscene and Transportation	**Public Spaces Protection Orders (PSPO) Dog Control, Dog Fouling & DNA** To provide the Committee with an update on Public Spaces Protection Orders(PSPO) Dog Control, Dog Fouling & DNA	Operational	
Environment Overview & Scrutiny Committee	16/01/18	Overview and Scrutiny	**Forward Work Programme (Environment)** To consider the Forward Work Programme of the Environment Overview & Scrutiny Committee	Operational	Not Applicable
Corporate Resources Overview & Scrutiny Committee	18/01/18	Finance	**Revenue Budget Monitoring 2017/18 (Month 8)** The purpose of this report is to provide Members with the Revenue Budget Monitoring 2017/18 (Month 8).	Operational	Leader of the Council and Cabinet Member for Finance
Corporate Resources Overview & Scrutiny Committee	18/01/18	People and Resources	**Workforce Information Report – Quarter 2 2017/18** To consider the Workforce Information Report for Quarter 2 of 2017/18.	Operational	Cabinet Member for Corporate Management and Assets

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Corporate Resources Overview & Scrutiny Committee	18/01/18	Overview and Scrutiny	**Forward Work Programme (Corporate Resources)** To consider the Forward Work Programme of the Corporate Resources Overview & Scrutiny Committee	Operational	Not Applicable
Cabinet	23/01/18	Finance	**Revenue Budget Monitoring 2017/18 (MONTH 8)** To provide the latest revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account (based on actual income and expenditure as at Month 8 projected forward to year end).	Operational	Leader of the Council and Cabinet Member for Finance

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Audit Committee	24/01/18	People and Resources	**Treasury Management 2018/19 Strategy and Q3 Update 2017/18** 1.01 To present to Members the draft Treasury Management Strategy 2018/19 for comments and recommendation for approval to Cabinet. 1.02 To provide an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices to the end of December 2017.	Strategic	Leader of the Council and Cabinet Member for Finance
Audit Committee	24/01/18	Governance	**Contract Management** To update the committee on an audit review of contract management	All Report Types	
Social & Health Care Overview & Scrutiny Committee	25/01/18	Overview and Scrutiny	**Forward Work Programme (Social & Health Care)** To consider the Forward Work Programme of the Social & Health Care Overview & Scrutiny Committee	Operational	Not Applicable

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Organisational Change Overview & Scrutiny Committee	29/01/18	Overview and Scrutiny	**Forward Work Programme (Organisational Change)** To consider the Forward Work Programme of the Organisational Change Overview & Scrutiny Committee	Operational	Not Applicable
Flintshire County Council	30/01/18	Finance	**Treasury Management Mid-Year Review 2017/18.** To present to Members the draft Treasury Management Mid-Year Review for 2017/18.		
Community and Enterprise Overview & Scrutiny Committee	31/01/18	Overview and Scrutiny	**Forward Work Programme (Community & Enterprise)** To consider the Forward Work Programme of the Community & Enterprise Overview & Scrutiny Committee	Operational	Not Applicable
February					
Education and Youth Overview & Scrutiny Committee	1/02/18	Overview and Scrutiny	**Forward Work Programme (Education & Youth)** To consider the Forward Work Programme of the Education & Youth Overview & Scrutiny Committee	Operational	Not Applicable

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Corporate Resources Overview & Scrutiny Committee	15/02/18	Finance	**Revenue Budget Monitoring 2017/18 (Month 9)** The purpose of this report is to provide Members with the Revenue Budget Monitoring 2017/18 (Month 9).	Operational	Leader of the Council and Cabinet Member for Finance
Corporate Resources Overview & Scrutiny Committee	15/02/18	Overview and Scrutiny	**Forward Work Programme (Corporate Resources)** Rhaglen Gwaith i'r Dyfodol	Operational	Not Applicable
Cabinet	20/02/18	Finance	**Revenue Budget Monitoring 2017/18 (MONTH 9)** To provide the latest revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account (based on actual income and expenditure as at Month 9 projected forward to year end).	Operational	Leader of the Council and Cabinet Member for Finance
Cabinet	20/02/18	Chief Executive's	**Capital Programme 2017/18 (Month 9)** To provide Members with the Month 9 (end of December) capital programme information for 2017/18.	Operational	Leader of the Council and Cabinet Member for Finance

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Cabinet	20/02/18	Chief Executive's	**Prudential Indicators 2018/19 to 2020/21** To present proposals for setting a range of Prudential Indicators in accordance with the Prudential Code for Capital Finance in Local Authorities (the Prudential Code).	Operational	Leader of the Council and Cabinet Member for Finance
Cabinet	20/02/18	Finance	**Treasury Management Strategy 2018/19** To present to Members the draft Treasury Management Strategy 2018/19 for recommendation to Council.	Strategic	Leader of the Council and Cabinet Member for Finance
Cabinet	20/02/18	Chief Executive's	**Minimum Revenue Provision - 2018/19 Policy** To present proposals for the setting of a prudent Minimum Revenue Provision (MRP) for the repayment of debt in 2018/19, as required under the Local Authorities (Capital Finance and Accounting) (Wales) (Amendment) Regulations 2008 (‘the 2008 Regulations’).	Operational	Leader of the Council and Cabinet Member for Finance

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Flintshire County Council	20/02/18	Chief Executive's	**Prudential Indicators 2018/19 to 2020/21** To present to Council the recommendations of the Cabinet in relation to the setting of a range of Prudential Indicators.		
Flintshire County Council	20/02/18	Chief Executive's	**Minimum Revenue Provision - 2018/19 Policy** To present to Council the recommendations of the Cabinet in relation to the setting of a prudent Minimum Revenue Provision (MRP) for the repayment of debt.		
Flintshire County Council	20/02/18	Chief Executive's	**Treasury Management Strategy 2018/19.** To present to Members the draft Treasury Management Strategy 2018/19.		
March					

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Environment Overview & Scrutiny Committee	13/03/18	Streetscene and Transportation	**North East Wales Bus Strategy** To seek a recommendation to Cabinet to approve the North East Wales Bus Strategy	Strategic	Cabinet Member for Streetscene and Countryside
Environment Overview & Scrutiny Committee	13/03/18	Streetscene and Transportation	**Review of Highway and Car Park Safety Inspection and Intervention Level and Response to Policy** To review the above policy in line with the revised national guidelines	Operational	Cabinet Member for Streetscene and Countryside
Environment Overview & Scrutiny Committee	13/03/18	Overview and Scrutiny	**Forward Work Programme (Environment)** To consider the Forward Work Programme of the Environment Overview & Scrutiny Committee	Operational	Not Applicable
Community and Enterprise Overview & Scrutiny Committee	14/03/18	Overview and Scrutiny	**Forward Work Programme (Community & Enterprise)** To consider the Forward Work Programme of the Community & Enterprise Overview & Scrutiny Committee	Operational	Not Applicable

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Corporate Resources Overview & Scrutiny Committee	15/03/18	Finance	**Revenue Budget Monitoring 2017/18 (Month 10)** The purpose of this report is to provide Members with the Revenue Budget Monitoring 2017/18 (Month 10).	Operational	Leader of the Council and Cabinet Member for Finance
Corporate Resources Overview & Scrutiny Committee	15/03/18	People and Resources	**Workforce Information Report – Quarter 3** To consider the Workforce Information Report for Quarter 3 of 2017/18.	Operational	Cabinet Member for Corporate Management and Assets
Corporate Resources Overview & Scrutiny Committee	15/03/18	Overview and Scrutiny	**Forward Work Programme (Corporate Resources)** To consider the Forward Work Programme of the Corporate Resources Overview & Scrutiny Committee	Operational	Not Applicable
Organisational Change Overview & Scrutiny Committee	19/03/18	Overview and Scrutiny	**Forward Work Programme (Organisational Change)** To consider the Forward Work Programme of the Education & Youth Overview & Scrutiny Committee	Operational	Not Applicable

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Cabinet	20/03/18	Chief Executive's	**Revenue Budget Monitoring 2017/18 (Month 10)** To provide the latest revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account (based on actual income and expenditure as at Month 10 projected forward to year end).	Operational	Leader of the Council and Cabinet Member for Finance
Audit Committee	21/03/18	People and Resources	**Q4 Treasury Management Update 2017/18** To provide an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices to the end of February 2018.	Operational	Leader of the Council and Cabinet Member for Finance
Audit Committee	21/03/18	Chief Executive's	**Q4 Treasury Management Update 2017/18** To provide an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices to the end of February 2018.	Operational	Leader of the Council and Cabinet Member for Finance

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Social & Health Care Overview & Scrutiny Committee	29/03/18	Overview and Scrutiny	**Forward Work Programme (Social & Health Care)** To consider the Forward Work Programme of the Social & Health Care Overview & Scrutiny Committee	Operational	Not Applicable
April					
Education and Youth Overview & Scrutiny Committee	12/04/18	Education and Youth	**Forward Work Programme (Education & Youth)** To consider the Forward Work Programme of the Education & Youth Overview & Scrutiny Committee	Operational	Not Applicable
Environment Overview & Scrutiny Committee	17/04/18	Overview and Scrutiny	**Forward Work Programme (Environment)** To consider the Forward Work Programme of the Environment Overview & Scrutiny Committee	Operational	Not Applicable
Corporate Resources Overview & Scrutiny Committee	19/04/18	Overview and Scrutiny	**Forward Work Programme (Corporate Resources)** To consider the Forward Work Programme of the Corporate Resources Overview & Scrutiny Committee	Operational	Not Applicable

COMMITTEE	MEETING DATE	CHIEF OFFICER PORTFOLIO	AGENDA ITEM & PURPOSE OF REPORT	REPORT TYPE (Strategic or Operational) *(Cabinet only)*	PORTFOLIO *(Cabinet only)*
Cabinet	24/04/18	Finance	**Revenue Budget Monitoring 2017/18 (MONTH 11)** To provide the latest revenue budget monitoring position for 2017/18 for the Council Fund and Housing Revenue Account (based on actual income and expenditure as at Month 11 projected forward to year end).	Operational	Leader of the Council and Cabinet Member for Finance

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By virtue of paragraph(s) 14 of Part 4 of Schedule 12A
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